

# **EXHIBIT B**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES INVESTOR PROTECTION  
CORPORATION,

v.  
Plaintiff-Applicant,

BERNARD L. MADOFF INVESTMENT  
SECURITIES LLC,

Defendant.

In re:  
BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of  
Bernard L. Madoff Investment Securities LLC,

v.  
Plaintiff,

J. EZRA MERKIN, GABRIEL CAPITAL, L.P.,  
ARIEL FUND LTD., ASCOT PARTNERS, L.P.,  
ASCOT FUND LTD., GABRIEL CAPITAL  
CORPORATION,

Defendants.

Adv. Pro. No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

Adv. Pro. No. 09-01182 (SMB)

**EXPERT REPORT OF  
MATTHEW B. GREENBLATT, CPA/CFF, CFE  
SENIOR MANAGING DIRECTOR  
FTI CONSULTING, INC.**

**PRINCIPAL BALANCE CALCULATION  
AS APPLIED TO  
THE MERKIN DEFENDANTS**

**March 20, 2015**

## Table of Contents

	Page
<b>I. INTRODUCTION.....</b>	<b>4</b>
<b>II. SUMMARY OF THE MERKIN ACCOUNTS AND THE PRINCIPAL BALANCE CALCULATION .....</b>	<b>5</b>
<b>A. The Merkin Accounts.....</b>	<b>5</b>
<b>B. The Inter-Account Transfers .....</b>	<b>6</b>
<b>C. BLMIS Payments to the IRS and the Trustee's Settlement with the IRS.....</b>	<b>7</b>
<b>III. THE ACTIVITY IN THE MERKIN ACCOUNTS AND THE PRINCIPAL BALANCE CALCULATION.....</b>	<b>9</b>
<b>A. Description of Account Activity in Ariel Fund Account 1FN004 .....</b>	<b>9</b>
i) Description of Cash Deposits and Inter-Account Transfers to Ariel Fund Account 1FN004 .....	10
ii) Description of Deductions of Principal and Inter-Account Transfers from Ariel Fund Account 1FN004.....	11
<b>B. Description of Account Activity in Ariel Capital Account 1A0042 .....</b>	<b>12</b>
i) Description of Cash Deposits and Inter-Account Transfers to Ariel Capital Account 1A0042.....	13
ii) Description of Inter-Account Transfers from Ariel Capital Account 1A0042 .	13
<b>C. Description of Account Activity in Former Ascot Fund Account 1FN005 .....</b>	<b>14</b>
i) Description of Cash Deposits and Inter-Account Transfers to Former Ascot Fund Account 1FN005 .....	15
ii) Description of Cash Withdrawals and Deductions of Principal from Former Ascot Fund Account 1FN005 .....	19
iii) Description of Inter-Account Transfers from Former Ascot Fund Account 1FN005 .....	20
iv) The Principal Balance Calculation for Former Ascot Fund Account 1FN005 .	21
<b>D. Description of Account Activity in Ascot Partners Account 1A0058 .....</b>	<b>22</b>
i) Description of Cash Deposits and Inter-Account Transfers to Ascot Partners Account 1A0058.....	23
ii) Description of Cash Withdrawals and Inter-Account Transfers from Ascot Partners Account 1A0058 .....	26
iii) The Principal Balance Calculation for Ascot Partners Account 1A0058 .....	27

<b>E. Description of Account Activity in Ariel Fund Account 1FR070 .....</b>	<b>28</b>
i) Description of Cash Deposits and Inter-Account Transfers to Ariel Fund Account 1FR070.....	29
ii) Description of Cash Withdrawals and Deductions of Principal from Ariel Fund Account 1FR070 .....	30
iii) Description of Inter-Account Transfers from Ariel Fund Account 1FR070 ....	31
iv) The Principal Balance Calculation for Ariel Fund Account 1FR070 .....	32
<b>F. Description of Account Activity in Gabriel Capital Account 1G0321.....</b>	<b>32</b>
i) Description of Cash Deposits and Inter-Account Transfers to Gabriel Capital Account 1G0321.....	33
ii) Description of Cash Withdrawal and Inter-Account Transfers from Gabriel Capital Account 1G0321 .....	35
iii) The Principal Balance Calculation for Gabriel Capital Account 1G0321 .....	35
<b>IV. SIGNATURE AND RIGHT TO MODIFY .....</b>	<b>36</b>
<b>V. LIST OF EXHIBITS .....</b>	<b>37</b>

## I. INTRODUCTION

1. As described in my Expert Report regarding the Methodology for the Principal Balance Calculation dated November 15, 2012 (the “Principal Balance Calculation Report”), FTI Consulting, Inc. (“FTI”) was retained by Baker & Hostetler LLP, on behalf of the Trustee, to, among other things, reconstruct the books and records of BLMIS. I am a Senior Managing Director at FTI. Additional information regarding my professional experience and recent testimony is included in my Curriculum Vitae annexed hereto as **Exhibit 1**.

2. As part of FTI’s reconstruction of the books and records of BLMIS, chronological listings of all cash and principal transactions for every BLMIS customer account were compiled. These chronological listings provided the foundation to calculate every BLMIS accountholder’s principal balance on a daily basis for all dates from April 1, 1981 through December 11, 2008 (the “Principal Balance Calculation”).

3. The Principal Balance Calculation Report explains the methodology of the Principal Balance Calculation and describes the relevant documents and data which were maintained by BLMIS. Accordingly, this report should be read in conjunction with the Principal Balance Calculation Report.<sup>1</sup>

4. This report specifically applies the methodology of the Principal Balance Calculation to the BLMIS accounts associated with J. Ezra Merkin, Gabriel Capital, L.P., Ariel Fund Ltd., Ascot Partners, L.P., Ascot Fund Ltd., and Gabriel Capital Corporation (collectively, the “Defendants”) in the above-captioned litigation.

5. This report has been prepared in connection with the above-captioned litigation and is to be used only for the specific purposes of this lawsuit. It is not to be used for any other purpose without the express written consent of FTI. If called upon to testify in this matter, I intend to provide testimony regarding my analyses and conclusions consistent with this report. FTI is being compensated at a rate of \$554 per hour for my professional time incurred in performing the work necessary to prepare this report. FTI’s fees are not contingent on the conclusions reached in this report or the outcome of the above-captioned litigation.

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<sup>1</sup> The opinions that I render in the Principal Balance Calculation Report, the documents that I considered in connection with that Report, and the accompanying Exhibits to that Report, are all incorporated by reference. All capitalized terms not defined herein shall have the meaning ascribed to them in the Principal Balance Calculation Report.

6. The documents and data that I considered in connection with this report are listed in **Exhibit 2**. I reserve the right to supplement my report based on any additional documents or information received.

## **II. SUMMARY OF THE MERKIN ACCOUNTS AND THE PRINCIPAL BALANCE CALCULATION**

7. The Principal Balance Calculation was performed for every BLMIS customer account, including the BLMIS accounts associated with the Defendants. This section of the report provides a list of the accounts associated with the Defendants as well as a summary of specific issues relevant to the Principal Balance Calculation for the Defendants' BLMIS accounts.

### **A. The Merkin Accounts**

8. The Defendants maintained the following six BLMIS customer accounts (collectively, the "Merkin Accounts"):

- BLMIS Account 1FN004 held by Ariel Fund Limited (the "Ariel Fund Account 1FN004") was maintained with BLMIS beginning in October 1990;
- BLMIS Account 1A0042 held by Ariel Capital, L.P. (the "Ariel Capital Account 1A0042") was maintained with BLMIS beginning in October 1990;
- BLMIS Account 1FN005 held by Ascot Fund Limited (the "Former Ascot Fund Account 1FN005") was maintained with BLMIS beginning in January 1992;
- BLMIS Account 1A0058 held by Ascot Partners, L.P. (the "Ascot Partners Account 1A0058") was maintained with BLMIS beginning in January 1993;
- BLMIS Account 1FR070 held by Ariel Fund Limited (the "Ariel Fund Account 1FR070") was maintained with BLMIS beginning in August 2000; and

- BLMIS Account 1G0321 held by Gabriel Capital, L.P. (the “Gabriel Capital Account 1G0321”) was maintained with BLMIS beginning in August 2000.

## B. The Inter-Account Transfers

9. The Defendants transferred funds between their BLMIS accounts, referred to as inter-account transfers. An inter-account transfer is a non-cash transaction between BLMIS customer accounts in which no new funds entered or left BLMIS, but rather a book entry occurred at BLMIS to internally adjust the balances of those accounts. These book entries did not reflect any transfers of cash because there was no actual movement of cash. Rather, these inter-account transfers merely changed reported value in the purported “equity” maintained in the BLMIS customers’ accounts.<sup>2</sup>

10. For purposes of the Principal Balance Calculation, the amount deducted from a transferor account and the corresponding amount credited to a transferee’s account depends on the amount of principal available in the transferor’s account at the time of the transfer. The amount of the inter-account transfer that can be credited to a transferee’s account is limited to the amount of principal available in the transferor’s account at the time of transfer. Only that portion of the inter-account transfer between BLMIS accounts for which the transferor had principal could be transferred. As such, all inter-account transfers have been analyzed and the transferee’s account has been credited only up to the amount of principal available in the transferor’s account on the day of the inter-account transfer.

11. Thus, notwithstanding the amounts that appeared to be transferred as reflected on BLMIS Customer Statements, if the principal available in the transferor’s account is less than the amount of the requested inter-account transfer, the corresponding increase (to the principal balance of the transferee account) and decrease (to the principal balance of the transferor account) performed as part of the Principal Balance Calculation will equal the principal available in the transferor’s account. In those situations, where the last transaction in a BLMIS account is an inter-account transfer to another BLMIS account in a reported amount that exceeds the principal available in the transferor’s account, only the balance of the principal available in the

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<sup>2</sup> See Principal Balance Calculation Report, ¶¶17, 27-28, 32-34.

transferor's account will be credited to the transferee's account, and the transferor's account will then be left with a final principal balance of zero dollars (\$0).<sup>3</sup>

12. On many occasions, the Defendants transferred funds between their BLMIS accounts, the details of which will be discussed below along with the impact of the inter-account transfers on each account's Principal Balance Calculation.

### **C. BLMIS Payments to the IRS and the Trustee's Settlement with the IRS**

13. BLMIS made payments to the Internal Revenue Service (the "IRS") on behalf of its foreign accountholders.<sup>4</sup> To make the payments to the IRS, BLMIS withheld funds from foreign accountholders throughout the year, showed the amounts withheld as reductions to the purported equity in these customers' accounts as reflected on the monthly BLMIS Customer Statements, and then made subsequent monthly payments to the IRS in the aggregate amounts for all of the amounts withheld from these foreign accountholders.<sup>5</sup>

14. Between January 1, 2003 and December 11, 2008, BLMIS made payments to the IRS on behalf of 145 foreign accountholders, including Ariel Fund Ltd. and Ascot Fund Ltd., totaling approximately \$330 million.<sup>6</sup> The Trustee made a claim against the IRS for the return of those payments. The Trustee and the IRS reached a settlement (the "IRS Settlement") as approved by the Bankruptcy Court, returning approximately \$326 million to the BLMIS estate.<sup>7</sup>

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<sup>3</sup> The ending principal balance for those accounts that conclude with an inter-account transfer consisting of the last remaining principal available in the transferor account will differ from those BLMIS accounts where the last transaction is a withdrawal of cash that exceeds the principal available, in which case the Principal Balance Calculation will reflect a negative ending principal balance.

<sup>4</sup> See Trustee's Motion for Entry of an Order Pursuant to Section 105(a) of the Bankruptcy Code and Rules 2002 and 9019 of the Federal Rules of Bankruptcy Procedure Approving an Agreement By and Between the Trustee and United States of America on Behalf of the Internal Revenue Service at Exhibit C. No. 08-1789-smb (Bankr. S.D.N.Y. Nov. 22, 2011), ECF No. 4544.

<sup>5</sup> See, e.g., Affidavit in Support of Trustee's Motion for Entry of an Order Pursuant to Section 105(a) of the Bankruptcy Code and Rules 2002 and 9019 of the Federal Rules of Bankruptcy Procedure Approving An Agreement By and Between the Trustee and United States of America on Behalf of the Internal Revenue Service at Exhibit A. No. 08-1789-smb (Bankr. S.D.N.Y. Nov. 22, 2011), ECF No. 4545; see also Principal Balance Calculation Report, ¶¶17, 35-36.

<sup>6</sup> See Trustee's Motion for Entry of an Order Pursuant to Section 105(a) of the Bankruptcy Code and Rules 2002 and 9019 of the Federal Rules of Bankruptcy Procedure Approving an Agreement By and Between the Trustee and United States of America on Behalf of the Internal Revenue Service at ¶9, No. 08-1789-smb (Bankr. S.D.N.Y. Nov. 22, 2011), ECF No. 4544.

<sup>7</sup> See Order Pursuant to Section 105(a) of the Bankruptcy Code and Rules 2002 and 9019 of the Federal Rules of Bankruptcy Procedure Approving an Agreement By and Between the Trustee and The United States of America on Behalf of the Internal Revenue Service at ¶G, No. 08-1789-smb (Bankr. S.D.N.Y. Dec. 21, 2011), ECF No. 4602.

15. Under the Principal Balance Calculation, the withdrawal of cash from a BLMIS customer account is treated as a decrease to the principal balance of that account. Generally, payments made by BLMIS on behalf of customers, such as those to the IRS, are categorized as cash withdrawals, and accordingly, as reductions to the principal balance of that particular BLMIS customer account in the Principal Balance Calculation.

16. Under the terms of the IRS Settlement, and at the direction of Counsel to the Trustee, the amounts paid by BLMIS to the IRS on behalf of the foreign accountholders between January 1, 2003 and December 11, 2008 are not treated as cash withdrawals for purposes of the Principal Balance Calculation because those amounts have been returned to the BLMIS estate (with certain exceptions described below).<sup>8</sup> Instead, these payments are excluded from the Principal Balance Calculation, and therefore do not impact the determination of the ending principal balance for the affected BLMIS customer accounts. The amounts paid by BLMIS relating to foreign tax withholding prior to January 1, 2003, however, are treated as cash withdrawals for those individual accounts in the Principal Balance Calculation because such amounts were not returned to the BLMIS estate by the IRS.

17. The IRS Settlement returned approximately \$326 million of the approximate \$330 million that was paid by BLMIS to the IRS during the period between January 1, 2003 and December 11, 2008. As stated in the IRS Settlement, “[t]he IRS has erroneously paid certain refund claims relating to Payments to certain Foreign Accountholders”,<sup>9</sup> which I have been informed by Counsel consisted of refunds directly to three of the 145 foreign accountholders in the amount of \$4,224,884.10<sup>10</sup>, representing the difference between the amount transferred by BLMIS to the IRS and the amount the IRS repaid to BLMIS. I have been further informed by Counsel to the Trustee that Ascot Fund Ltd. and Ariel Fund Ltd. were two of the three foreign accountholders that received the erroneous refund payments directly from the IRS.

18. As stated above, the Trustee does not treat the payments made by BLMIS on behalf of the foreign accountholders between January 1, 2003 and December 11, 2008 as cash withdrawals because the IRS returned those amounts to the BLMIS estate.

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<sup>8</sup> See Trustee’s Motion for Entry of an Order Pursuant to Section 105(a) of the Bankruptcy Code and Rules 2002 and 9019 of the Federal Rules of Bankruptcy Procedure Approving an Agreement By and Between the Trustee and United States of America on Behalf of the Internal Revenue Service at ¶21, No. 08-1789-smb (Bankr. S.D.N.Y. Nov. 22, 2011), ECF No. 4544.

<sup>9</sup> *Id.* at Exhibit B ¶I.

<sup>10</sup> *Id.* at ¶9.

19. Although the BLMIS estate has not recovered the amounts relating to the three specific foreign accountholders whose tax withholdings were erroneously refunded directly to them by the IRS (including Ascot Fund Ltd. and Ariel Fund Ltd.), Counsel to the Trustee has directed that the withholdings and subsequent payments to the IRS for these affected BLMIS customer accounts be excluded from the Principal Balance Calculation to be consistent with the treatment given to the BLMIS customer accounts in which the BLMIS estate has recovered the amounts from the IRS.<sup>11</sup>

### **III. THE ACTIVITY IN THE MERKIN ACCOUNTS AND THE PRINCIPAL BALANCE CALCULATION**

#### **A. Description of Account Activity in Ariel Fund Account 1FN004**

20. Ariel Fund Account 1FN004 was maintained with BLMIS beginning in October 1990.

21. Throughout its account history with BLMIS, Ariel Fund Account 1FN004 had: (i) cash deposits; (ii) deductions of principal for payments made by BLMIS to the IRS on the accountholder's behalf<sup>12</sup>; (iii) inter-account transfers received from BLMIS Account 1FN033; and (iv) inter-account transfers made to BLMIS Account 1FN033, Ariel Capital Account 1A0042, and Former Ascot Fund Account 1FN005. All cash deposits, payments made by BLMIS to the IRS on the accountholder's behalf, and inter-account transfers to and from Ariel Fund Account 1FN004 impacted the Principal Balance Calculation. Each of these transactions was reflected on the Customer Statements between October 1990 and February 1993.

22. As described above and in the Principal Balance Calculation Report, the amount of an inter-account transfer that can be credited to a transferee's account for purposes of the Principal Balance Calculation is limited to the amount of principal available in the transferor's account at the time of transfer.

23. Thus, in order to perform the Principal Balance Calculation for Ariel Fund Account 1FN004, BLMIS Account 1FN033 was analyzed to determine the amount of principal available in the account that could be transferred to Ariel Fund Account 1FN004.

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<sup>11</sup> To the extent that further information is provided and/or discovered regarding these refunds, I reserve the right to adjust the Principal Balance Calculation accordingly.

<sup>12</sup> There were over 100 line items/transactions from Customer Statements representing the amounts withheld by BLMIS and paid to the IRS on behalf of Ariel Fund Account 1FN004. These transactions are discussed in greater detail in this report.

24. **Exhibit 3A** to this report (Summary Schedule of Cash and Principal Activity in Ariel Fund Account 1FN004) provides a summary of the activity in the BLMIS accounts that impacted Ariel Fund Account 1FN004.

25. **Exhibits 4A** and **4B** to this report provide detailed schedules for the Principal Balance Calculation for Ariel Fund Account 1FN004 and BLMIS Account 1FN033, respectively.

- i) Description of Cash Deposits and Inter-Account Transfers to Ariel Fund Account 1FN004

26. On October 1, 1990, Ariel Fund Account 1FN004 was opened with a cash deposit via wire transfer in the amount of \$7,400,000, the entire amount of which constituted principal. (*See Exhibit 4A* for the Detailed Schedule for the Principal Balance Calculation for Ariel Fund Account 1FN004.)

27. Subsequent to the initial cash deposit, four additional cash deposits were made via wire transfers in the aggregate amount of \$14,283,703, the entire amount of which constituted principal.

28. These five cash deposits provided Ariel Fund Account 1FN004 with a total of \$21,683,703 of principal.

29. Between March 31, 1992 and May 29, 1992, Ariel Fund Account 1FN004 received two inter-account transfers from BLMIS Account 1FN033 in the aggregate amount of \$2,747,897: (i) \$2,726,800 on March 31, 1992<sup>13</sup>; and (ii) \$21,097 on May 29, 1992, as reported on the Customer Statements. As of the date of these inter-account transfers, BLMIS Account 1FN033 had sufficient principal to transfer the full amount to Ariel Fund Account 1FN004. Therefore, Ariel Fund Account 1FN004 was credited with \$2,747,897 of principal for purposes of the Principal Balance Calculation. (*See Exhibit 4A; see also Exhibit 4B* for the Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1FN033.)

30. In sum, these five cash deposits and two inter-account transfers from BLMIS Account 1FN033 provided Ariel Fund Account 1FN004 with a total of \$24,431,600 of principal. (*See Exhibit 3A.*)

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<sup>13</sup> BLMIS Account 1FN033 was known as BLMIS Account 101512 at this time.

ii) Description of Deductions of Principal and Inter-Account Transfers from Ariel Fund Account 1FN004

31. Between November 30, 1990 and February 26, 1993, BLMIS withheld 128 individual amounts for purported tax obligations totaling \$313,823 and paid these amounts to the IRS on behalf of Ariel Fund Account 1FN004. These payments were not recovered by the Trustee under the IRS Settlement. Therefore, these payments have been treated as cash withdrawals for the purpose of the Principal Balance Calculation. (*See Exhibit 4A.*)

32. Between January 2, 1992 and August 3, 1992, there were three inter-account transfers from Ariel Fund Account 1FN004 to BLMIS Account 1FN033 and Ariel Capital Account 1A0042 in the aggregate amount of \$10,514,373: (i) \$2,600,000 to BLMIS Account 1FN033 on January 2, 1992; (ii) \$2,600,000 to Ariel Capital Account 1A0042<sup>14</sup> on March 31, 1992; and (iii) \$5,314,373 to Ariel Capital Account 1A0042 on August 3, 1992, as reported on the Customer Statements. As of the dates of these inter-account transfers, Ariel Fund Account 1FN004 had sufficient principal to transfer the full amounts to BLMIS Account 1FN033 and Ariel Capital Account 1A0042. Therefore, these inter-account transfers resulted in a decrease to the Principal Balance of Ariel Fund Account 1FN004 of \$10,514,373 and increases to the Principal Balances of BLMIS Account 1FN033 of \$2,600,000 and Ariel Capital Account 1A0042 of \$7,914,373. (*See Exhibits 4A and 4B; see also Exhibit 4K for the Detailed Schedule for the Principal Balance Calculation for Ariel Capital Account 1A0042.*)

33. On January 4, 1993, there was one inter-account transfer from Ariel Fund Account 1FN004 to Former Ascot Fund Account 1FN005 in the amount of \$35,041,794, as reported on the Customer Statements. At the time of this inter-account transfer, Ariel Fund Account 1FN004 had only \$13,633,109 of principal available to be transferred. Therefore, Former Ascot Fund Account 1FN005 was credited with \$13,633,109 of principal for purposes of the Principal Balance Calculation as the remaining amount of the reported inter-account transfer of \$21,408,684<sup>15</sup> constituted fictitious profits. (*See Exhibit 4A; see also Exhibit 4O for the Detailed Schedule for the Principal Balance Calculation for Former Ascot Fund Account 1FN005.*)

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<sup>14</sup> Ariel Capital Account 1A0042 was known as BLMIS Account 100148 at this time.

<sup>15</sup> Difference due to rounding.

34. On February 26, 1993, one additional inter-account transfer was made from Ariel Fund Account 1FN004 to Former Ascot Fund Account 1FN005 in the amount of \$13,853, as reported on the Customer Statements. However, because Ariel Fund Account 1FN004 had a negative principal balance at the time of this inter-account transfer, there was no principal available to be transferred to Former Ascot Fund Account 1FN005. Therefore, the entire inter-account transfer constituted fictitious profits. Accordingly, the principal balance of Former Ascot Fund Account 1FN005 did not increase as a result of this inter-account transfer. (*See Exhibits 4A and 4O.*)

#### **B. Description of Account Activity in Ariel Capital Account 1A0042**

35. Ariel Capital Account 1A0042 was maintained with BLMIS beginning in October 1990.

36. Throughout its account history with BLMIS, Ariel Capital Account 1A0042 had: (i) cash deposits; (ii) inter-account transfers received from Ariel Fund Account 1FN004; and (iii) inter-account transfers made to Ascot Partners Account 1A0058. All cash deposits and inter-account transfers to and from Ariel Capital Account 1A0042 impacted the Principal Balance Calculation. Each of these transactions was reflected on the Customer Statements between October 1990 and February 1993.

37. As described above and in the Principal Balance Calculation Report, the amount of an inter-account transfer that can be credited to a transferee's account for purposes of the Principal Balance Calculation is limited to the amount of principal available in the transferor's account at the time of transfer.

38. Thus, in order to perform the Principal Balance Calculation for Ariel Capital Account 1A0042, Ariel Fund Account 1FN004<sup>16</sup> was analyzed to determine the amount of principal available in the account that could be transferred to Ariel Capital Account 1A0042.

39. **Exhibit 3B** to this report (Summary Schedule of Cash and Principal Activity in Ariel Capital Account 1A0042) provides a summary of the activity in the BLMIS accounts that impacted Ariel Capital Account 1A0042.

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<sup>16</sup> To determine the principal available in Ariel Fund Account 1FN004, BLMIS Account 1FN033 was analyzed because Ariel Fund Account 1FN004 received inter-account transfers from BLMIS Account 1FN033.

40. **Exhibits 4A, 4B, and 4K** to this report provide detailed schedules for the Principal Balance Calculation for Ariel Fund Account 1FN004, BLMIS Account 1FN033, and Ariel Capital Account 1A0042, respectively.

i) Description of Cash Deposits and Inter-Account Transfers to Ariel Capital Account 1A0042

41. On October 1, 1990, Ariel Capital Account 1A0042 was opened with a cash deposit via wire transfer in the amount of \$2,600,000, the entire amount of which constituted principal. (*See Exhibit 4K.*)

42. Subsequent to the initial cash deposit, six additional cash deposits<sup>17</sup> were made via wire transfers in the aggregate amount of \$11,028,922, the entire amount of which constituted principal.

43. These seven cash deposits provided Ariel Capital Account 1A0042 with a total of \$13,628,922 of principal.

44. Between March 31, 1992 and August 3, 1992, Ariel Capital Account 1A0042 received two inter-account transfers from Ariel Fund Account 1FN004 in the aggregate amount of \$7,914,373: (i) \$2,600,000 on March 31, 1992<sup>18</sup>; and (ii) \$5,314,373 on August 3, 1992, as reported on the Customer Statements. As of the date of these inter-account transfers, Ariel Fund Account 1FN004 had sufficient principal to transfer the full amount to Ariel Capital Account 1A0042. Therefore, Ariel Capital Account 1A0042 was credited with \$7,914,373 of principal for purposes of the Principal Balance Calculation. (*See Exhibits 4A and 4K.*)

45. In sum, these seven cash deposits and two inter-account transfers from Ariel Fund Account 1FN004 provided Ariel Capital Account 1A0042 with a total of \$21,543,295 of principal. (*See Exhibit 3B.*)

ii) Description of Inter-Account Transfers from Ariel Capital Account 1A0042

46. On January 4, 1993, there was one inter-account transfer from Ariel Capital Account 1A0042 to Ascot Partners Account 1A0058 in the amount of \$25,759,317, as reported on the Customer Statements. At the time of this inter-account transfer, Ariel Capital Account

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<sup>17</sup> These cash deposits exclude the line items on the Customer Statements related to a wire transfer for \$4,039,000 on November 20, 1992 because the wire transfer was cancelled on the same day. (*See Exhibit 4K.*)

<sup>18</sup> Ariel Fund Account 1FN004 was known as BLMIS Account 105124 at this time.

1A0042 had only \$21,543,295 of principal available to be transferred. Therefore, Ascot Partners Account 1A0058 was credited with \$21,543,295 of principal for purposes of the Principal Balance Calculation as the remaining amount of the reported inter-account transfer of \$4,216,022 constituted fictitious profits. (*See Exhibit 4K; see also Exhibit 4P* for the Detailed Schedule for the Principal Balance Calculation for Ascot Partners Account 1A0058.)

47. On February 26, 1993, one additional inter-account transfer was made from Ariel Capital Account 1A0042 to Ascot Partners Account 1A0058 in the amount of \$14,276 as reported on the Customer Statements. However, because Ariel Capital Account 1A0042 had a zero principal balance at the time of this inter-account transfer, there was no principal available to be transferred to Ascot Partners Account 1A0058. Therefore, the entire inter-account transfer constituted fictitious profits. Accordingly, the principal balance of Ascot Partners Account 1A0058 did not increase as a result of this inter-account transfer. (*See Exhibits 4K and 4P.*)

### **C. Description of Account Activity in Former Ascot Fund Account 1FN005**

48. Former Ascot Fund Account 1FN005 was maintained with BLMIS beginning in January 1992.

49. Throughout its account history with BLMIS, Former Ascot Fund Account 1FN005 had: (i) cash deposits; (ii) cash withdrawals; (iii) deductions of principal for payments made by BLMIS to the IRS on the accountholder's behalf<sup>19</sup>; (iv) inter-account transfers received from BLMIS Accounts 1FN041, 1FN016, 1FN042, 1FN022, 1FN039, 1FN020, 1FN030, and 1FN031, Ariel Fund Account 1FN004, Ascot Partners Account 1A0058, and Gabriel Capital Account 1G0321 ; and (v) inter-account transfers made to Ascot Partners Account 1A0058, Ariel Fund Account 1FR070, and Gabriel Capital Account 1G0321. All cash deposits, withdrawals, payments made by BLMIS to the IRS on the accountholder's behalf, and inter-account transfers to and from Former Ascot Fund Account 1FN005 impacted the Principal Balance Calculation. Each of these transactions was reflected on the Customer Statements between January 1992 and January 2003.

50. As described above and in the Principal Balance Calculation Report, the amount of an inter-account transfer that can be credited to a transferee's account for purposes of the

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<sup>19</sup> There were over 1,000 line items/transactions from Customer Statements representing the amounts withheld by BLMIS and paid to the IRS on behalf of Former Ascot Fund Account 1FN005. These transactions are discussed in greater detail in this report.

Principal Balance Calculation is limited to the amount of principal available in the transferor's account at the time of transfer.

51. Thus, in order to perform the Principal Balance Calculation for Former Ascot Fund Account 1FN005, BLMIS Accounts 1FN041, 1FN016, 1FN042, 1FN022, 1FN039, 1FN020, 1FN030, and 1FN031, Ariel Fund Account 1FN004<sup>20</sup>, Ascot Partners Account 1A0058<sup>21</sup>, and Gabriel Capital Account 1G0321 were analyzed to determine the amount of principal available in each of these accounts that could be transferred.

52. **Exhibit 3C** to this report (Summary Schedule of Cash and Principal Activity in Former Ascot Fund Account 1FN005) provides a summary of the activity in the BLMIS accounts that impacted the Principal Balance Calculation for Former Ascot Fund Account 1FN005.

53. **Exhibits 4A-4R** to this report provide detailed schedules for the Principal Balance Calculation for Former Ascot Fund Account 1FN005 (**Exhibit 4O**) and all of the BLMIS accounts (**Exhibits 4B-4J** and **4L-4N**) as well as Ariel Fund Account 1FN004 (**Exhibit 4A**), Ariel Capital Account 1A0042 (**Exhibit 4K**), Ascot Partners Account 1A0058, Ariel Fund Account 1FR070, and Gabriel Capital Account 1G0321 (**Exhibits 4P-4R**) that impacted the Principal Balance Calculation of Former Ascot Fund Account 1FN005.

i) Description of Cash Deposits and Inter-Account Transfers to Former Ascot Fund Account 1FN005

54. On January 2, 1992, Former Ascot Fund Account 1FN005 was opened with a cash deposit via wire transfer in the amount of \$4,000,000, the entire amount of which constituted principal. (*See Exhibit 4O.*)

55. Subsequent to the initial cash deposit, 27 additional cash deposits<sup>22</sup> were made via wire transfers in the aggregate amount of \$238,764,111, the entire amount of which constituted principal.

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<sup>20</sup> To determine the principal available in Ariel Fund Account 1FN004, BLMIS Account 1FN033 was analyzed because Ariel Fund Account 1FN004 received inter-account transfers from BLMIS Account 1FN033.

<sup>21</sup> To determine the principal available in Ascot Partners Account 1A0058, BLMIS Accounts 1H0037, 1B0079, and 1W0041, Ariel Capital Account 1A0042, Gabriel Capital Account 1G0321, and Ariel Fund Account 1FR070 were analyzed because these BLMIS accounts transferred funds to Ascot Partners Account 1A0058.

<sup>22</sup> These cash deposits exclude the line items on the Customer Statements related to a wire transfer for \$2,961,000 on November 20, 1992 because the wire transfer was cancelled on the same day. (*See Exhibit 4O.*)

56. These 28 cash deposits provided Former Ascot Fund Account 1FN005 with a total of \$242,764,111 of principal.

57. On January 4, 1993, Former Ascot Fund Account 1FN005 received nine inter-account transfers from Ariel Fund Account 1FN004 and BLMIS Accounts 1FN041, 1FN016, 1FN042, 1FN022, 1FN039, 1FN020, 1FN030, and 1FN031 in the aggregate amount of \$60,616,572, as reported on the Customer Statements. However, as reflected in **Exhibits 4A** and **4C-4J**, because these nine BLMIS accounts had only \$35,503,689 of combined principal at the time of each of the individual inter-account transfers, Former Ascot Fund Account 1FN005 was credited with an aggregate amount of \$35,503,689 of principal based on the funds available in these nine BLMIS accounts on January 4, 1993 for purposes of the Principal Balance Calculation. The remaining amount of \$25,112,883 from the nine reported inter-account transfers constituted fictitious profits. (*See Exhibits 4A and 4O; see also Exhibits 4C-4J* for Detailed Schedules for the Principal Balance Calculations for BLMIS Accounts 1FN041, 1FN016, 1FN042, 1FN022, 1FN039, 1FN020, 1FN030, and 1FN031.)

58. **Table 1** below further provides a summary of the principal in each BLMIS account on January 4, 1993 available to be transferred to Former Ascot Fund Account 1FN005:

**Table 1 - Summary of Principal Available in Transferor's Account at Time of Inter-Account Transfer to Former Ascot Fund Account 1FN005**

Date	BLMIS Transferor Account	Reported Transfer Amount	Principal Balance at the Time of the Transfer	Principal Transferred	Exhibits
1/4/1993	1FN041	\$ 2,185,762	\$ 1,996,882	\$ 1,996,882	4C; 4O
1/4/1993	1FN016	3,888,323	2,961,732	2,961,732	4D; 4O
1/4/1993	1FN004	35,041,794	13,633,109	13,633,109	4A; 4O
1/4/1993	1FN042	3,278,125	2,995,297	2,995,297	4E; 4O
1/4/1993	1FN022	2,042,167	1,487,905	1,487,905	4F; 4O
1/4/1993	1FN039	5,466,715	4,984,327	4,984,327	4G; 4O
1/4/1993	1FN020	2,938,542	2,480,116	2,480,116	4H; 4O
1/4/1993	1FN030	2,938,542	2,480,116	2,480,116	4I; 4O
1/4/1993	1FN031	2,836,602	2,484,205	2,484,205	4J; 4O
Total		<u>\$ 60,616,572</u>		<u>\$ 35,503,689</u>	

59. On February 26, 1993, Former Ascot Fund Account 1FN005 received nine additional inter-account transfers from the same nine BLMIS accounts from which it received inter-account transfers on January 4, 1993 (*i.e.*, 1FN041, 1FN016, 1FN004, 1FN042, 1FN022, 1FN039, 1FN020, 1FN030, and 1FN031) in the aggregate amount of \$23,667, as reported on the Customer Statements. However, because these BLMIS accounts had negative principal balances at the time of the inter-account transfers, there was no principal available to be transferred to Former Ascot Fund Account 1FN005. Therefore, these inter-account transfers constituted fictitious profits. Accordingly, the principal balance of Former Ascot Fund Account 1FN005 did not increase as a result of these nine inter-account transfers on February 26, 1993. (*See Exhibits 4A, 4C-4J, and 4O.*)

60. **Table 2** below further provides a summary of the principal in each BLMIS account on February 26, 1993 available to be transferred to Former Ascot Fund Account 1FN005:

<b>Table 2 - Summary of Principal Available in Transferor's Account at Time of Inter-Account Transfer to Former Ascot Fund Account 1FN005</b>					
<b>Date</b>	<b>BLMIS Transferor Account</b>	<b>Reported Transfer Amount</b>	<b>Principal Balance at the Time of the Transfer</b>	<b>Principal Transferred</b>	<b>Exhibits</b>
2/26/1993	1FN041	\$ 959	\$ (844)	\$0	4C; 4O
2/26/1993	1FN016	1,396	(2,894)	0	4D; 4O
2/26/1993	1FN004	13,853	(29,705)	0	4A; 4O
2/26/1993	1FN042	1,442	(1,265)	0	4E; 4O
2/26/1993	1FN022	912	(777)	0	4F; 4O
2/26/1993	1FN039	1,975	(4,223)	0	4G; 4O
2/26/1993	1FN020	1,040	(2,163)	0	4H; 4O
2/26/1993	1FN030	1,040	(2,163)	0	4I; 4O
2/26/1993	1FN031	1,051	(2,171)	0	4J; 4O
Total		<u>\$ 23,668</u>		<u>\$0</u>	

61. Between July 12, 1994 and October 8, 2002, Former Ascot Fund Account 1FN005 received ten additional inter-account transfers from Ascot Partners Account 1A0058 and Gabriel Capital Account 1G0321 in the aggregate amount of \$40,904,709, as reported on the Customer Statements. As of the date of these inter-account transfers, Ascot Partners Account 1A0058 and Gabriel Capital Account 1G0321 had sufficient principal to transfer the full amount to Former Ascot Fund Account 1FN005. Therefore, Former Ascot Fund Account 1FN005 was

credited with \$40,904,709 of principal for purposes of the Principal Balance Calculation. (See **Exhibits 4O** and **4P**; *see also* **Exhibit 4R** for the Detailed Schedule for the Principal Balance Calculation for Gabriel Capital Account 1G0321.)

62. **Table 3** below provides a summary of the principal in Ascot Partners Account 1A0058 and Gabriel Capital Account 1G0321 available to be transferred to Former Ascot Fund Account 1FN005:

<b>Table 3 - Summary of Principal Available in Transferor's Account at Time of Inter-Account Transfer to Former Ascot Fund Account 1FN005</b>						
<b>Date</b>	<b>BLMIS Transferor Account</b>	<b>Reported Transfer Amount</b>	<b>Principal Balance at the Time of the Transfer</b>		<b>Principal Transferred</b>	<b>Exhibits</b>
7/12/1994	1A0058	\$ 5,039,709	\$ 78,220,091		\$ 5,039,709	4P; 4O
10/2/1995	1A0058	500,000	84,921,382		500,000	4P; 4O
1/9/1998	1A0058	1,000,000	93,485,382		1,000,000	4P; 4O
7/12/1999	1A0058	6,400,000	160,520,782		6,400,000	4P; 4O
10/31/2000	1A0058	11,900,000	165,195,782		11,900,000	4P; 4O
1/8/2001	1A0058	1,400,000	153,295,782		1,400,000	4P; 4O
4/12/2001	1A0058	700,000	151,895,782		700,000	4P; 4O
7/25/2001	1A0058	13,100,000	151,195,782		13,100,000	4P; 4O
7/15/2002	1G0321	400,000	94,100,000		400,000	4R; 4O
10/8/2002	1A0058	465,000	148,395,782		465,000	4P; 4O
	Total	\$ 40,904,709			\$ 40,904,709	

63. Because the transferor accounts had sufficient principal available at the time of these inter-account transfers, Former Ascot Fund Account 1FN005 was credited with the full inter-account transfer amount of each transaction in the aggregate amount of \$40,904,709 of principal for purposes of the Principal Balance Calculation.

64. In sum, these 28 cash deposits and 28 inter-account transfers from other BLMIS accounts provided Former Ascot Fund Account 1FN005 with a total of \$319,172,509 of principal. (*See* **Exhibit 3C**.)

ii) Description of Cash Withdrawals and Deductions of Principal from Former Ascot Fund Account 1FN005

65. As detailed in **Exhibit 4O**, between January 2, 1992 and January 8, 2003, the Customer Statements for Former Ascot Fund Account 1FN005 reflected the following three cash withdrawals for a total amount of \$34,440,000:

- A withdrawal via wire transfer for \$1,200,000 on December 30, 1996;
- A withdrawal via wire transfer for \$7,240,000 on January 7, 1997; and
- A withdrawal via wire transfer for \$26,000,000 on April 13, 1998.

66. Between March 16, 1992 and January 6, 2003, BLMIS withheld over 1,000 individual amounts for purported tax obligations totaling \$5,146,622 and paid these amounts to the IRS on behalf of Former Ascot Fund Account 1FN005, of which a total of \$98,042 was paid by BLMIS to the IRS on behalf of the accountholder during the period between January 1, 2003 and December 11, 2008.

67. As described in greater detail above, I have been informed that Ascot Fund Ltd. received a direct refund from the IRS. Nonetheless, I have been instructed by Counsel to the Trustee that the amounts withheld by BLMIS and paid to the IRS between January 1, 2003 and December 11, 2008 for all 145 foreign accountholders should be excluded from the Principal Balance Calculation (*i.e.*, not reflected as a deduction to each account's principal balance as a cash withdrawal has been treated). Accordingly, for purposes of the Principal Balance Calculation for Former Ascot Fund Account 1FN005, the following assumptions have been made with respect to the payments made by BLMIS to the IRS on behalf of the accountholder:

- The amounts paid by BLMIS to the IRS prior to January 1, 2003 totaling \$5,048,580 have been treated in the same manner as cash withdrawals for the purpose of the Principal Balance Calculation because those amounts were paid prior to the time period covered by the IRS Settlement; and
- The amounts paid by BLMIS to the IRS between January 1, 2003 and December 11, 2008 totaling \$98,042 have been excluded from the Principal Balance Calculation and are not reflected as a deduction to the account's principal balance because those payments were within the time period of the IRS Settlement, to be consistent with the treatment for all of

the other accounts in which the BLMIS estate has recovered the amounts from the IRS.

68. Therefore, the total amount of withdrawals from Former Ascot Fund Account 1FN005 was \$39,488,580. This includes the three individual cash withdrawals totaling \$34,440,000 and the tax withholding payments made by BLMIS on the accountholder's behalf prior to January 1, 2003 totaling \$5,048,580, which were not refunded to BLMIS pursuant to the IRS Settlement.

iii) Description of Inter-Account Transfers from Former Ascot Fund Account 1FN005

69. Between July 29, 1993 and January 23, 2002, there were 12 inter-account transfers from Former Ascot Fund Account 1FN005 to Ascot Partners Account 1A0058, Gabriel Capital Account 1G0321, and Ariel Fund Account 1FR070 in the aggregate amount of \$58,196,307, as reported on the Customer Statements. As of the dates of these inter-account transfers, Former Ascot Fund Account 1FN005 had sufficient principal to transfer the full amount to Ascot Partners Account 1A0058, Gabriel Capital Account 1G0321, and Ariel Fund Account 1FR070. Therefore, these inter-account transfers resulted in a decrease to the Principal Balance of Former Ascot Fund Account 1FN005 of \$58,196,307 and increases to the Principal Balances of Ascot Partners Account 1A0058 of \$43,796,307, Ariel Fund Account 1FR070 of \$7,100,000, and Gabriel Capital Account 1G0321 of \$7,300,000. (*See Exhibits 4O, 4P, and 4R; see also Exhibit 4Q for Detailed Schedule for the Principal Balance Calculation for Ariel Fund Account 1FR070.*)

70. On January 8, 2003, there was an additional inter-account transfer from Former Ascot Fund Account 1FN005 to Ascot Partners Account 1A0058 in the amount of \$551,296,800, as reported on the Customer Statements. At the time of this inter-account transfer, Former Ascot Fund Account 1FN005 had only \$221,487,622 of principal available to be transferred. Therefore, Ascot Partners Account 1A0058 was credited with \$221,487,622 of principal for purposes of the Principal Balance Calculation as the remaining amount of the reported inter-account transfer of \$329,809,178 constituted fictitious profits. (*See Exhibits 4O and 4P; see also Exhibit 5 for the Analysis of Activity in Former Ascot Fund Account 1FN005 and the Inter-Account Transfer to Ascot Partners Account 1A0058 on January 8, 2003.*)

71. **Table 4** below further provides a summary of the principal in Former Ascot Fund Account 1FN005 available to be transferred:

<b>Table 4 - Summary of Principal Available in Former Ascot Fund Account 1FN005 at Time of Inter-Account Transfer</b>						
<b>Date</b>	<b>BLMIS Transferee Account</b>	<b>Reported Transfer Amount</b>	<b>Principal Balance at the Time of the Transfer</b>	<b>Principal Transferred</b>	<b>Exhibits</b>	
7/29/1993	1A0058	\$ 1,695,510	\$ 54,633,564	\$ 1,695,510	4O; 4P	
1/11/1994	1A0058	14,053,625	52,607,216	14,053,625	4O; 4P	
4/25/1994	1A0058	11,052,172	38,442,149	11,052,172	4O; 4P	
10/13/1994	1A0058	5,961,000	33,132,340	5,961,000	4O; 4P	
7/24/1995	1A0058	1,780,000	26,715,492	1,780,000	4O; 4P	
8/3/1995	1A0058	4,000,000	24,887,463	4,000,000	4O; 4P	
1/26/1996	1A0058	304,000	31,136,409	304,000	4O; 4P	
4/16/1996	1A0058	500,000	30,740,042	500,000	4O; 4P	
4/14/1999	1A0058	1,950,000	100,144,325	1,950,000	4O; 4P	
10/15/2001	1G0321	7,300,000	199,677,875	7,300,000	4O; 4R	
10/15/2001	1FR070	7,100,000	192,377,875	7,100,000	4O; 4Q	
1/23/2002	1A0058	2,500,000	185,006,667	2,500,000	4O; 4P	
1/8/2003	1A0058	551,296,800	221,487,622	221,487,622	4O; 4P	
	Total	<u>\$ 609,493,107</u>			<u>\$ 279,683,929</u>	

iv) The Principal Balance Calculation for Former Ascot Fund Account 1FN005

72. As summarized in **Exhibit 3C** and detailed in **Exhibit 4O**, the Principal Balance Calculation for Former Ascot Fund Account 1FN005 included:

- Cash deposits totaling \$242,764,111;
- Inter-account transfers of principal to Former Ascot Fund Account 1FN005 totaling \$76,408,398 (excludes the portions of the reported inter-account transfer amounts which represented fictitious profits);
- Total cash withdrawals of \$34,440,000;
- Total payments made by BLMIS to the IRS on Former Ascot Fund Account 1FN005's behalf prior to January 1, 2003 totaling \$5,048,580; and
- Inter-account transfers of principal from Former Ascot Fund Account 1FN005 totaling \$279,683,929. (*See Exhibit 3C and Exhibit 5.*)

73. Based on the cash and principal transactions in Former Ascot Fund Account 1FN005, there was a zero principal balance remaining in the account as of December 11, 2008.

74. The Principal Balance Calculation for Former Ascot Fund Account 1FN005 demonstrates that between January 2, 1992 and December 11, 2008, of the \$319,172,509 of principal available in the account, \$279,683,929 was transferred to other BLMIS accounts and \$39,488,580 was withdrawn. Of these withdrawals there were no withdrawals within the six-year and two-year periods prior to December 11, 2008. (See **Exhibit 4O**.)

#### **D. Description of Account Activity in Ascot Partners Account 1A0058**

75. Ascot Partners Account 1A0058 was maintained with BLMIS from January 1993 through December 2008.

76. Throughout its account history with BLMIS, Ascot Partners Account 1A0058 had: (i) cash deposits; (ii) cash withdrawals; (iii) inter-account transfers received from Ariel Capital Account 1A0042, BLMIS Accounts 1H0037, 1B0079, and 1W0041, Former Ascot Fund Account 1FN005, Ariel Fund Account 1FR070, and Gabriel Capital Account 1G0321; and (iv) inter-account transfers made to Former Ascot Fund Account 1FN005, Ariel Fund Account 1FR070, and Gabriel Capital Account 1G0321. All cash deposits, withdrawals, and inter-account transfers to and from Ascot Partners Account 1A0058 impacted the Principal Balance Calculation. Each of these transactions was reflected on the Customer Statements between January 1993 and October 2008.

77. As described above and in the Principal Balance Calculation Report, the amount of an inter-account transfer that can be credited to a transferee's account for purposes of the Principal Balance Calculation is limited to the amount of principal available in the transferor's account at the time of transfer.

78. Thus, in order to perform the Principal Balance Calculation for Ascot Partners Account 1A0058, Ariel Capital Account 1A0042, BLMIS Accounts 1H0037, 1B0079, and 1W0041, Former Ascot Fund Account 1FN005, Ariel Fund Account 1FR070, and Gabriel Capital Account 1G0321 were analyzed to determine the amount of principal available in each of these accounts that could be transferred.

79. **Exhibit 3D** to this report (Summary Schedule of Cash and Principal Activity in Ascot Partners Account 1A0058) provides a summary of the activity in the BLMIS accounts that impacted the Principal Balance Calculation for Ascot Partners Account 1A0058.

80. **Exhibits 4K-4R** to this report provide detailed schedules for the Principal Balance Calculation for Ascot Partners Account 1A0058 (**Exhibit 4P**) and all of the BLMIS accounts (**Exhibits 4K-4N**) as well as Former Ascot Fund Account 1FN005, Ariel Fund Account 1FR070, and Gabriel Capital Account 1G0321 (**Exhibits 4O** and **4Q-4R**) that impacted the Principal Balance Calculation of Ascot Partners Account 1A0058.

i) Description of Cash Deposits and Inter-Account Transfers to Ascot Partners Account 1A0058

81. On January 4, 1993, Ascot Partners Account 1A0058 was opened with four inter-account transfers, one from each of the following BLMIS accounts: Ariel Capital Account 1A0042 and BLMIS Accounts 1H0037, 1B0079, and 1W0041 in the aggregate amount of \$32,112,838, as reported on the Customer Statements. However, as reflected in **Exhibits 4K-4N**, because Ariel Capital Account 1A0042 and these three BLMIS accounts had only \$27,343,295 of combined principal at the time of the inter-account transfers, Ascot Partners Account 1A0058 was credited with an aggregate amount of \$27,343,295 of principal for purposes of the Principal Balance Calculation based on the funds available in these four BLMIS accounts on January 4, 1993. The remaining amount of \$4,769,543 from the four reported inter-account transfers constituted fictitious profits. (*See Exhibit 4K; see also Exhibits 4L-4N* for Detailed Schedules for the Principal Balance Calculations for BLMIS Accounts 1H0037, 1B0079, and 1W0041, respectively.)

82. **Table 5** below further provides a summary of the principal in each BLMIS account on January 4, 1993 available to be transferred to Ascot Partners Account 1A0058:

<b>Table 5 - Summary of Principal Available in Transferor's Account at Time of Inter-Account Transfer to Ascot Partners Account 1A0058</b>						
<b>Date</b>	<b>BLMIS Transferor Account</b>	<b>Reported Transfer Amount</b>	<b>Principal Balance at the Time of the Transfer</b>	<b>Principal Transferred</b>	<b>Exhibits</b>	
1/4/1993	1A0042	\$ 25,759,317	\$ 21,543,295	\$ 21,543,295	4K; 4P	
1/4/1993	1H0037	1,485,398	1,300,000	1,300,000	4L; 4P	
1/4/1993	1B0079	1,712,463	1,500,000	1,500,000	4M; 4P	
1/4/1993	1W0041	3,155,660	3,000,000	3,000,000	4N; 4P	
	Total	<u>\$ 32,112,838</u>		<u>\$ 27,343,295</u>		

83. On February 26, 1993, Ascot Partners Account 1A0058 received four additional inter-account transfers from the same four BLMIS accounts from which it received inter-account transfers on January 4, 1993 (*i.e.*, 1A0042, 1H0037, 1B0079, and 1W0041) in the aggregate amount of \$17,046, as reported on the Customer Statements. However, because these BLMIS accounts had zero principal balances at the time of the inter-account transfers, there was no principal available to be transferred to Ascot Partners Account 1A0058. Therefore, these inter-account transfers constituted fictitious profits. Accordingly, the principal balance of Ascot Partners Account 1A0058 did not increase as a result of these four inter-account transfers on February 26, 1993. (*See Exhibits 4K-4N.*)

84. **Table 6** below further provides a summary of the principal in each BLMIS account on February 26, 1993 available to be transferred to Ascot Partners Account 1A0058:

<b>Table 6 - Summary of Principal Available in Transferor's Account at Time of Inter-Account Transfer to Ascot Partners Account 1A0058</b>						
<b>Date</b>	<b>BLMIS Transferor Account</b>	<b>Reported Transfer Amount</b>	<b>Principal Balance at the Time of the Transfer</b>	<b>Principal Transferred</b>	<b>Exhibits</b>	
2/26/1993	1A0042	\$ 14,276	\$0	\$0	4K; 4P	
2/26/1993	1H0037	789	0	0	4L; 4P	
2/26/1993	1B0079	904	0	0	4M; 4P	
2/26/1993	1W0041	1,077	0	0	4N; 4P	
	Total	<u>\$ 17,046</u>		<u>\$0</u>		

85. Between July 29, 1993 and August 2, 2004, Ascot Partners Account 1A0058 received 26 additional inter-account transfers from Former Ascot Fund Account 1FN005, Ariel Fund Account 1FR070, and Gabriel Capital Account 1G0321 in the aggregate amount of \$173,196,307, as reported on the Customer Statements. (See **Exhibits 4O, 4Q, and 4R.**)

86. **Table 7** below further provides a summary of the principal in each Merkin account available to be transferred to Ascot Partners Account 1A0058:

<b>Table 7 - Summary of Principal Available in Transferor's Account at Time of Inter-Account Transfer to Ascot Partners Account 1A0058</b>					
<b>Date</b>	<b>BLMIS Transferor Account</b>	<b>Reported Transfer Amount</b>	<b>Principal Balance at the Time of the Transfer</b>	<b>Principal Transferred</b>	<b>Exhibits</b>
7/29/1993	1FN005	\$ 1,695,510	\$ 54,633,564	\$ 1,695,510	4O; 4P
1/11/1994	1FN005	14,053,625	52,607,216	14,053,625	4O; 4P
4/25/1994	1FN005	11,052,172	38,442,149	11,052,172	4O; 4P
10/13/1994	1FN005	5,961,000	33,132,340	5,961,000	4O; 4P
7/24/1995	1FN005	1,780,000	26,715,492	1,780,000	4O; 4P
8/3/1995	1FN005	4,000,000	24,887,463	4,000,000	4O; 4P
1/26/1996	1FN005	304,000	31,136,409	304,000	4O; 4P
4/16/1996	1FN005	500,000	30,740,042	500,000	4O; 4P
4/14/1999	1FN005	1,950,000	100,144,325	1,950,000	4O; 4P
1/23/2002	1FR070	800,000	106,832,079	800,000	4Q; 4P
1/23/2002	1G0321	3,700,000	98,000,000	3,700,000	4R; 4P
1/23/2002	1FN005	2,500,000	185,006,667	2,500,000	4O; 4P
7/15/2002	1G0321	200,000	94,300,000	200,000	4R; 4P
7/15/2002	1FR070	6,700,000	105,877,276	6,700,000	4Q; 4P
1/8/2003	1FR070	6,500,000	98,606,797	6,500,000	4Q; 4P
1/8/2003	1G0321	19,500,000	100,600,000	19,500,000	4R; 4P
4/15/2003	1G0321	10,700,000	81,100,000	10,700,000	4R; 4P
4/15/2003	1FR070	11,300,000	92,106,797	11,300,000	4Q; 4P
7/3/2003	1FR070	8,000,000	80,806,797	8,000,000	4Q; 4P
7/3/2003	1G0321	13,000,000	70,400,000	13,000,000	4R; 4P
10/7/2003	1FR070	12,000,000	72,806,797	12,000,000	4Q; 4P
10/7/2003	1G0321	6,000,000	57,400,000	6,000,000	4R; 4P
1/5/2004	1FR070	14,200,000	60,806,797	14,200,000	4Q; 4P
1/5/2004	1G0321	5,800,000	51,400,000	5,800,000	4R; 4P
8/2/2004	1G0321	5,000,000	66,200,000	5,000,000	4R; 4P
8/2/2004	1FR070	6,000,000	66,006,797	6,000,000	4Q; 4P
Total		<u>\$ 173,196,307</u>		<u>\$ 173,196,307</u>	

87. Because the transferor accounts had sufficient principal available at the time of these inter-account transfers, Ascot Partners Account 1A0058 was credited with the full inter-account transfer amount of each transaction in the aggregate amount of \$173,196,307 of principal for purposes of the Principal Balance Calculation.

88. In addition to these 26 inter-account transfers, on January 8, 2003, Ascot Partners Account 1A0058 received one additional inter-account transfer from Former Ascot Fund Account 1FN005 in the amount of \$551,296,800, as reported on the Customer Statements. As reflected in **Exhibit 4O**, because Former Ascot Fund Account 1FN005 had only \$221,487,622 of principal available at the time of the transfer, Ascot Partners Account 1A0058 was credited with \$221,487,622 of principal for purposes of the Principal Balance Calculation. The remaining amount of \$329,809,178 of this inter-account transfer constituted fictitious profits. (*See Exhibit 5.*)

89. These inter-account transfers provided Ascot Partners Account 1A0058 with a total of \$422,027,224 of principal.

90. There were 31 cash deposits via wire transfers in the aggregate amount of \$552,335,889, the entire amount of which constituted principal. (*See Exhibit 4P.*)

91. In sum, these 31 cash deposits and 35 inter-account transfers from other BLMIS accounts provided Ascot Partners Account 1A0058 with a total of \$974,363,113 of principal. (*See Exhibit 3D.*)

ii) Description of Cash Withdrawals and Inter-Account Transfers from Ascot Partners Account 1A0058

92. As detailed in **Exhibit 4P**, between January 4, 1993 and October 9, 2008, the Customer Statements for Ascot Partners Account 1A0058 reflected a total of 16 cash withdrawals for a total amount of \$489,840,000.

93. Between July 12, 1994 and January 4, 2007, there were 20 inter-account transfers from Ascot Partners Account 1A0058 to Former Ascot Fund Account 1FN005, Gabriel Capital Account 1G0321, and Ariel Fund Account 1FR070 in the aggregate amount of \$258,504,709, as reported on the Customer Statements. As of the dates of these inter-account transfers, Ascot Partners Account 1A0058 had sufficient principal to transfer the full amount to Former Ascot Fund Account 1FN005, Gabriel Capital Account 1G0321, and Ariel Fund Account 1FR070. Therefore, these inter-account transfers resulted in a decrease to the Principal Balance of Ascot

Partners Account 1A0058 of \$258,504,709 and increases to the Principal Balances of Former Ascot Fund Account 1FN005 of \$40,504,709, Gabriel Capital Account 1G0321 of \$140,500,000, and Ariel Fund Account 1FR070 of \$77,500,000. (*See Exhibits 4O-4R.*)

iii) The Principal Balance Calculation for Ascot Partners Account 1A0058

94. As summarized in **Exhibit 3D** and detailed in **Exhibit 4P**, the Principal Balance Calculation for Ascot Partners Account 1A0058 included:

- Cash deposits totaling \$552,335,889;
- Inter-account transfers of principal to Ascot Partners Account 1A0058 totaling \$422,027,224 (excludes the portions of the reported inter-account transfer amounts which represented fictitious profits) (*See Exhibit 5*);
- Total cash withdrawals of \$489,840,000; and
- Inter-account transfers of principal from Ascot Partners Account 1A0058 totaling \$258,504,709. (*See Exhibit 3D.*)

95. Based on the cash and principal transactions in Ascot Partners Account 1A0058, there was \$226,018,404 of principal in the account as of December 11, 2008.

96. The Principal Balance Calculation for Ascot Partners Account 1A0058 demonstrates that between January 4, 1993 and December 11, 2008, of the \$974,363,113 of principal available in the account, \$258,504,709 was transferred to other BLMIS accounts and \$489,840,000 was withdrawn. Of these cash withdrawals, there were nine cash withdrawals totaling \$461,000,000 within the six-year period prior to December 11, 2008. Within the two-year period prior to December 11, 2008, \$280,000,000 was withdrawn from Ascot Partners Account 1A0058. (*See Exhibit 4P.*)

#### **E. Description of Account Activity in Ariel Fund Account 1FR070**

97. Ariel Fund Account 1FR070 was maintained with BLMIS from August 2000 through December 2008.

98. Throughout its account history with BLMIS, Ariel Fund Account 1FR070 had: (i) cash deposits; (ii) a cash withdrawal; (iii) deductions of principal for payments made by BLMIS to the IRS on the accountholder's behalf<sup>23</sup>; (iv) inter-account transfers received from Ascot Partners Account 1A0058, Former Ascot Fund Account 1FN005 and Gabriel Capital Account 1G0321; and (iv) inter-account transfers made to Ascot Partners Account 1A0058 and Gabriel Capital Account 1G0321. All cash deposits, withdrawals, payments made by BLMIS to the IRS on the accountholder's behalf, and inter-account transfers to and from Ariel Fund Account 1FR070 impacted the Principal Balance Calculation. Each of these transactions was reflected on the Customer Statements between August 2000 and November 2008.

99. As described above and in the Principal Balance Calculation Report, the amount of an inter-account transfer that can be credited to a transferee's account for purposes of the Principal Balance Calculation is limited to the amount of principal available in the transferor's account at the time of transfer.

100. Thus, in order to perform the Principal Balance Calculation for Ariel Fund Account 1FR070, Ascot Partners Account 1A0058, Former Ascot Fund Account 1FN005, and Gabriel Capital Account 1G0321 were analyzed to determine the amount of principal available in each of these accounts that could be transferred.

101. **Exhibit 3E** to this report (Summary Schedule of Cash and Principal Activity in Ariel Fund Account 1FR070) provides a summary of the activity in the BLMIS accounts that impacted the Principal Balance Calculation for Ariel Fund Account 1FR070.

102. **Exhibits 4O-4R** to this report provide detailed schedules for the Principal Balance Calculation for Ariel Fund Account 1FR070 (**Exhibit 4Q**) as well as detailed schedules for Former Ascot Fund Account 1FN005, Ascot Partners Account 1A0058 and Gabriel Capital Account 1G0321 (**Exhibits 4O, 4P, and 4R**) that impacted the Principal Balance Calculation of Ariel Fund Account 1FR070.

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<sup>23</sup> There were over 800 line items/transactions from Customer Statements representing the amounts withheld by BLMIS and paid to the IRS on behalf of Ariel Fund Account 1FR070. These transactions are discussed in greater detail in this report.

i) Description of Cash Deposits and Inter-Account Transfers to Ariel Fund Account 1FR070

103. On August 2, 2000, Ariel Fund Account 1FR070 was opened with a cash deposit via wire transfer in the amount of \$46,000,000, the entire amount of which constituted principal. (*See Exhibit 4Q.*)

104. Subsequent to the initial cash deposit, three additional cash deposits were made via wire transfers in the aggregate amount of \$98,300,000, the entire amount of which constituted principal:

- A cash deposit via wire transfer for \$38,200,000 on January 8, 2001;
- A cash deposit via wire transfer for \$14,100,000 on April 12, 2001; and
- A cash deposit via wire transfer for \$46,000,000 on July 10, 2006.

105. These four cash deposits provided Ariel Fund Account 1FR070 with a total of \$144,300,000 of principal.

106. Between July 25, 2001 and January 3, 2008, Ariel Fund Account 1FR070 received six inter-account transfers from Ascot Partners Account 1A0058, Former Ascot Fund Account 1FN005, and Gabriel Capital Account 1G0321 in the aggregate amount of \$122,600,000, as reported on the Customer Statements. As of the date of these inter-account transfers, Ascot Partners Account 1A0058, Former Ascot Fund Account 1FN005, and Gabriel Capital Account 1G0321 had sufficient principal to transfer the full amount to Ariel Fund Account 1FR070. Therefore, Ariel Fund Account 1FR070 was credited with \$122,600,000 of principal for purposes of the Principal Balance Calculation. (*See Exhibits 4O-4R.*)

107. **Table 8** below further provides a summary of the principal in Ascot Partners Account 1A0058, Former Ascot Fund Account 1FN005, and Gabriel Capital Account 1G0321 available to be transferred to Ariel Fund Account 1FR070.

**Table 8 - Summary of Principal Available in Transferor's Account at Time of Inter-Account Transfer to Ariel Fund Account 1FR070**

Date	BLMIS Transferor Account	Reported Transfer Amount	Principal Balance at the Time of the Transfer	Principal Transferred	Exhibits
7/25/2001	1A0058	\$ 1,600,000	\$ 136,695,782	\$ 1,600,000	4P; 4Q
10/15/2001	1FN005	7,100,000	192,377,875	7,100,000	4O; 4Q
7/14/2004	1A0058	19,400,000	511,418,404	19,400,000	4P; 4Q
1/5/2006	1A0058	38,000,000	614,018,404	38,000,000	4P; 4Q
1/4/2007	1A0058	18,500,000	399,518,404	18,500,000	4P; 4Q
1/3/2008	1G0321	<u>38,000,000</u>	219,100,000	<u>38,000,000</u>	4R; 4Q
	Total	<u>\$ 122,600,000</u>		<u>\$ 122,600,000</u>	

108. Because the transferor accounts had sufficient principal available at the time of these inter-account transfers, Ariel Fund Account 1FR070 was credited with the full inter-account transfer amount of each transaction in the aggregate amount of \$122,600,000 of principal for purposes of the Principal Balance Calculation.

109. In sum, these four cash deposits and six inter-account transfers from Ascot Partners Account 1A0058, Former Ascot Fund Account 1FN005, and Gabriel Capital Account 1G0321 provided Ariel Fund Account 1FR070 with a total of \$266,900,000 of principal. (*See Exhibit 3E.*)

ii) Description of Cash Withdrawals and Deductions of Principal from Ariel Fund Account 1FR070

110. As detailed in **Exhibit 4Q**, on July 7, 2008, the Customer Statements for Ariel Fund Account 1FR070 reflected one cash withdrawal in the amount of \$16,200,000.

111. Between August 15, 2000 and December 3, 2008, BLMIS withheld over 800 individual amounts for purported tax obligations totaling \$3,318,697 and paid these amounts to the IRS on behalf of Ariel Fund Account 1FR070, of which a total of \$2,925,494 was paid by BLMIS to the IRS on behalf of the accountholder during the period between January 1, 2003 and December 11, 2008.

112. As described in greater detail above, I have been informed that Ariel Fund Ltd. received a direct refund from the IRS. Nonetheless, I have been instructed by Counsel to the Trustee that the amounts withheld by BLMIS and paid to the IRS between January 1, 2003 and December 11, 2008 for all 145 foreign accountholders should be excluded from the Principal Balance Calculation (*i.e.*, not reflected as a deduction to each account's principal balance as a

cash withdrawal has been treated). Accordingly, for purposes of the Principal Balance Calculation for Ariel Fund Account 1FR070, the following assumptions have been made with respect to the payments made by BLMIS to the IRS on behalf of the accountholder:

- The amounts paid by BLMIS to the IRS prior to January 1, 2003 totaling \$393,203 have been treated in the same manner as cash withdrawals for the purpose of the Principal Balance Calculation because those amounts were paid prior to the time period covered by the IRS Settlement; and
- The amounts paid by BLMIS to the IRS between January 1, 2003 and December 11, 2008 totaling \$2,925,494 have been excluded from the Principal Balance Calculation and are not reflected as a deduction to the account's principal balance because those payments were within the time period of the IRS Settlement, to be consistent with the treatment for all of the other accounts in which the BLMIS estate has recovered the amounts from the IRS.

113. Therefore, the total amount of withdrawals from Ariel Fund Account 1FR070 was \$16,593,203. This includes the one cash withdrawal in the amount of \$16,200,000 and the tax withholding payments made by BLMIS on the accountholder's behalf prior to January 1, 2003 totaling \$393,203, which were not refunded to BLMIS pursuant to the IRS Settlement.

iii) Description of Inter-Account Transfers from Ariel Fund Account 1FR070

114. Between January 23, 2002 and July 14, 2005, there were ten inter-account transfers from Ariel Fund Account 1FR070 to Ascot Partners Account 1A0058 and Gabriel Capital Account 1G0321 in the aggregate amount of \$74,400,000, as reported on the Customer Statements. As of the dates of these inter-account transfers, Ariel Fund Account 1FR070 had sufficient principal to transfer the full amount to Ascot Partners Account 1A0058 and Gabriel Capital Account 1G0321. Therefore, these inter-account transfers resulted in a decrease to the Principal Balance of Ariel Fund Account 1FR070 of \$74,400,000 and increases to the Principal Balances of Ascot Partners Account 1A0058 of \$65,500,000 and Gabriel Capital Account 1G0321 of \$8,900,000. (*See Exhibits 4P-4R.*)

iv) The Principal Balance Calculation for Ariel Fund Account 1FR070

115. As summarized in **Exhibit 3E** and detailed in **Exhibit 4Q**, the Principal Balance Calculation for Ariel Fund Account 1FR070 included:

- Cash deposits totaling \$144,300,000;
- Inter-account transfers of principal to Ariel Fund Account 1FR070 totaling \$122,600,000 (excludes the portions of the reported inter-account transfer amounts which represented fictitious profits);
- Total cash withdrawals of \$16,200,000;
- Total payments made by BLMIS to the IRS on Ariel Fund Account 1FR070's behalf prior to January 1, 2003 totaling \$393,203; and
- Inter-account transfers of principal from Ariel Fund Account 1FR070 totaling \$74,400,000. (*See Exhibit 3E.*)

116. Based on the cash and principal transactions, the Principal Balance Calculation for Ariel Fund Account 1FR070 demonstrates there was \$175,906,797 of principal in the account as of December 11, 2008.

117. The Principal Balance Calculation for Ariel Fund Account 1FR070 demonstrates that between August 2, 2000 and December 11, 2008, of the \$266,900,000 of principal available in the account, \$74,400,000 was transferred to other BLMIS accounts and \$16,593,203 was withdrawn (comprised of one cash withdrawal in the amount of \$16,200,000, which was made within the two-year period prior to December 11, 2008, and payments made by BLMIS to the IRS on Ariel Fund Account 1FR070's behalf prior to January 1, 2003 totaling \$393,203). (*See Exhibit 4Q.*)

**F. Description of Account Activity in Gabriel Capital Account 1G0321**

118. Gabriel Capital Account 1G0321 was maintained with BLMIS from August 2000 through December 2008.

119. Throughout its account history with BLMIS, Gabriel Capital Account 1G0321 had: (i) cash deposits; (ii) a cash withdrawal; (iii) inter-account transfers received from Former Ascot Fund Account 1FN005, Ascot Partners Account 1A0058, and Ariel Fund Account 1FR070; and (iv) inter-account transfers made to Former Ascot Fund Account 1FN005, Ascot Partners Account 1A0058, and Ariel Fund Account 1FR070. All cash deposits, withdrawals, and

inter-account transfers to and from Gabriel Capital Account 1G0321 impacted the Principal Balance Calculation. Each of these transactions was reflected on the Customer Statements between August 2000 and July 2008.

120. As described above and in the Principal Balance Calculation Report, the amount of an inter-account transfer that can be credited to a transferee's account for purposes of the Principal Balance Calculation is limited to the amount of principal available in the transferor's account at the time of transfer.

121. Thus, in order to perform the Principal Balance Calculation for Gabriel Capital Account 1G0321, Former Ascot Fund Account 1FN005, Ascot Partners Account 1A0058, and Ariel Fund Account 1FR070 were analyzed to determine the amount of principal available in each of these accounts that could be transferred.

122. **Exhibit 3F** to this report (Summary Schedule of Cash and Principal Activity in Gabriel Capital Account 1G0321) provides a summary of the activity in the BLMIS accounts that impacted the Principal Balance Calculation for Gabriel Capital Account 1G0321.

123. **Exhibits 4O-4R** to this report provides detailed schedules for the Principal Balance Calculation for Gabriel Capital Account 1G0321 (**Exhibit 4R**) as well as Former Ascot Fund Account 1FN005, Ascot Partners Account 1A0058, and Ariel Fund Account 1FR070 (**Exhibits 4O-4Q**) that impacted the Principal Balance Calculation of Gabriel Capital Account 1G0321.

i) Description of Cash Deposits and Inter-Account Transfers to Gabriel Capital Account 1G0321

124. On August 2, 2000, Gabriel Capital Account 1G0321 was opened with a cash deposit via wire transfer in the amount of \$39,000,000, the entire amount of which constituted principal. (See **Exhibit 4R**.)

125. Subsequent to the initial cash deposit, four additional cash deposits<sup>24</sup> were made via wire transfers in the aggregate amount of \$87,700,000, the entire amount of which constituted principal:

- A cash deposit via wire transfer for \$35,800,000 on January 8, 2001;
- A cash deposit via wire transfer for \$11,900,000 on April 12, 2001;

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<sup>24</sup> These cash deposits exclude the line items on the Customer Statements related to a check for \$39,000 on October 22, 2001 because the check was cancelled on the same day. (See **Exhibit 4R**.)

- A cash deposit via wire transfer for \$2,000,000 on October 8, 2002; and
- A cash deposit via wire transfer for \$38,000,000 on July 10, 2006.

126. These five cash deposits provided Gabriel Capital Account 1G0321 with a total of \$126,700,000 of principal.

127. Between July 25, 2001 and January 4, 2007, Gabriel Capital Account 1G0321 received ten inter-account transfers from Former Ascot Fund Account 1FN005, Ascot Partners Account 1A0058, and Ariel Fund Account 1FR070 in the aggregate amount of \$156,700,000, as reported on the Customer Statements. As of the date of these inter-account transfers, Former Ascot Fund Account 1FN005, Ascot Partners Account 1A0058, and Ariel Fund Account 1FR070 had sufficient principal to transfer the full amount to Gabriel Capital Account 1G0321. Therefore, Gabriel Capital Account 1G0321 was credited with \$156,700,000 of principal for purposes of the Principal Balance Calculation. (*See Exhibits 4O-4R.*)

128. **Table 9** below further provides a summary of the principal in Former Ascot Fund Account 1FN005, Ascot Partners Account 1A0058, and Ariel Fund Account 1FR070 available to be transferred to Gabriel Capital Account 1G0321:

<b>Table 9 - Summary of Principal Available in Transferor's Account at Time of Inter-Account Transfer to Gabriel Capital Account 1G0321</b>					
<b>Date</b>	<b>BLMIS Transferor Account</b>	<b>Reported Transfer Amount</b>	<b>Principal Balance at the Time of the Transfer</b>	<b>Principal Transferred</b>	<b>Exhibits</b>
7/25/2001	1A0058	\$ 1,400,000	\$ 138,095,782	\$ 1,400,000	4P; 4R
10/15/2001	1FN005	7,300,000	199,677,875	7,300,000	4O; 4R
10/15/2001	1A0058	2,600,000	135,095,782	2,600,000	4P; 4R
10/8/2002	1FR070	500,000	99,115,871	500,000	4Q; 4R
12/31/2002	1A0058	4,400,000	147,930,782	4,400,000	4P; 4R
7/14/2004	1A0058	20,600,000	532,018,404	20,600,000	4P; 4R
7/14/2005	1FR070	8,400,000	60,006,797	8,400,000	4Q; 4R
1/5/2006	1A0058	59,000,000	673,018,404	59,000,000	4P; 4R
7/7/2006	1A0058	26,000,000	437,018,404	26,000,000	4P; 4R
1/4/2007	1A0058	26,500,000	426,018,404	26,500,000	4P; 4R
Total		<u>\$ 156,700,000</u>		<u>\$ 156,700,000</u>	

129. Because the transferor accounts had sufficient principal available at the time of these inter-account transfers, Gabriel Capital Account 1G0321 was credited with the full inter-

account transfer amount of each transaction in the aggregate amount of \$156,700,000 of principal for purposes of the Principal Balance Calculation.

130. In sum, these five cash deposits and ten inter-account transfers from Former Ascot Fund Account 1FN005, Ascot Partners Account 1A0058, and Ariel Fund Account 1FR070 provided Gabriel Capital Account 1G0321 with a total of \$283,400,000 of principal. (*See Exhibit 3F.*)

ii) Description of Cash Withdrawal and Inter-Account Transfers from Gabriel Capital Account 1G0321

131. As detailed in **Exhibit 4R**, on July 7, 2008, the Customer Statements for Gabriel Capital Account 1G0321 reflected one cash withdrawal in the amount of \$17,400,000.

132. Between January 23, 2002 and January 3, 2008, there were ten inter-account transfers from Gabriel Capital Account 1G0321 to Former Ascot Fund Account 1FN005, Ascot Partners Account 1A0058, and Ariel Fund Account 1FR070 in the aggregate amount of \$102,300,000, as reported on the Customer Statements. As of the dates of these inter-account transfers, Gabriel Capital Account 1G0321 had sufficient principal to transfer the full amount to Former Ascot Fund Account 1FN005, Ascot Partners Account 1A0058, and Ariel Fund Account 1FR070. Therefore, these inter-account transfers resulted in a decrease to the Principal Balance of Gabriel Capital Account 1G0321 of \$102,300,000 and increases to the Principal Balances of Former Ascot Fund Account 1FN005 of \$400,000, Ascot Partners Account 1A0058 of \$63,900,000, and Ariel Fund Account 1FR070 of \$38,000,000. (*See Exhibits 4O-4R.*)

iii) The Principal Balance Calculation for Gabriel Capital Account 1G0321

133. As summarized in **Exhibit 3F** and detailed in **Exhibit 4R**, the Principal Balance Calculation for Gabriel Capital Account 1G0321 included:

- Cash deposits totaling \$126,700,000;
- Inter-account transfers of principal to Gabriel Capital Account 1G0321 totaling \$156,700,000;
- Cash withdrawals totaling \$17,400,000; and
- Inter-account transfers of principal from Gabriel Capital Account 1G0321 totaling \$102,300,000. (*See Exhibit 3F.*)

134. Based on the cash and principal transactions in Gabriel Capital Account 1G0321, there was \$163,700,000 of principal in the account as of December 11, 2008.

135. The Principal Balance Calculation for Gabriel Capital Account 1G0321 demonstrates that between August 2, 2000 and December 11, 2008, of the \$283,400,000 of principal available in the account, \$102,300,000 was transferred to other BLMIS accounts and \$17,400,000 was withdrawn. The one cash withdrawal in the amount of \$17,400,000 was made within the two-year period prior to December 11, 2008. (See **Exhibit 4R**.)

#### **IV. SIGNATURE AND RIGHT TO MODIFY**

136. This report and the exhibits contained herein present my findings and the bases thereof. To the extent that any additional information is produced by any party, I reserve the right to incorporate such additional information to my report or to modify my report as necessary.

Respectfully submitted,



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Matthew B. Greenblatt, CPA/CFF, CFE  
Senior Managing Director  
FTI Consulting, Inc.

Dated: March 20, 2015

## V. LIST OF EXHIBITS

- Exhibit 1** – Curriculum Vitae and Testimony of Matthew B. Greenblatt
- Exhibit 2** – Documents Considered
- Exhibit 3A** – Summary Schedule of Cash and Principal Activity in Ariel Fund Account 1FN004
- Exhibit 3B** – Summary Schedule of Cash and Principal Activity in Ariel Capital Account 1A0042
- Exhibit 3C** – Summary Schedule of Cash and Principal Activity in Former Ascot Fund Account 1FN005
- Exhibit 3D** – Summary Schedule of Cash and Principal Activity in Ascot Partners Account 1A0058
- Exhibit 3E** – Summary Schedule of Cash and Principal Activity in Ariel Fund Account 1FR070
- Exhibit 3F** – Summary Schedule of Cash and Principal Activity in Gabriel Capital Account 1G0321
- Exhibit 4A** – Detailed Schedule for the Principal Balance Calculation for Ariel Fund Account 1FN004
- Exhibit 4B** – Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1FN033
- Exhibit 4C** – Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1FN041
- Exhibit 4D** – Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1FN016
- Exhibit 4E** – Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1FN042
- Exhibit 4F** – Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1FN022
- Exhibit 4G** – Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1FN039
- Exhibit 4H** – Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1FN020

**Exhibit 4I** – Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1FN030

**Exhibit 4J** – Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1FN031

**Exhibit 4K** – Detailed Schedule for the Principal Balance Calculation for Ariel Capital Account 1A0042

**Exhibit 4L** – Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1H0037

**Exhibit 4M** – Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1B0079

**Exhibit 4N** – Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1W0041

**Exhibit 4O** – Detailed Schedule for the Principal Balance Calculation for Former Ascot Fund Account 1FN005

**Exhibit 4P** – Detailed Schedule for the Principal Balance Calculation for Ascot Partners Account 1A0058

**Exhibit 4Q** – Detailed Schedule for the Principal Balance Calculation for Ariel Fund Account 1FR070

**Exhibit 4R** – Detailed Schedule for the Principal Balance Calculation for Gabriel Capital Account 1G0321

**Exhibit 5** – Analysis of Activity in Former Ascot Fund Account 1FN005 and the Inter-Account Transfer to Ascot Partners Account 1A0058 on January 8, 2003

# **Exhibit 1**

# Matthew B. Greenblatt, CPA/CFF, CFE

Senior Managing Director

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Matthew Greenblatt is a senior managing director in the FTI Consulting Forensic and Litigation Consulting practice and is based in New York. Mr. Greenblatt has extensive experience in auditing and accounting matters; litigation consulting; forensic accounting and internal investigations; post-acquisition and shareholder disputes; anti-money laundering; and advising troubled companies.

Mr. Greenblatt has conducted multiple forensic investigations in connection with cases involving diversions of funds and fraudulent accounting activity by management and has been involved in several internal forensic investigations conducted on behalf of Audit Committees and/or Boards of Directors for both public and private companies.

Mr. Greenblatt has advised on cases involving partnership disputes; lost profits; breach of contract claims; and accountants' malpractice. Mr. Greenblatt has additional expertise with matters involving acquisitions and divestitures; antitrust; price fixing; arbitration and mediation; bankruptcy reorganization; claims management; contract disputes; damages; directors & officers related claims; due diligence; expert testimony; forensic investigations; fraud and fraudulent conveyance; intellectual property; patent infringement and trademark; liquidation; product liability; solvency and insolvency; trustee and examiner issues and valuation.

Mr. Greenblatt has spoken on multiple panels in the area of forensic accounting and investigations and is an adjunct professor; teaching the course *Prevention and Detection of Fraudulent Financial Reporting*; a required course within the Forensic Accounting Program of New York University's Finance; Law and Taxation Program of NYU's School of Continuing & Professional Studies. In addition, Mr. Greenblatt is a recurring panel member on the Practising Law Institute's annual program, *Basics of Accounting for Lawyers: What Every Practicing Lawyer Needs to Know*.

Prior to its acquisition by FTI Consulting, Mr. Greenblatt joined Kahn Consulting in June of 1998. Before that, Mr. Greenblatt was a Senior Auditor with Price Waterhouse's Audit and Business Advisory Services Group.

Mr. Greenblatt holds a B.S. in Accounting from Lehigh University. Mr. Greenblatt is a Certified Public Accountant and a Certified Fraud Examiner, and is Certified in Financial Forensics. Mr. Greenblatt is a member of the American Institute of Certified Public Accountants; the New York State Society of Certified Public Accountants; the Association of Certified Fraud Examiners; and an associate member of the Association of Certified Anti-Money Laundering Specialists.

## Professional Experience

- Conducted a forensic review of the business operations of one shareholder on behalf of another shareholder, and FTI Consulting's client, to ascertain if our client's allegations and suspicions regarding improper management were valid. The work primarily consisted of conducting interviews of current and former employees and executives; performing a detailed forensic review of the internal books and records; and providing assistance to counsel in the conducting of depositions.

Matthew B. Greenblatt

- Served on team as Responsible Officer of a wholesaler of watches in Chapter 11 and conducted the fraud and forensic investigation of management in which it was found that the revenues of the Company had been overstated by approximately 600%. The forensic services included: extensive reviews of the internal books and records; assisting counsel with the conducting of various interviews and depositions; quantification and identification of fraudulent conveyance actions; presenting the results of the investigation to the Bankruptcy Court, US Trustee's office; US Attorney's Office; and FBI, as well as providing testimony at two depositions in related litigations.
- Conducted the forensic accounting investigation of a multinational temporary staffing company on behalf of counsel to the Audit and Finance Committee. The forensic accounting services consisted primarily of: participating in the interviews of current and former employees and executives; performing extensive forensic reviews of the internal books and records; providing assistance to counsel in identifying, understanding and interpreting certain complex accounting issues; traveling to international branches and divisions to further the investigation overseas; and presenting FTI Consulting's findings to the Audit Committee, outside auditors and the SEC and other regulatory authorities.
- Conducted an internal forensic accounting investigation on behalf of the Audit Committee of a public pharmaceutical manufacturer. The investigation included a detailed and thorough review of the accounting treatment of specific transactions and presentations of the overall conclusions to the Audit Committee, Board of Directors, Enforcement Division of the Securities and Exchange Commission, and the Company's independent accountants.
- Assisted counsel to the Financial Institutions Committee in connection with the accounting investigation undertaken by the Audit Committee focusing on alleged improper accounting treatments utilized and potential misuse of corporate funds and resources by Management. The work included: participating in the interviews of current and former employees and executives; providing assistance to counsel for Rule 2004 depositions of executives and directors; and reviewing the Debtors' forensic accountants' workpapers to investigate the accounting and revenue recognition issues related to vendor allowances and other items.
- Conducted a forensic investigation for special counsel to the Audit Committee of a multinational retail company to assist with an internal investigation into the facts and circumstances of a potential restatement of its prior financial results due to misstatements in one of its operating divisions, alleged to be in excess of \$45 million over a three year period. The services included participating in interviews of current/former employees and executives; performing a detailed forensic review of the books and records and annual and quarterly financial statements from 1999 through 2001; providing assistance to special counsel in identifying; understanding and interpreting certain complex accounting issues, including transfer pricing; and presenting FTI Consulting's findings to the Audit Committee and the SEC.
- Issued expert report on behalf of Defendants to analyze whether the Defendants had satisfied all obligations to former shareholders under particular agreements relating to an acquisition.
- Issued expert report on behalf of Defendant and Third Party Plaintiff in matter involving overbilling scheme for shipping and transportation services.

Matthew B. Greenblatt

- Issued expert report in matrimonial dispute involving the valuation of Marital Assets.
- Court-Appointed Trustee for the Marvel Avoidance Litigation Trust In re: Marvel Entertainment Group, Inc. et al in the US District Court for the District of Delaware.
- Served on the team selected by the U.S. Attorney offices in the Eastern and Southern Districts of New York and Western Pennsylvania to support the monitoring of the non-prosecution agreements of both The Bank of New York and Mellon Financial Corporation, to monitor and report on the state of the banks' suspicious activity reporting practices and AML procedures.
- Assisted with expert report in litigation involving the results of the forensic investigation performed to analyze company records and historical invoices to quantify an alleged overbilling scheme.
- Served as member of team of neutral arbitrators to provide a binding conclusion regarding the appropriateness of a post-acquisition purchase price dispute.
- Assisted troubled companies by formulating strategic business plans; developing financial models to prepare forecasts and long-term business plans; planning for liquidation; assisting management with headcount and cost reduction plans; and negotiating restructuring plans.

### **Testimony Experience**

- *Securities and Exchange Commission v. Francisco Illarramendi, and Michael Kenwood Capital Management*, United States District Court, District of Connecticut.
- *Derfner Management, Inc. v. Lenhill Realty Corp., Blair Hall, Inc., Edwin Realty Corp., Lisa Nelson, Kenneth Seplow and Ellen Zedeck*, Supreme Court of the State of New York, County of New York.

### **Adjunct Professor, NYU**

- Adjunct professor with New York University's School of Continuing & Professional Studies teaching the course *Prevention and Detection of Fraudulent Financial Reporting*, a required course within the Forensic Accounting Program of NYU's Finance, Law and Taxation Program.

### **Publications**

- Greenblatt, Carney, Fields, Martinez: Bridge-the-Gap II for Newly Admitted New York Transactional Attorneys 2014, "Financial Statements, Footnotes and the SEC, What You Don't Know Can Hurt You!" (Practising Law Institute, 2014).
- Greenblatt, Carney: *Basics of Accounting for Lawyers 2014: What Every Practicing Lawyer Needs to Know*, "Using the Financial Statement Notes" (Practising Law Institute, 2014).
- Greenblatt, Carney, Carpenito Martinez: Bridge-the-Gap II for Newly Admitted New York Transactional Attorneys 2013, "Financial Statements, Footnotes and the SEC, What You Don't Know Can Hurt You!" (Practising Law Institute, 2013).
- Greenblatt, Carney: *Basics of Accounting for Lawyers 2013: What Every Practicing Lawyer Needs to Know*, "Using the Financial Statement Notes" (Practising Law Institute, 2013).
- Greenblatt, Carney: *Basics of Accounting for Lawyers 2012: What Every Practicing Lawyer Needs to Know*, "Using the Financial Statement Notes" (Practising Law Institute, 2012).
- Greenblatt, Carney: *Basics of Accounting for Lawyers 2011: What Every Practicing Lawyer Needs to Know* (Practising Law Institute, 2011).
- Greenblatt, Carney: *Basics of Accounting for Lawyers 2010: What Every Practicing Lawyer Needs to Know* (Practising Law Institute, 2010).

Matthew B. Greenblatt

- Greenblatt, Carney: *Basics of Accounting for Lawyers 2009: What Every Practicing Lawyer Needs to Know* (Practising Law Institute, 2009).
- "Inside an Internal Accounting Investigation", (co-authored) published in *The New York Law Journal*, Investigations & Computer Forensics special section, May 29, 2007.

### **Presentations and Speaking Engagements**

- "Technology's Role on Large Internal Accounting Investigations", presented at the New Jersey Society of CPAs annual Damages Conference, October 25, 2007.
- "Forensic Investigations - How to Conduct a Successful Investigation", Continuing Professional Education focused on internal accounting and forensic investigations conducted on behalf of Audit Committees, Boards of Directors and management as a result of Sarbanes Oxley; including a discussion of the role of the forensic accountants and investigation experts; incorporating the components of interview and forensic investigation skills; and the utilization of electronic evidence to efficiently and effectively conduct the investigation, April 22, 2004.
- "Anatomy of a Financial Statement Fraud". This course discussed the common methods to perpetrate a financial statement fraud (e.g. aggressive revenue recognition practices; rebates/allowances; reserves; off balance sheet financing), as well as warning signs and red flags of fraud, and the corporate environment in which fraud typically occurs, July 21, 2004.
- "Forensic Accounting Presentation to Goldman Sachs Group, Inc.", a discussion of forensic accounting, financial transparency, and a summary of some of the critical components of the major frauds in the recent media, March 20, 2003.
- "Financial Statement Analysis" course within the Basic Accounting for the General Practitioner program at the Practising Law Institute, focusing on horizontal and vertical analysis; common sizing; industry comparison; ratio and trend analysis; and the common sense factor, October 23, 2002.

### **Employment History**

- Prior to its acquisition by FTI Consulting, Mr. Greenblatt joined Kahn Consulting in June of 1998.
- Mr. Greenblatt was a Senior Auditor in the Entertainment, Media and Communications division of Price Waterhouse's Audit and Business Advisory Services Group from 1994 through May, 1998. At Price Waterhouse, Mr. Greenblatt planned and supervised audits for multinational and middle-market clients in industries including book, magazine and newspaper publishing; manufacturing; high-tech; financial services; and non-profit organizations.

### **Education and Certifications**

- B.S. in accounting from Lehigh University
- Certified Public Accountant, New York & Pennsylvania
- Certified Fraud Examiner
- Certified in Financial Forensics

### **Memberships**

- American Institute of Certified Public Accountants
- New York State Society of Certified Public Accountants
- Association of Certified Fraud Examiners

## **Exhibit 2**

## Exhibit 2 - Documents Considered

The following list provides documents that I considered in connection with this report and the preparation of the chronological listings of the cash and principal transactions to calculate the Principal Balances for all of BLMIS's customer accounts.

Bates Begin	Bates End	Bates Begin	Bates End
BSTSAB0326874	BSTSAB0326875	MADWAA00017489	MADWAA00017489
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BSTSAB0574812	BSTSAB0574812	MADWAA00017504	MADWAA00017510
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Checkbook File (December 2008 data) (native file format)

Great Plains accounting system (native file format)

Cohmad Cash Database (native file format)

JPMorgan Chase 140081703 - Stipulation and Order for Transfer of Funds by J.P. Morgan Chase Bank to Trustee, dated April 7, 2009

#### Customer Claims:

MWPTAP00046965	MWPTAP00047000	MWPTAP00495064	MWPTAP00495222
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Notice of Trustee's Determination of Claim / Opposition to the Determination by Trustee:

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Bates Begin	Bates End	Bates Begin	Bates End
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Bates Begin	Bates End	Bates Begin	Bates End
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Account Maintenance Files:

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MADTBB02415219	MADTBB02415234		

Defendant/Third Party Productions:

09-01182-ADP-0000001	09-01182-ADP-0000106	GCC-P 0328330	GCC-P 0328349
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Ariel_fu	Ariel_fu	GCC-P 0330027	GCC-P 0330130
Arielmgt	Arielmgt	GCC-P 0330460	GCC-P 0330466
Ascot_fu	Ascot_fu	GCC-P 0330543	GCC-P 0330549
BDO_T_0000001	BDO_T_0000645	GCC-P 0330845	GCC-P 0330858
BDO_T_0001152	BDO_T_0001167	GCC-P 0331979	GCC-P 0331986
BDO_T_0001642	BDO_T_0001642	GCC-P 0332611	GCC-P 0333368
BDO_T_0001803	BDO_T_0001815	GCC-P 0333399	GCC-P 0334102
BDO_T_0002199	BDO_T_0002224	GCC-P 0334108	GCC-P 0334269
BDO_T_0002309	BDO_T_0002314	GCC-P 0334277	GCC-P 0334614
BDO_T_0002490	BDO_T_0002491	GCC-P 0334717	GCC-P 0334736
BDO_T_0002836	BDO_T_0002836	GCC-P 0397794	GCC-P 0397794
BDO_T_0002843	BDO_T_0002843	GCC-P 0398326	GCC-P 0398414
BDO_T_0003024	BDO_T_0003577	GCC-P 0405068	GCC-P 0405073

Bates Begin	Bates End	Bates Begin	Bates End
BDO_T_0004145	BDO_T_0004147	GCC-P 0408502	GCC-P 0408515
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BDO_T_0004295	BDO_T_0004306	GCC-P 0429302	GCC-P 0429328
BDO_T_0004313	BDO_T_0004326	GCC-P 0444735	GCC-P 0444736
BDO_T_0004340	BDO_T_0004343	GCC-P 0455169	GCC-P 0455183
BDO_T_0004718	BDO_T_0004723	GCC-P 0464405	GCC-P 0464408
BDO_T_0004735	BDO_T_0004748	GCC-P 0472427	GCC-P 0472438
BDO_T_0005144	BDO_T_0005144	GCC-P 0476815	GCC-P 0476815
BDO_T_0005326	BDO_T_0005572	GCC-P 0476945	GCC-P 0476949
BDO_T_0005734	BDO_T_0005734	GCC-P 0481424	GCC-P 0481428
BDO_T_0006504	BDO_T_0006601	GCC-P 0486351	GCC-P 0486353
BDO_T_0006740	BDO_T_0006747	GCC-P 0486900	GCC-P 0486900
BDO_T_0007094	BDO_T_0007246	GCC-P 0487350	GCC-P 0487350
BDO_T_0007602	BDO_T_0007602	GCC-P 0487900	GCC-P 0487900
BDO_T_0008701	BDO_T_0008921	GCC-P 0488788	GCC-P 0488876
BDO_T_0009061	BDO_T_0009099	GCC-P 0492655	GCC-P 0492655
BDO_T_0010023	BDO_T_0010156	GCC-P 0493998	GCC-P 0493998
BDO_T_0011209	BDO_T_0013462	GCC-P 0495134	GCC-P 0495134
BDO_T_0014967	BDO_T_0015359	GCC-P 0495172	GCC-P 0495172
BDO_T_0015731	BDO_T_0016464	GCC-P 0495381	GCC-P 0495381
BDO_T_0017645	BDO_T_0018261	GCC-P 0495584	GCC-P 0495584
BDO_T_0018410	BDO_T_0019144	GCC-P 0495630	GCC-P 0495631
BDO_T_0019654	BDO_T_0020345	GCC-P 0495703	GCC-P 0495703
BDO_T_0021011	BDO_T_0021589	GCC-P 0496072	GCC-P 0496072
BDO_T_0022236	BDO_T_0022529	GCC-P 0496168	GCC-P 0496168
BDO_T_0023521	BDO_T_0023600	GCC-P 0500708	GCC-P 0500709
BDO_T_0028460	BDO_T_0028460	GCC-P 0505808	GCC-P 0505809
BDO_T_0032563	BDO_T_0032565	GCC-P 0506261	GCC-P 0506276
BDO_T_0033851	BDO_T_0033851	GCC-P 0506403	GCC-P 0506978
BDO_T_0034223	BDO_T_0034243	GCC-P 0507819	GCC-P 0507921
BDO_T_0035785	BDO_T_0035785	GCC-P 0508057	GCC-P 0508180
BDO_T_0037629	BDO_T_0037630	GCC-P 0510820	GCC-P 0511190
BDO_T_0037970	BDO_T_0037970	GCC-P 0511598	GCC-P 0511952
BDO_T_0038750	BDO_T_0038761	GCC-P 0511976	GCC-P 0511983
BDO_T_0038774	BDO_T_0038774	GCC-P 0511993	GCC-P 0511994
BDO_T_0039172	BDO_T_0039183	GCC-P 0512063	GCC-P 0512064
BDO_T_0039617	BDO_T_0039895	GCC-P 0512094	GCC-P 0512112
BDO_T_0039943	BDO_T_0039968	GCC-P 0513183	GCC-P 0513188
BDO_T_0040451	BDO_T_0040453	GCC-P 0513222	GCC-P 0513232
BDO_T_0040848	BDO_T_0040878	GCC-P 0513325	GCC-P 0513337
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BDO_T_0042984	BDO_T_0043008	GCC-P 0600000	GCC-P 0600001
BDO_T_0043030	BDO_T_0043039	GCC-P 0600825	GCC-P 0600828
BDO_T_0043338	BDO_T_0043339	GCC-P 0600994	GCC-P 0600998
BDO_T_0043649	BDO_T_0043654	GCC-P 0602094	GCC-P 0602101
BDO_T_0043832	BDO_T_0043840	GCC-P 0602374	GCC-P 0602379

Bates Begin	Bates End	Bates Begin	Bates End
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BDO_T_0045189	BDO_T_0045783	GCC-P 0626321	GCC-P 0626402
BDO_T_0046077	BDO_T_0046313	GCC-P 0636285	GCC-P 0636292
BDO_T_0046466	BDO_T_0046475	GCC-P 0653498	GCC-P 0653521
BDO_T_0046937	BDO_T_0046956	GCC-P 0656452	GCC-P 0656495
BDO_T_0049701	BDO_T_0050279	GCC-P 0662727	GCC-P 0662736
BDO_T_0050476	BDO_T_0050970	GCC-P 0671320	GCC-P 0671323
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BS00002480	BS00002487	GCC-P 0711513	GCC-P 0711535
BS00002518	BS00002533	GCC-P 0711539	GCC-P 0711556
BS00002539	BS00002936	GCC-P 0713703	GCC-P 0713704
BS00005055	BS00005616	GCC-P 0720731	GCC-P 0720746
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BS00005640	BS00005665	GCC-P 0724181	GCC-P 0724184
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BS00005753	BS00005767	GCC-P 0733008	GCC-P 0733031
BS00005772	BS00005793	GCC-P 0735469	GCC-P 0735474
BS00005796	BS00005846	GCC-P 0735884	GCC-P 0735884
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Bates Begin	Bates End	Bates Begin	Bates End
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BS00017737	BS00017737	GCC-P 0745082	GCC-P 0745084
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BS00017747	BS00017748	GCC-P 0745243	GCC-P 0745246
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BS00017770	BS00017780	GCC-P 0745327	GCC-P 0745356
BS00017794	BS00017818	GCC-P 0747637	GCC-P 0747638
BS00018604	BS00018650	GCC-P 0748027	GCC-P 0748027
BS00018878	BS00018878	GCC-P 0748179	GCC-P 0748183
BS00018884	BS00018884	GCC-P 0748394	GCC-P 0748397
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BS00025488	BS00025488	GCC-P 0752682	GCC-P 0752684
BS00025804	BS00025805	GCC-P 0753097	GCC-P 0753114
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BS00026271	BS00026271	GCC-P 0753936	GCC-P 0753936
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BS00027443	BS00027446	GCC-P 0755590	GCC-P 0755593
BS00027741	BS00027742	GCC-P 0755940	GCC-P 0756053
BS00028090	BS00028095	GCC-P 0756738	GCC-P 0756743
BS00028373	BS00028377	GCC-P 0757324	GCC-P 0757351
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BS00029046	BS00029049	GCC-P 0758712	GCC-P 0758725
BS00029562	BS00029570	GCC-P 0759623	GCC-P 0759623
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BS00030762	BS00030763	GCC-P 0761679	GCC-P 0761679
BS00031331	BS00031694	GCC-P 0762251	GCC-P 0762251
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BS00037989	BS00037990	GCC-P 0764613	GCC-P 0764613

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BS00048276	BS00048291	GCC-P 0768397	GCC-P 0768397
BS00067714	BS00067722	GCC-P 0768896	GCC-P 0768896
BS00070294	BS00070298	GCC-P 0769091	GCC-P 0769091
BS00072827	BS00072836	GCC-P 0769191	GCC-P 0769191
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BS00087474	BS00087474	GCC-P 0769491	GCC-P 0769491
BS00106533	BS00106566	GCC-P 0769791	GCC-P 0769791
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BS00124486	BS00124488	GCC-P 0771283	GCC-P 0771285
BS00139694	BS00139703	GCC-P 0774292	GCC-P 0774295
BS00146536	BS00146536	GCC-P 0776559	GCC-P 0776560
BS00152271	BS00152272	GCC-P 0786989	GCC-P 0786991
BS00156058	BS00156059	GCC-P 0791515	GCC-P 0791516
BS00157865	BS00157866	GCC-P 0801510	GCC-P 0801511
BS00164495	BS00164496	GCC-P 0810396	GCC-P 0810398
BS00179517	BS00179518	GCC-P 0810763	GCC-P 0810764
BS00185867	BS00185886	GCC-P 0811225	GCC-P 0811228
BS00195935	BS00195935	GCC-P 0811863	GCC-P 0811864
BS00205461	BS00205464	GCC-P 0812375	GCC-P 0812381
BS00210144	BS00210145	GCC-P 0813174	GCC-P 0813223
BS00220059	BS00220061	GCC-P 0814255	GCC-P 0814261
BS00229006	BS00229007	GCC-P 0814867	GCC-P 0814868
BS00232429	BS00232429	GCC-P 0814967	GCC-P 0814968
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BS00260535	BS00260553	GCC-P 0818501	GCC-P 0818503
BS00263587	BS00263587	GCC-P 0819130	GCC-P 0819135
BS00267246	BS00267246	GCC-P 0820388	GCC-P 0820393
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BS00282732	BS00282744	GCC-P 0825054	GCC-P 0825057
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BS00337235	BS00337235	GCC-P 0832953	GCC-P 0832956
BS00337238	BS00337238	GCC-P 0833669	GCC-P 0833674
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BS00352495	BS00352495	GCC-P 0836150	GCC-P 0836150
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BS00358597	BS00358668	GCC-P 0837019	GCC-P 0837021
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BS00384630	BS00384630	GCC-P 0837491	GCC-P 0837493
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BS00534548	BS00534552	GCC-P_Audio_0000002.0001	GCC-P_Audio_0000002.0004
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BS00534598	BS00534646	GCC-P0334737	GCC-P0334747
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Bates Begin	Bates End	Bates Begin	Bates End
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GCC-P 0198185	GCC-P 0198185	JPMCMERK-2220	JPMCMERK-2220
GCC-P 0198478	GCC-P 0198478	JPMCMERK-2349	JPMCMERK-2349
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GCC-P 0209924	GCC-P 0209952	JPMCMERK-3736	JPMCMERK-3736
GCC-P 0213160	GCC-P 0213161	JPMCMERK-4316	JPMCMERK-4316
GCC-P 0218099	GCC-P 0218102	JPMCMERK-4321	JPMCMERK-7460
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GCC-P 0230899	GCC-P 0230900	MSMERKIN-00020701	MSMERKIN-00020702
GCC-P 0233895	GCC-P 0233895	MSMERKIN-00022791	MSMERKIN-00022801
GCC-P 0238410	GCC-P 0238410	MSMERKIN-00023404	MSMERKIN-00023410
GCC-P 0241675	GCC-P 0241676	MSMERKIN-00024798	MSMERKIN-00024844
GCC-P 0246035	GCC-P 0246035	MSMERKIN-00027697	MSMERKIN-00027698
GCC-P 0250643	GCC-P 0250643	MSMERKIN-00028016	MSMERKIN-00028021
GCC-P 0252837	GCC-P 0252839	MSMERKIN-00028357	MSMERKIN-00028358
GCC-P 0255632	GCC-P 0255632	MSMERKIN-00028382	MSMERKIN-00028383
GCC-P 0256159	GCC-P 0256162	MSMERKIN-00028822	MSMERKIN-00028825
GCC-P 0262777	GCC-P 0262777	MSMERKIN-00029217	MSMERKIN-00029222
GCC-P 0264323	GCC-P 0264346	MSMERKIN-00030079	MSMERKIN-00030085
GCC-P 0264471	GCC-P 0265011	MSMERKIN-00031575	MSMERKIN-00031594
GCC-P 0268803	GCC-P 0268906	MSMERKIN-00033490	MSMERKIN-00033512
GCC-P 0270267	GCC-P 0270346	MSMERKIN-00035360	MSMERKIN-00035365
GCC-P 0272133	GCC-P 0272226	MSMERKIN-00036560	MSMERKIN-00036564
GCC-P 0273093	GCC-P 0273284	MSMERKIN-00036815	MSMERKIN-00036819
GCC-P 0274043	GCC-P 0274092	MSMERKIN-00036865	MSMERKIN-00036865
GCC-P 0274553	GCC-P 0274556	MSMERKIN-00036915	MSMERKIN-00036915
GCC-P 0275160	GCC-P 0275171	MSMERKIN-00036958	MSMERKIN-00036958
GCC-P 0276422	GCC-P 0276449	MSMERKIN-00036966	MSMERKIN-00036967
GCC-P 0277868	GCC-P 0277967	NYU00001	NYU00011
GCC-P 0279066	GCC-P 0279071	NYU00102	NYU00102
GCC-P 0279692	GCC-P 0279789	NYU00294	NYU00302
GCC-P 0280536	GCC-P 0280543	NYU00365	NYU00366
GCC-P 0281514	GCC-P 0281525	NYU00643	NYU00725
GCC-P 0282412	GCC-P 0282413	NYU00861	NYU00861
GCC-P 0282773	GCC-P 0282876	NYU00992	NYU01025
GCC-P 0283785	GCC-P 0283788	NYU01060	NYU01127
GCC-P 0284547	GCC-P 0284558	NYU01439	NYU01442

Bates Begin	Bates End	Bates Begin	Bates End
GCC-P 0285272	GCC-P 0285764	NYU01548	NYU01556
GCC-P 0285840	GCC-P 0285911	NYU01711	NYU01711
GCC-P 0286085	GCC-P 0287496	NYU01845	NYU01846
GCC-P 0291086	GCC-P 0291555	PG0000001	PG0000044
GCC-P 0298581	GCC-P 0298582	PG0000051	PG0000058
GCC-P 0304517	GCC-P 0304676	PG0000120	PG0000123
GCC-P 0309043	GCC-P 0309113	PG0000166	PG0000176
GCC-P 0309952	GCC-P 0311600	PG0000242	PG0000243
GCC-P 0311677	GCC-P 0311684	PG0000310	PG0000313
GCC-P 0311722	GCC-P 0311760	PG0000353	PG0000354
GCC-P 0312004	GCC-P 0312013	PG0000403	PG0000404
GCC-P 0312055	GCC-P 0312057	PG0000455	PG0000458
GCC-P 0312432	GCC-P 0312432	PG0000477	PG0000478
GCC-P 0313360	GCC-P 0313439	PG0000498	PG0000498
GCC-P 0313758	GCC-P 0313773	PG0000523	PG0000525
GCC-P 0314056	GCC-P 0314102	PG0000534	PG0000541
GCC-P 0314575	GCC-P 0314585	PG0000582	PG0000584
GCC-P 0314693	GCC-P 0314732	PG0000633	PG0000633
GCC-P 0314894	GCC-P 0314894	PG0000697	PG0000698
GCC-P 0314944	GCC-P 0314992	PG0000764	PG0000766
GCC-P 0317065	GCC-P 0317164	PG0000836	PG0000837
GCC-P 0319377	GCC-P 0319378	PG0000871	PG0000873
GCC-P 0319693	GCC-P 0319786	SECSFD0000376	SECSFD0000376
GCC-P 0320577	GCC-P 0320582	SECSFD0000616	SECSFD0000616
GCC-P 0321081	GCC-P 0321081	SECSFD0001093	SECSFD0001093
GCC-P 0321530	GCC-P 0321579	SECSFD0001456	SECSFD0001456
GCC-P 0321581	GCC-P 0321630	SECSFD0001630	SECSFD0001630
GCC-P 0323502	GCC-P 0323599	SECSFD0002075	SECSFD0002075
GCC-P 0324158	GCC-P 0324159	SECSFD0002318	SECSFD0002318
GCC-P 0325613	GCC-P 0325706	SECSFD0002966	SECSFD0002967
GCC-P 0326073	GCC-P 0326074	SECSFD0003262	SECSFD0003262
GCC-P 0326915	GCC-P 0326954	Wells Fargo-Madoff 000001	Wells Fargo-Madoff 000069
GCC-P 0327915	GCC-P 0327963		

Response of Defendants J. Ezra Merkin and Gabriel Capital Corporation to Plaintiff's Statement of Undisputed Material Facts, and  
Statement of Additional Facts in Opposition to Plaintiff's Motion for Summary Judgment and in Support of Defendants'  
Cross-Motion for Summary Judgment, dated December 6, 2010

Affidavit in Support of Trustee's Motion for Entry of an Order Pursuant to Section 105(a) of the Bankruptcy Code and Rules 2002 and  
9019 of the Federal Rules of Bankruptcy Procedure Approving an Agreement By and Between the Trustee and United States of  
America on Behalf of the Internal Revenue Service and Exhibits, No. 08-1789-smb (Bankr. S.D.N.Y. Nov. 22, 2011), ECF No. 4545.

Trustee's Motion for Entry of an Order Pursuant to Section 105(a) of the Bankruptcy Code and Rules 2002 and 9019 of the Federal Rules  
of Bankruptcy Procedure Approving an Agreement By and Between the Trustee and United States of America on Behalf of the  
Internal Revenue Service and Exhibits No. 08-1789-smb (Bankr. S.D.N.Y. Nov. 22, 2011), ECF No. 4544.

Order Pursuant to Section 105(a) of the Bankruptcy Code and Rules 2002 and 9019 of the Federal Rules of Bankruptcy Procedure  
Approving an Agreement By and Between the Trustee and The United States of America on Behalf of the Internal Revenue Service,  
No. 08-1789-smb (Bankr. S.D.N.Y. Dec. 21, 2011), ECF No. 4602.

Deposition Testimony of J. Ezra Merkin, dated February 24, 2015

Deposition Testimony of J. Ezra Merkin and related Exhibits, dated February 25, 2015

## **Exhibit 3A**

## Exhibit 3A - Summary Schedule of Cash and Principal Activity in Ariel Fund Account 1FN004

	<b>Ariel Fund Account</b>	
	<b>1FN033</b>	<b>1FN004</b>
<b>INFLOWS</b>		
Cash Deposits	\$10,000,000	\$21,683,703
Transfers of Principal (In)	2,600,000	2,747,897
<b>Principal Available</b>	<b>\$12,600,000</b>	<b>\$24,431,600</b>
<b>OUTFLOWS</b>		
Cash Withdrawals	(\$145,271)	(\$313,823)
Transfers of Principal (Out)	(2,747,897)	(24,147,482) <sup>[a]</sup>
<b>Total Outflows</b>	<b>(\$2,893,168)</b>	<b>(\$24,461,305)</b>
<b>Total</b>	<b>\$9,706,832</b>	<b>(\$29,705)</b>

[a] The \$24,147,482 was transferred from Ariel Fund Account 1FN004 to three BLMIS accounts: (i) \$2,600,000 to BLMIS Account 1FN033; (ii) \$7,914,373 to Ariel Capital Account 1A0042; and (iii) \$13,633,109 to Former Ascot Fund Account 1FN005. (See Exhibits 4A, 4B, 4K and 4O.)

## **Exhibit 3B**

## Exhibit 3B - Summary Schedule of Cash and Principal Activity in Ariel Capital Account 1A0042

	<b>Ariel Capital Account</b>	
	<b>1FN004</b>	<b>1A0042</b>
<b>INFLOWS</b>		
Cash Deposits	\$21,683,703	\$13,628,922
Transfers of Principal (In)	2,747,897 <sup>[a]</sup>	7,914,373
<b>Principal Available</b>	<b>\$24,431,600</b>	<b>\$21,543,295</b>
<b>OUTFLOWS</b>		
Cash Withdrawals	(\$313,823)	\$0
Transfers of Principal (Out)	(24,147,482) <sup>[b]</sup>	(21,543,295)
<b>Total Outflows</b>	<b>(\$24,461,305)</b>	<b>(\$21,543,295)</b>
<b>Total</b>	<b>(\$29,705)</b>	<b>\$0</b>

[a] Ariel Fund Account 1FN004 received an inter-account transfer from BLMIS Account 1FN033 which impacted the Principal Balance Calculation for Ariel Capital Account 1A0042. (See **Exhibits 4A and 4B**.)

[b] The \$24,147,482 was transferred from Ariel Fund Account 1FN004 to three BLMIS accounts: (i) \$2,600,000 to BLMIS Account 1FN033; (ii) \$7,914,373 to Ariel Capital Account 1A0042; and (iii) \$13,633,109 to Former Ascot Fund Account 1FN005. (See **Exhibits 4A, 4B, 4K and 4O**.)

## **Exhibit 3C**

## Exhibit 3C - Summary Schedule of Cash and Principal Activity in Former Ascot Fund Account 1FN005

	1FN041	1FN016	1FN004	1FN042	1FN022	1FN039	1FN020
<b>INFLOWS</b>							
Cash Deposits	\$2,000,000	\$3,000,000	\$21,683,703	\$3,000,000	\$1,500,000	\$4,999,979	\$2,500,000
Transfers of Principal (In)	0	0	2,747,897	0	0	0	0
<b>Principal Available</b>	<b>\$2,000,000</b>	<b>\$3,000,000</b>	<b>\$24,431,600</b>	<b>\$3,000,000</b>	<b>\$1,500,000</b>	<b>\$4,999,979</b>	<b>\$2,500,000</b>
<b>OUTFLOWS</b>							
Cash Withdrawals	(\$3,962)	(\$41,162)	(\$313,823)	(\$5,968)	(\$12,872)	(\$19,874)	(\$22,047)
Transfers of Principal (Out)	(1,996,882)	(2,961,732)	(24,147,482) <sup>[a]</sup>	(2,995,297)	(1,487,905)	(4,984,327)	(2,480,116)
<b>Total Outflows</b>	<b>(\$2,000,844)</b>	<b>(\$3,002,894)</b>	<b>(\$24,461,305)</b>	<b>(\$3,001,265)</b>	<b>(\$1,500,777)</b>	<b>(\$5,004,201)</b>	<b>(\$2,502,163)</b>
<b>Total</b>	<b>(\$844)</b>	<b>(\$2,894)</b>	<b>(\$29,705)</b>	<b>(\$1,265)</b>	<b>(\$777)</b>	<b>(\$4,223)<sup>[e]</sup></b>	<b>(\$2,163)</b>
<b>Merkin Accounts<sup>[d]</sup></b>							
	1FN030	1FN031	<b>1FN005</b>	1A0058	1FR070	1G0321	
<b>INFLOWS</b>							
Cash Deposits	\$2,500,000	\$2,500,000	<b>\$242,764,111</b>	\$552,335,889	\$144,300,000	\$126,700,000	
Transfers of Principal (In)	0	0	<b>76,408,398</b>	422,027,224	122,600,000	156,700,000	
<b>Principal Available</b>	<b>\$2,500,000</b>	<b>\$2,500,000</b>	<b>\$319,172,509</b>	<b>\$974,363,113</b>	<b>\$266,900,000</b>	<b>\$283,400,000</b>	
<b>OUTFLOWS</b>							
Cash Withdrawals	(\$22,047)	(\$17,966)	<b>(\$39,488,580)</b>	(\$489,840,000)	(\$16,593,203)	(\$17,400,000)	
Transfers of Principal (Out)	(2,480,116)	(2,484,205)	<b>(279,683,929)</b>	(258,504,709) <sup>[b]</sup>	(74,400,000)	(102,300,000) <sup>[c]</sup>	
<b>Total Outflows</b>	<b>(\$2,502,163)</b>	<b>(\$2,502,171)</b>	<b>(\$319,172,509)</b>	<b>(\$748,344,709)</b>	<b>(\$90,993,203)</b>	<b>(\$119,700,000)</b>	
<b>Total</b>	<b>(\$2,163)</b>	<b>(\$2,171)</b>	<b>\$0</b>	<b>\$226,018,404</b>	<b>\$175,906,797</b>	<b>\$163,700,000</b>	

[a] The \$24,147,482 was transferred from Ariel Fund Account 1FN004 to other BLMIS accounts, one of which was a transfer of principal in the amount of \$13,633,109 to Former Ascot Fund Account 1FN005. (See Exhibits 4A and 4O.)

[b] The \$258,504,709 was transferred from Ascot Partners Account 1A0058 to other BLMIS accounts, nine of which were transfers of principal in the aggregate amount of \$40,504,709 to Former Ascot Fund Account 1FN005. (See Exhibits 4O and 4P.)

[c] The \$102,300,000 was transferred from Gabriel Capital Account 1G0321 to other BLMIS accounts, one of which was a transfer of principal in the amount of \$400,000 to Former Ascot Fund Account 1FN005. (See Exhibits 4O and 4R.)

[d] Due to inter-account transfer activity, BLMIS Accounts 1FN041, 1FN016, 1FN033, 1FN004, 1FN022, 1FN039, 1FN020, 1FN030, 1FN031, 1H0037, 1B0079, 1W0041, Ariel Fund Account 1FN004, Ariel Capital Account 1A0042, Ascot Partners Account 1A0058, Ariel Fund Account 1FR070, and Gabriel Capital Account 1G0321 impacted the Principal Balance Calculation for Former Ascot Fund Account 1FN005. (See Exhibits 4A-4R.)

[e] Difference due to rounding.

## **Exhibit 3D**

## Exhibit 3D - Summary Schedule of Cash and Principal Activity in Ascot Partners Account 1A0058

	1A0042	1H0037	1B0079	1W0041
<b>INFLOWS</b>				
Cash Deposits	\$13,628,922	\$1,300,000	\$1,500,000	\$3,000,000
Transfers of Principal (In)	7,914,373	0	0	0
<b>Principal Available</b>	<b>\$21,543,295</b>	<b>\$1,300,000</b>	<b>\$1,500,000</b>	<b>\$3,000,000</b>
<b>OUTFLOWS</b>				
Cash Withdrawals	\$0	\$0	\$0	\$0
Transfers of Principal (Out)	(21,543,295)	(1,300,000)	(1,500,000)	(3,000,000)
<b>Total Outflows</b>	<b>(\$21,543,295)</b>	<b>(\$1,300,000)</b>	<b>(\$1,500,000)</b>	<b>(\$3,000,000)</b>
<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Merkin Accounts</b>				
	1FN005	1A0058	1FR070	1G0321
<b>INFLOWS</b>				
Cash Deposits	\$242,764,111	\$552,335,889	\$144,300,000	\$126,700,000
Transfers of Principal (In)	76,408,398	422,027,224	122,600,000	156,700,000
<b>Principal Available</b>	<b>\$319,172,509</b>	<b>\$974,363,113</b>	<b>\$266,900,000</b>	<b>\$283,400,000</b>
<b>OUTFLOWS</b>				
Cash Withdrawals	(\$39,488,580)	(\$489,840,000)	(\$16,593,203)	(\$17,400,000)
Transfers of Principal (Out)	(279,683,929) <sup>[a]</sup>	(258,504,709)	(74,400,000) <sup>[b]</sup>	(102,300,000) <sup>[c]</sup>
<b>Total Outflows</b>	<b>(\$319,172,509)</b>	<b>(\$748,344,709)</b>	<b>(\$90,993,203)</b>	<b>(\$119,700,000)</b>
<b>Total</b>	<b>\$0</b>	<b>\$226,018,404</b>	<b>\$175,906,797</b>	<b>\$163,700,000</b>

[a] The \$279,683,929 was transferred from Former Ascot Fund Account 1FN005 to other BLMIS accounts, 11 of which were transfers of principal in the aggregate amount of \$265,283,929 to Ascot Partners Account 1A0058. (See Exhibits 4O and 4P.)

[b] The \$74,400,000 was transferred from Ariel Fund Account 1FR070 to other BLMIS accounts, eight of which were transfers of principal in the aggregate amount of \$65,500,000 to Ascot Partners Account 1A0058. (See Exhibits 4P and 4Q.)

[c] The \$102,300,000 was transferred from Gabriel Capital Account 1G0321 to other BLMIS accounts, eight of which were transfers of principal in the aggregate amount of \$63,900,000 to Ascot Partners Account 1A0058. (See Exhibits 4P and 4R.)

## **Exhibit 3E**

## Exhibit 3E - Summary Schedule of Cash and Principal Activity in Ariel Fund Account 1FR070

Merkin Accounts				
	1FN005	1A0058	1FR070	1G0321
<b>INFLOWS</b>				
Cash Deposits	\$242,764,111	\$552,335,889	\$144,300,000	\$126,700,000
Transfers of Principal (In)	76,408,398	422,027,224	122,600,000	156,700,000
<b>Principal Available</b>	<b>\$319,172,509</b>	<b>\$974,363,113</b>	<b>\$266,900,000</b>	<b>\$283,400,000</b>
<b>OUTFLOWS</b>				
Cash Withdrawals	(\$39,488,580)	(\$489,840,000)	(\$16,593,203)	(\$17,400,000)
Transfers of Principal (Out)	(279,683,929) <sup>[a]</sup>	(258,504,709) <sup>[b]</sup>	(74,400,000)	(102,300,000) <sup>[c]</sup>
<b>Total Outflows</b>	<b>(\$319,172,509)</b>	<b>(\$748,344,709)</b>	<b>(\$90,993,203)</b>	<b>(\$119,700,000)</b>
<b>Total</b>	<b>\$0</b>	<b>\$226,018,404</b>	<b>\$175,906,797</b>	<b>\$163,700,000</b>

[a] The \$279,683,929 was transferred from Former Ascot Fund Account 1FN005 to other BLMIS accounts, one of which was a transfer of principal in the amount of \$7,100,000 to Ariel Fund Account 1FR070. (See Exhibits 4O and 4Q.)

[b] The \$258,504,709 was transferred from Ascot Partners Account 1A0058 to other BLMIS accounts, four of which were transfers of principal in the aggregate amount of \$77,500,000 to Ariel Fund Account 1FR070. (See Exhibits 4P and 4Q.)

[c] The \$102,300,000 was transferred from Gabriel Capital Account 1G0321 to other BLMIS accounts, one of which was a transfer of principal in the amount of \$38,000,000 to Ariel Fund Account 1FR070. (See Exhibits 4Q and 4R.)

## **Exhibit 3F**

## Exhibit 3F - Summary Schedule of Cash and Principal Activity in Gabriel Capital Account 1G0321

	Merkin Accounts			
	1FN005	1A0058	1FR070	1G0321
<b>INFLOWS</b>				
Cash Deposits	\$242,764,111	\$552,335,889	\$144,300,000	\$126,700,000
Transfers of Principal (In)	76,408,398	422,027,224	122,600,000	156,700,000
<b>Principal Available</b>	<b>\$319,172,509</b>	<b>\$974,363,113</b>	<b>\$266,900,000</b>	<b>\$283,400,000</b>
<b>OUTFLOWS</b>				
Cash Withdrawals	(\$39,488,580)	(\$489,840,000)	(\$16,593,203)	(\$17,400,000)
Transfers of Principal (Out)	(279,683,929) <sup>[a]</sup>	(258,504,709) <sup>[b]</sup>	(74,400,000) <sup>[c]</sup>	(102,300,000)
<b>Total Outflows</b>	<b>(\$319,172,509)</b>	<b>(\$748,344,709)</b>	<b>(\$90,993,203)</b>	<b>(\$119,700,000)</b>
<b>Total</b>	<b>\$0</b>	<b>\$226,018,404</b>	<b>\$175,906,797</b>	<b>\$163,700,000</b>

[a] The \$279,683,929 was transferred from Former Ascot Fund Account 1FN005 to other BLMIS accounts, one of which was a transfer of principal in the amount of \$7,300,000 to Gabriel Capital Account 1G0321. (See Exhibits 4O and 4R.)

[b] The \$258,504,709 was transferred from Ascot Partners Account 1A0058 to other BLMIS accounts, seven of which were transfers of principal in the aggregate amount of \$140,500,000 to Gabriel Capital Account 1G0321. (See Exhibits 4P and 4R.)

[c] The \$74,400,000 was transferred from Ariel Fund Account 1FR070 to other BLMIS accounts, two of which were transfers of principal in the aggregate amount of \$8,900,000 to Gabriel Capital Account 1G0321. (See Exhibits 4Q and 4R.)

## **Exhibit 4A**

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>						<u>BLMIS SOURCE DOCUMENT:</u>	
			<u>Deposits</u>	<u>Withdrawals</u>	<u>Transfers In</u>	<u>Transfers Out</u>	<u>Balance</u>	<u>Beg Bates</u>	<u>End Bates</u>
10/1/1990	CHECK WIRE	7,400,000	7,400,000	-	-	-	7,400,000	MF00029541	MF00029541
11/30/1990	W/H TAX DIV DISNEY	(783)	-	(783)	-	-	7,399,217	MF00034091	MF00034091
12/6/1990	CHECK WIRE	3,700,000	3,700,000	-	-	-	11,099,217	MF00026167	MF00026168
12/14/1990	W/H TAX DIV ALT RIGH	(4,688)	-	(4,688)	-	-	11,094,530	MF00026167	MF00026168
12/14/1990	W/H TAX DIV LILLY ELI	(2,362)	-	(2,362)	-	-	11,092,168	MF00026167	MF00026168
1/22/1991	W/H TAX DIV PEPSICO	(825)	-	(825)	-	-	11,091,343	MF00496271	MF00496271
1/22/1991	W/H TAX DIV G E	(2,173)	-	(2,173)	-	-	11,089,170	MF00496271	MF00496271
1/22/1991	W/H TAX DIV MERCK	(2,453)	-	(2,453)	-	-	11,086,718	MF00496271	MF00496271
2/15/1991	W/H TAX DIV DISNEY	(783)	-	(783)	-	-	11,085,935	MF00494397	MF00494397
3/21/1991	W/H TAX DIV LILLY ELI	(2,880)	-	(2,880)	-	-	11,083,055	MF00542598	MF00542598
3/21/1991	W/H TAX DIV APPLE	(108)	-	(108)	-	-	11,082,947	MF00542598	MF00542598
3/21/1991	W/H TAX DIV ATL RICH	(5,156)	-	(5,156)	-	-	11,077,790	MF00542598	MF00542598
3/21/1991	W/H TAX DIV BOEING	(1,463)	-	(1,463)	-	-	11,076,328	MF00542598	MF00542598
4/1/1991	W/H TAX DIV PHIL MORRIS	(2,477)	-	(2,477)	-	-	11,073,851	MF00492602	MF00492603
4/1/1991	W/H TAX DIV COCA COLA	(1,512)	-	(1,512)	-	-	11,072,339	MF00492602	MF00492603
4/1/1991	W/H TAX DIV PEPSICO	(825)	-	(825)	-	-	11,071,514	MF00492602	MF00492603
4/1/1991	W/H TAX DIV MERCK	(2,453)	-	(2,453)	-	-	11,069,061	MF00492602	MF00492603
4/30/1991	W/H TAX DIV GEN ELECTRIC	(2,173)	-	(2,173)	-	-	11,066,889	MF00492602	MF00492603
4/30/1991	W/H TAX DIV DOW CHEM	(3,647)	-	(3,647)	-	-	11,063,242	MF00492602	MF00492603
5/28/1991	W/H TAX DIV DISNEY	(945)	-	(945)	-	-	11,062,297	MF00488692	MF00488692
5/28/1991	W/H TAX DIV FED NATL MTG	(234)	-	(234)	-	-	11,062,063	MF00488692	MF00488692
6/28/1991	W/H TAX DIV LILLY ELI	(2,880)	-	(2,880)	-	-	11,059,183	MF00486947	MF00486948
6/28/1991	W/H TAX DIV GEN MOTORS	(240)	-	(240)	-	-	11,058,943	MF00486947	MF00486948
6/28/1991	W/H TAX DIV FORD	(240)	-	(240)	-	-	11,058,703	MF00486947	MF00486948
6/28/1991	W/H TAX DIV PEPSICO	(990)	-	(990)	-	-	11,057,713	MF00486947	MF00486948
6/28/1991	W/H TAX DIV ATL RICH	(5,156)	-	(5,156)	-	-	11,052,557	MF00486947	MF00486948
7/31/1991	W/H TAX DIV PHIL MORRIS	(2,477)	-	(2,477)	-	-	11,050,080	MF00485246	MF00485247
7/31/1991	W/H TAX DIV GEN ELEC	(2,173)	-	(2,173)	-	-	11,047,907	MF00485246	MF00485247
7/31/1991	W/H TAX DIV MERCK	(2,453)	-	(2,453)	-	-	11,045,455	MF00485246	MF00485247
7/31/1991	W/H TAX DIV DOW	(3,647)	-	(3,647)	-	-	11,041,808	MF00485246	MF00485247
8/27/1991	W/H TAX DIV DISNEY	(945)	-	(945)	-	-	11,040,863	MF00483259	MF00483260
8/27/1991	W/H TAX DIV CATERPILLAR	(180)	-	(180)	-	-	11,040,683	MF00483259	MF00483260
8/27/1991	W/H TAX DIV FED NATL MTG	(234)	-	(234)	-	-	11,040,449	MF00483259	MF00483260
9/18/1991	W/H TAX DIV BOEING	(304)	-	(304)	-	-	11,040,145	MF00481186	MF00481187
9/18/1991	W/H TAX DIV IBM	(2,940)	-	(2,940)	-	-	11,037,205	MF00481186	MF00481187
9/18/1991	W/H TAX DIV FORD	(240)	-	(240)	-	-	11,036,965	MF00481186	MF00481187
9/18/1991	W/H TAX DIV GENERAL MOTORS	(1,212)	-	(1,212)	-	-	11,035,753	MF00481186	MF00481187
9/18/1991	W/H TAX DIV WALMART	(242)	-	(242)	-	-	11,035,511	MF00481186	MF00481187
9/18/1991	W/H TAX DIV EXXON	(3,256)	-	(3,256)	-	-	11,032,255	MF00481186	MF00481187
9/18/1991	W/H TAX DIV JOHNSON & JOHNSON	(486)	-	(486)	-	-	11,031,769	MF00481186	MF00481187
9/18/1991	W/H TAX DIV MOBIL	(1,296)	-	(1,296)	-	-	11,030,473	MF00481186	MF00481187
9/18/1991	W/H TAX DIV DU PONT	(1,531)	-	(1,531)	-	-	11,028,942	MF00481186	MF00481187
9/20/1991	CXL W/H WALMART C&S 9/18/91	242	-	242	-	-	11,029,184	MF00481186	MF00481187
9/30/1991	W/H TAX DIV PEP	(464)	-	(464)	-	-	11,028,720	MF00481186	MF00481187
9/30/1991	W/H TAX DIV AIG	(26)	-	(26)	-	-	11,028,693	MF00481186	MF00481187
10/1/1991	CXL C & S 09/30/91 AIG W/H	26	-	26	-	-	11,028,720	MF00479103	MF00479104
10/1/1991	CXL C & S 09/30/91 PEP W/H	464	-	464	-	-	11,029,184	MF00479103	MF00479104
10/1/1991	W/H TAX DIV PEPSICO	(464)	-	(464)	-	-	11,028,720	MF00479103	MF00479104
10/1/1991	W/H TAX DIV AIG	(26)	-	(26)	-	-	11,028,693	MF00479103	MF00479104
10/15/1991	W/H TAX DIV SLB	(63)	-	(63)	-	-	11,028,630	MF00479103	MF00479104

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: <u>Beg Bates</u>	<u>End Bates</u>
10/15/1991	W/H TAX DIV MO	(3,024)	-	(3,024)	-	-	11,025,606	MF00479103	MF00479104
10/15/1991	W/H TAX DIV GE	(1,767)	-	(1,767)	-	-	11,023,839	MF00479103	MF00479104
10/15/1991	W/H TAX DIV MRK	(1,153)	-	(1,153)	-	-	11,022,686	MF00479103	MF00479104
10/17/1991	CXL C & S 10/15 MO	3,024	-	3,024	-	-	11,025,710	MF00479103	MF00479104
10/17/1991	CXL C & S 10/15 W/H MERCK	1,153	-	1,153	-	-	11,026,863	MF00479103	MF00479104
10/17/1991	CXL C & S 10/15 W/H GE	1,767	-	1,767	-	-	11,028,630	MF00479103	MF00479104
10/17/1991	CXL C & S 10/15 SLB	63	-	63	-	-	11,028,693	MF00479103	MF00479104
10/17/1991	W/H TAX DIV SCHLUMBERGER	(63)	-	(63)	-	-	11,028,630	MF00479103	MF00479104
10/17/1991	W/H TAX DIV WAL-MART	(242)	-	(242)	-	-	11,028,388	MF00479103	MF00479104
10/17/1991	W/H TAX DIV GEN ELECTRIC	(1,767)	-	(1,767)	-	-	11,026,621	MF00479103	MF00479104
10/17/1991	W/H TAX DIV MERCK	(1,153)	-	(1,153)	-	-	11,025,468	MF00479103	MF00479104
10/17/1991	W/H TAX DIV PHILIP MORRIS	(3,024)	-	(3,024)	-	-	11,022,444	MF00479103	MF00479104
11/15/1991	BELL ATLANTIC CORP W/H TAX DIV BEL	(1,966)	-	(1,966)	-	-	11,020,478	MF00476943	MF00476944
11/15/1991	BRISTOL MYERS SQUIBB COMPANY W/H TAX DIV BMY	(2,115)	-	(2,115)	-	-	11,018,363	MF00476943	MF00476944
11/15/1991	AMERICAN TEL & TELECO W/H TAX DIV T	(1,747)	-	(1,747)	-	-	11,016,616	MF00476943	MF00476944
11/15/1991	DISNEY WALT PRODTNS W/H TAX DIV DIS	(215)	-	(215)	-	-	11,016,401	MF00476943	MF00476944
12/16/1991	AMOCO CORP W/H TAX DIV AN	(243)	-	(243)	-	-	11,016,157	MF00474859	MF00474860
12/16/1991	INTERNATIONAL BUSINESS MACHS W/H TAX DIV IBM	(643)	-	(643)	-	-	11,015,515	MF00474859	MF00474860
12/16/1991	BOEING CO W/H TAX DIV BA	(111)	-	(111)	-	-	11,015,404	MF00474859	MF00474860
12/16/1991	DU PONT E I DE NEMOURS & CO W/H TAX DIV DO	(2,458)	-	(2,458)	-	-	11,012,946	MF00474859	MF00474860
12/16/1991	MOBIL CORP W/H TAX DIV MOB	(283)	-	(283)	-	-	11,012,663	MF00474859	MF00474860
12/16/1991	GENERAL MOTORS CORP W/H TAX DIV GM	(1,855)	-	(1,855)	-	-	11,010,808	MF00474859	MF00474860
12/16/1991	JOHNSON & JOHNSON W/H TAX DIV JNJ	(928)	-	(928)	-	-	11,009,880	MF00474859	MF00474860
12/16/1991	EXXON CORP W/H TAX DIV XON	(5,978)	-	(5,978)	-	-	11,003,902	MF00474859	MF00474860
1/2/1992	TRANS TO SHALVAH (IFN033)	(2,600,000)	-	-	-	(2,600,000)	8,403,903	MF00472704	MF00472705
1/15/1992	W/H TAX DIV WMT	(292)	-	(292)	-	-	8,403,611	MF00472704	MF00472705
1/15/1992	W/H TAX DIV GE	(3,089)	-	(3,089)	-	-	8,400,522	MF00472704	MF00472705
1/27/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(190)	-	(190)	-	-	8,400,332	MF00472704	MF00472705
2/14/1992	W/H TAX DIV BMY	(2,583)	-	(2,583)	-	-	8,397,749	MF00470866	MF00470866
2/14/1992	W/H TAX DIV T	(2,265)	-	(2,265)	-	-	8,395,484	MF00470866	MF00470866
3/13/1992	CHECK WIRE A/O 03/12/92	2,590,000	2,590,000	-	-	-	10,985,484	MF00469050	MF00469052
3/16/1992	W/H TAX DIV XON	(5,435)	-	(5,435)	-	-	10,980,049	MF00469050	MF00469052
3/16/1992	W/H TAX DIV GM	(3,994)	-	(3,994)	-	-	10,976,055	MF00469050	MF00469052
3/16/1992	W/H TAX DIV IBM	(9,060)	-	(9,060)	-	-	10,966,995	MF00469050	MF00469052
3/16/1992	W/H TAX DIV BA	(386)	-	(386)	-	-	10,966,608	MF00469050	MF00469052
3/18/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(66)	-	(66)	-	-	10,966,542	MF00469050	MF00469052
3/31/1992	TRANS TO 10014830 (IA0042)	(2,600,000)	-	-	-	(2,600,000)	8,366,542	MF00469050	MF00469052
3/31/1992	TRANS FROM 10512130 (IFN033)	2,726,800	-	-	2,726,800	-	11,093,342	MF00469050	MF00469052
4/15/1992	W/H TAX DIV KO	(1,223)	-	(1,223)	-	-	11,092,119	MF00466831	MF00466832
4/15/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(96)	-	(96)	-	-	11,092,023	MF00466831	MF00466832
4/15/1992	W/H TAX DIV WMT	(447)	-	(447)	-	-	11,091,576	MF00466831	MF00466832
4/15/1992	W/H TAX DIV EK	(4,056)	-	(4,056)	-	-	11,087,520	MF00466831	MF00466832
4/15/1992	W/H TAX DIV GE	(3,089)	-	(3,089)	-	-	11,084,431	MF00466831	MF00466832
5/18/1992	W/H TAX DIV T	(386)	-	(386)	-	-	11,084,045	MF00463015	MF00463016
5/18/1992	W/H TAX DIV T	(4,912)	-	(4,912)	-	-	11,079,133	MF00463015	MF00463016
5/18/1992	W/H TAX DIV BMY	(1,377)	-	(1,377)	-	-	11,077,756	MF00463015	MF00463016
5/18/1992	W/H TAX DIV DIS	(693)	-	(693)	-	-	11,077,063	MF00463015	MF00463016
5/18/1992	W/H TAX DIV AXP	(299)	-	(299)	-	-	11,076,764	MF00463015	MF00463016
5/21/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(26)	-	(26)	-	-	11,076,738	MF00463015	MF00463016
5/29/1992	TRANS FROM SHALVAH 1FN0330 (IFN033)	21,097	-	-	21,097	-	11,097,835	MF00463015	MF00463016

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>						<u>BLMIS SOURCE DOCUMENT:</u>	
			<u>Deposits</u>	<u>Withdrawals</u>	<u>Transfers In</u>	<u>Transfers Out</u>	<u>Balance</u>	<u>Beg Bates</u>	<u>End Bates</u>
6/15/1992	W/H TAX DIV BA	(1,166)	-	(1,166)	-	-	11,096,670	MF00461007	MF00461008
6/15/1992	W/H TAX DIV MOB	(4,778)	-	(4,778)	-	-	11,091,891	MF00461007	MF00461008
6/15/1992	W/H TAX DIV XON	(14,034)	-	(14,034)	-	-	11,077,858	MF00461007	MF00461008
6/15/1992	W/H TAX DIV F	(1,712)	-	(1,712)	-	-	11,076,145	MF00461007	MF00461008
6/15/1992	W/H TAX DIV DD	(4,885)	-	(4,885)	-	-	11,071,260	MF00461007	MF00461008
6/30/1992	W/H TAX DIV GM	(9,634)	-	(9,634)	-	-	11,061,626	MF00461007	MF00461008
6/30/1992	W/H TAX DIV AIG	(378)	-	(378)	-	-	11,061,249	MF00461007	MF00461008
7/15/1992	W/H TAX DIV GE	(7,473)	-	(7,473)	-	-	11,053,776	MF00458986	MF00458987
7/15/1992	W/H TAX DIV KO	(2,978)	-	(2,978)	-	-	11,050,798	MF00458986	MF00458987
7/15/1992	W/H TAX DIV WMT	(1,034)	-	(1,034)	-	-	11,049,764	MF00458986	MF00458987
7/15/1992	W/H TAX DIV EK	(9,677)	-	(9,677)	-	-	11,040,087	MF00458986	MF00458987
7/30/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(118)	-	(118)	-	-	11,039,969	MF00458986	MF00458987
8/3/1992	TRANS TO 1A004230 (1A0042)	(5,314,373)	-	-	-	(5,314,373)	5,725,596	MF00457045	MF00457046
8/17/1992	W/H TAX DIV DIS	(693)	-	(693)	-	-	5,724,903	MF00457045	MF00457046
8/17/1992	W/H TAX DIV AXP	(351)	-	(351)	-	-	5,724,552	MF00457045	MF00457046
8/17/1992	W/H TAX DIV T	(5,458)	-	(5,458)	-	-	5,719,095	MF00457045	MF00457046
8/25/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(46)	-	(46)	-	-	5,719,049	MF00457045	MF00457046
9/15/1992	W/H TAX DIV XON	(11,550)	-	(11,550)	-	-	5,707,499	MF00455075	MF00455076
9/15/1992	W/H TAX DIV DD	(4,001)	-	(4,001)	-	-	5,703,498	MF00455075	MF00455076
9/15/1992	W/H TAX DIV MOB	(3,914)	-	(3,914)	-	-	5,699,584	MF00455075	MF00455076
9/15/1992	W/H TAX DIV BA	(956)	-	(956)	-	-	5,698,628	MF00455075	MF00455076
9/15/1992	W/H TAX DIV JNJ	(805)	-	(805)	-	-	5,697,823	MF00455075	MF00455076
9/21/1992	CHECK WIRE	3,954,703	3,954,703	-	-	-	9,652,526	MF00455075	MF00455076
9/30/1992	W/H TAX DIV AIG	(347)	-	(347)	-	-	9,652,179	MF00455075	MF00455076
10/15/1992	W/H TAX DIV GE	(6,583)	-	(6,583)	-	-	9,645,596	MF00452736	MF00452737
10/15/1992	W/H TAX DIV WMT	(853)	-	(853)	-	-	9,644,743	MF00452736	MF00452737
10/15/1992	W/H TAX DIV DOW	(207)	-	(207)	-	-	9,644,536	MF00452736	MF00452737
10/15/1992	W/H TAX DIV EK	(7,952)	-	(7,952)	-	-	9,636,585	MF00452736	MF00452737
10/15/1992	W/H TAX DIV KO	(2,449)	-	(2,449)	-	-	9,634,136	MF00452736	MF00452737
11/16/1992	W/H TAX DIV AXP	(443)	-	(443)	-	-	9,633,694	MF00450503	MF00450504
11/16/1992	W/H TAX DIV T	(4,907)	-	(4,907)	-	-	9,628,786	MF00450503	MF00450504
11/16/1992	W/H TAX DIV BMY	(878)	-	(878)	-	-	9,627,908	MF00450503	MF00450504
11/16/1992	W/H TAX DIV OIS	(570)	-	(570)	-	-	9,627,338	MF00450503	MF00450504
11/20/1992	CHECK WIRE 11/20/92	4,039,000	4,039,000	-	-	-	13,666,338	MF00450503	MF00450504
12/15/1992	W/H TAX DIV BA	(1,359)	-	(1,359)	-	-	13,664,979	MF00444256	MF00444257
12/15/1992	W/H TAX DIV XON	(15,273)	-	(15,273)	-	-	13,649,706	MF00444256	MF00444257
12/15/1992	W/H TAX DIV DD	(5,141)	-	(5,141)	-	-	13,644,564	MF00444256	MF00444257
12/15/1992	W/H TAX DIV MOB	(4,423)	-	(4,423)	-	-	13,640,141	MF00444256	MF00444257
12/15/1992	W/H TAX DIV JNJ	(1,927)	-	(1,927)	-	-	13,638,214	MF00444256	MF00444257
12/15/1992	W/H TAX DIV BAC	(207)	-	(207)	-	-	13,638,007	MF00444256	MF00444257
12/15/1992	W/H TAX DIV F	(1,188)	-	(1,188)	-	-	13,636,819	MF00444256	MF00444257
12/30/1992	FIDELITY CAS RESERVES SBI W/H TAX DIV FCRXX	(207)	-	(207)	-	-	13,636,613	MF00444256	MF00444257
12/31/1992	W/H TAX DIV AIG	(743)	-	(743)	-	-	13,635,870	MF00444256	MF00444257
12/31/1992	W/H TAX DIV KO	(2,760)	-	(2,760)	-	-	13,633,109	MF00444256	MF00444257
1/4/1993	TRANS TO ASCOT FUND 1FN00530 (1FN005)	(35,041,794) <sup>[1]</sup>	-	-	-	(13,633,109)	-	MF00437663	MF00437663
1/15/1993	W/H TAX DIV GE	(10,389)	-	(10,389)	-	-	(10,389)	MF00437663	MF00437663
1/15/1993	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(13)	-	(13)	-	-	(10,403)	MF00437663	MF00437663
1/15/1993	W/H TAX DIV MRK	(780)	-	(780)	-	-	(11,183)	MF00437663	MF00437663
1/15/1993	W/H TAX DIV EK	(11,102)	-	(11,102)	-	-	(22,284)	MF00437663	MF00437663

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
<u>Date</u>	<u>Transaction Description as Reported in Customer Statement</u>	<u>Amount as Reported in Customer Statement</u>	<u>Deposits</u>	<u>Withdrawals</u>	<u>Transfers In</u>	<u>Transfers Out</u>	<u>Balance</u>	<u>BLMIS SOURCE DOCUMENT: Beg Bates</u>	<u>End Bates</u>
1/15/1993	W/H TAX DIV HWP	(127)	-	(127)	-	-	(22,411)	MF00437663	MF00437663
1/15/1993	W/H TAX DIV DOW	(207)	-	(207)	-	-	(22,618)	MF00437663	MF00437663
1/15/1993	W/H TAX DIV WMT	(1,150)	-	(1,150)	-	-	(23,768)	MF00437663	MF00437663
2/16/1993	W/H TAX DIV T	(5,937)	-	(5,937)	-	-	(29,705)	MF00432081	MF00432081
2/26/1993	TRANS TO ASCOT FUND LTD (1FN005)	(13,853) <sup>[2]</sup>	-	-	-	-	(29,705)	MF00432081	MF00432081
	Total:	\$ 21,683,703	\$ (313,823)	\$ 2,747,897	\$ (24,147,482)	\$ (29,705)			

<sup>[1]</sup> Although BLMIS Customer Statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[2]</sup> Although BLMIS Customer Statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

## **Exhibit 4B**

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	<u>BLMIS SOURCE DOCUMENT:</u>	
								<u>Beg Bates</u>	<u>End Bates</u>
5/1/1990	CHECK WIRE	5,000,000	5,000,000	-	-	-	5,000,000	MF00038582	MF00038582
6/29/1990	W/H TAX DIV DELTA AIRLINES	(855)	-	(855)	-	-	4,999,145	MF00039966	MF00039966
7/2/1990	W/H TAX DIV THE GAP	(490)	-	(490)	-	-	4,998,655	MF00035548	MF00035548
7/9/1990	CHECK WIRE	5,000,000	5,000,000	-	-	-	9,998,655	MF00035548	MF00035548
7/18/1990	W/H TAX DIV COCA COLA	(1,116)	-	(1,116)	-	-	9,997,539	MF00035548	MF00035548
8/22/1990	W/H TAX DIV DISNEY	(335)	-	(335)	-	-	9,997,204	MF00037216	MF00037217
10/9/1990	CHECK WIRE	(400,000)	-	(400,000)	-	-	9,597,204	MF00029534	MF00029535
10/19/1990	CXL CHECK WIRE A/O 10/09/90	400,000	-	400,000	-	-	9,997,204	MF00029534	MF00029535
10/22/1990	W/H TAX DIV COCA COLA	(1,638)	-	(1,638)	-	-	9,995,566	MF00029534	MF00029535
10/22/1990	W/H TAX DIV MERCK	(1,596)	-	(1,596)	-	-	9,993,970	MF00029534	MF00029535
11/30/1990	W/H TAX DIV PAC TEL	(3,000)	-	(3,000)	-	-	9,990,970	MF00034086	MF00034086
11/30/1990	W/H TAX DIV SEA CONTAINERS	(1,386)	-	(1,386)	-	-	9,989,584	MF00034086	MF00034086
12/14/1990	W/H TAX DIV AMER GEN CORP	(4,752)	-	(4,752)	-	-	9,984,832	MF00026161	MF00026161
12/14/1990	W/H TAX DIV BOEING	(555)	-	(555)	-	-	9,984,277	MF00026161	MF00026161
12/14/1990	W/H TAX DIV COCA COLA	(1,638)	-	(1,638)	-	-	9,982,639	MF00026161	MF00026161
12/14/1990	W/H TAX DIV IBM	(2,686)	-	(2,686)	-	-	9,979,953	MF00026161	MF00026161
12/14/1990	W/H TAX DIV HILTON HOTEL	(1,782)	-	(1,782)	-	-	9,978,171	MF00026161	MF00026161
2/15/1991	W/H TAX DIV PACIFIC TELESIS	(3,000)	-	(3,000)	-	-	9,975,171	MF00494389	MF00494390
2/15/1991	W/H TAX DIV PROCTER & GAMBLE	(3,105)	-	(3,105)	-	-	9,972,066	MF00494389	MF00494390
2/15/1991	W/H TAX DIV BRISTOL MYERS	(3,582)	-	(3,582)	-	-	9,968,484	MF00494389	MF00494390
2/15/1991	W/H TAX DIV DISNEY	(322)	-	(322)	-	-	9,968,162	MF00494389	MF00494390
2/28/1991	CANCEL C & S 02/15/91	322	-	322	-	-	9,968,484	MF00494389	MF00494390
2/28/1991	W/H TAX DIV DISNEY	(783)	-	(783)	-	-	9,967,701	MF00494389	MF00494390
3/21/1991	W/H TAX DIV APPLE	(522)	-	(522)	-	-	9,967,179	MF00542593	MF00542593
3/21/1991	W/H TAX DIV BOEING	(555)	-	(555)	-	-	9,966,624	MF00542593	MF00542593
3/21/1991	W/H TAX DIV IBM	(2,686)	-	(2,686)	-	-	9,963,938	MF00542593	MF00542593
4/1/1991	W/H TAX DIV HILTON	(1,782)	-	(1,782)	-	-	9,962,156	MF00490636	MF00490636
4/1/1991	W/H TAX DIV COCA COLA	(1,966)	-	(1,966)	-	-	9,960,190	MF00490636	MF00490636
5/28/1991	W/H TAX DIV BRISTOL MYERS	(3,582)	-	(3,582)	-	-	9,956,608	MF00488685	MF00488686
5/28/1991	W/H TAX DIV PACIFIC TEL	(3,178)	-	(3,178)	-	-	9,953,431	MF00488685	MF00488686
5/28/1991	W/H TAX DIV FED NATL MTG	(1,131)	-	(1,131)	-	-	9,952,300	MF00488685	MF00488686
5/28/1991	W/H TAX DIV PROCTER & GAMBLE	(3,105)	-	(3,105)	-	-	9,949,195	MF00488685	MF00488686
5/28/1991	W/H TAX DIV DISNEY	(389)	-	(389)	-	-	9,948,806	MF00488685	MF00488686
6/28/1991	W/H TAX DIV FORD	(960)	-	(960)	-	-	9,947,846	MF00486939	MF00486940
6/28/1991	W/H TAX DIV IBM	(2,686)	-	(2,686)	-	-	9,945,160	MF00486939	MF00486940
6/28/1991	W/H TAX DIV BOEING	(555)	-	(555)	-	-	9,944,605	MF00486939	MF00486940
6/28/1991	W/H TAX DIV HILTON	(1,782)	-	(1,782)	-	-	9,942,823	MF00486939	MF00486940
6/28/1991	W/H TAX DIV GEN MOTORS	(960)	-	(960)	-	-	9,941,863	MF00486939	MF00486940
8/27/1991	W/H TAX DIV PROCTOR & GAM	(3,105)	-	(3,105)	-	-	9,938,758	MF00483251	MF00483252
8/27/1991	W/H TAX DIV PACIFIC TEL	(3,178)	-	(3,178)	-	-	9,935,580	MF00483251	MF00483252
8/27/1991	W/H TAX DIV CATERPILLAR	(720)	-	(720)	-	-	9,934,860	MF00483251	MF00483252
8/27/1991	W/H TAX DIV FED NATL MTG	(1,131)	-	(1,131)	-	-	9,933,729	MF00483251	MF00483252
8/27/1991	W/H TAX DIV DISNEY	(389)	-	(389)	-	-	9,933,340	MF00483251	MF00483252
9/18/1991	W/H TAX DIV MOBIL	(960)	-	(960)	-	-	9,932,380	MF00481177	MF00481178
9/18/1991	W/H TAX DIV EXXON	(2,412)	-	(2,412)	-	-	9,929,968	MF00481177	MF00481178
9/18/1991	W/H TAX DIV JOHNSON & JOHNSON	(360)	-	(360)	-	-	9,929,608	MF00481177	MF00481178
9/18/1991	W/H TAX DIV GENERAL MOTORS	(1,680)	-	(1,680)	-	-	9,927,928	MF00481177	MF00481178
9/18/1991	W/H TAX DIV DU PONT	(1,134)	-	(1,134)	-	-	9,926,794	MF00481177	MF00481178
9/18/1991	W/H TAX DIV IBM	(2,178)	-	(2,178)	-	-	9,924,616	MF00481177	MF00481178
9/18/1991	W/H TAX DIV WALMART	(250)	-	(250)	-	-	9,924,367	MF00481177	MF00481178

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	<u>BLMIS SOURCE DOCUMENT:</u>	
								<u>Beg Bates</u>	<u>End Bates</u>
9/18/1991	W/H TAX DIV FORD	(960)	-	(960)	-	-	9,923,407	MF00481177	MF00481178
9/18/1991	W/H TAX DIV BOEING	(780)	-	(780)	-	-	9,922,627	MF00481177	MF00481178
9/20/1991	CXL W/H WALMART C&S 9/18/91	250	-	250	-	-	9,922,876	MF00481177	MF00481178
9/30/1991	W/H TAX DIV AIG	(71)	-	(71)	-	-	9,922,805	MF00481177	MF00481178
9/30/1991	W/H TAX DIV PEP	(493)	-	(493)	-	-	9,922,312	MF00481177	MF00481178
10/1/1991	CXL C & S 09/30/91 PEP W/H	493	-	493	-	-	9,922,805	MF00479096	MF00479097
10/1/1991	CXL C & S 09/30/91 AIG W/H	71	-	71	-	-	9,922,876	MF00479096	MF00479097
10/1/1991	W/H TAX DIV PEPSICO	(493)	-	(493)	-	-	9,922,383	MF00479096	MF00479097
10/1/1991	W/H TAX DIV AIG	(71)	-	(71)	-	-	9,922,312	MF00479096	MF00479097
10/15/1991	W/H TAX DIV MRK	(1,115)	-	(1,115)	-	-	9,921,197	MF00479096	MF00479097
10/15/1991	W/H TAX DIV GE	(1,943)	-	(1,943)	-	-	9,919,254	MF00479096	MF00479097
10/15/1991	W/H TAX DIV SLB	(171)	-	(171)	-	-	9,919,083	MF00479096	MF00479097
10/17/1991	CXL C & S 10/15 SLB	171	-	171	-	-	9,919,254	MF00479096	MF00479097
10/17/1991	CXL C & S 10/15/W/H GE	1,943	-	1,943	-	-	9,921,197	MF00479096	MF00479097
10/17/1991	CXL C & S 10/15 W/H MERCK	1,115	-	1,115	-	-	9,922,312	MF00479096	MF00479097
10/17/1991	W/H TAX DIV GEN ELECTRIC	(1,943)	-	(1,943)	-	-	9,920,369	MF00479096	MF00479097
10/17/1991	W/H TAX DIV WAL-MART	(250)	-	(250)	-	-	9,920,119	MF00479096	MF00479097
10/17/1991	W/H TAX DIV SCHLUMBERGER	(171)	-	(171)	-	-	9,919,948	MF00479096	MF00479097
10/17/1991	W/H TAX DIV MERCK	(1,115)	-	(1,115)	-	-	9,918,833	MF00479096	MF00479097
11/15/1991	AMERICAN TEL & TELECO W/H TAX DIV T	(1,841)	-	(1,841)	-	-	9,916,992	MF00476935	MF00476936
11/15/1991	DISNEY WALT PRODTNS W/H TAX DIV DIS	(100)	-	(100)	-	-	9,916,892	MF00476935	MF00476936
11/15/1991	BRISTOL MYERS SQUIBB COMPANY W/H TAX DIV BMY	(5,472)	-	(5,472)	-	-	9,911,420	MF00476935	MF00476936
11/15/1991	BELL ATLANTIC CORP W/H TAX DIV BEL	(1,796)	-	(1,796)	-	-	9,909,624	MF00476935	MF00476936
12/16/1991	GENERAL MOTORS CORP W/H TAX DIV GM	(1,601)	-	(1,601)	-	-	9,908,023	MF00474851	MF00474852
12/16/1991	BOEING CO W/H TAX DIV BA	(86)	-	(86)	-	-	9,907,937	MF00474851	MF00474852
12/16/1991	JOHNSON & JOHNSON W/H TAX DIV JNJ	(800)	-	(800)	-	-	9,907,137	MF00474851	MF00474852
12/16/1991	AMOCO CORP W/H TAX DIV AN	(190)	-	(190)	-	-	9,906,947	MF00474851	MF00474852
12/16/1991	INTERNATIONAL BUSINESS MACHES W/H TAX DIV IBM	(501)	-	(501)	-	-	9,906,446	MF00474851	MF00474852
12/16/1991	MOBIL CORP W/H TAX DIV MOB	(221)	-	(221)	-	-	9,906,225	MF00474851	MF00474852
12/16/1991	EXXON CORP W/H TAX DIV XON	(5,178)	-	(5,178)	-	-	9,901,048	MF00474851	MF00474852
12/16/1991	DU PONTE E I DE NEMOURS & CO W/H TAX DIV DD	(2,059)	-	(2,059)	-	-	9,898,989	MF00474851	MF00474852
1/2/1992	TRANS FROM ARIEL (1FN004)	2,600,000	-	-	2,600,000	-	12,498,988	MF00472696	MF00472697
1/15/1992	W/H TAX DIV GE	(3,059)	-	(3,059)	-	-	12,495,929	MF00472696	MF00472697
1/15/1992	W/H TAX DIV WMT	(289)	-	(289)	-	-	12,495,640	MF00472696	MF00472697
1/27/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(352)	-	(352)	-	-	12,495,288	MF00472696	MF00472697
2/14/1992	W/H TAX DIV T	(2,243)	-	(2,243)	-	-	12,493,045	MF00470861	MF00470861
2/14/1992	W/H TAX DIV BMY	(2,559)	-	(2,559)	-	-	12,490,486	MF00470861	MF00470861
3/16/1992	W/H TAX DIV BA	(545)	-	(545)	-	-	12,489,941	MF00469043	MF00469044
3/16/1992	W/H TAX DIV XON	(5,383)	-	(5,383)	-	-	12,484,558	MF00469043	MF00469044
3/16/1992	W/H TAX DIV MOB	(3,974)	-	(3,974)	-	-	12,480,584	MF00469043	MF00469044
3/16/1992	W/H TAX DIV DD	(3,651)	-	(3,651)	-	-	12,476,932	MF00469043	MF00469044
3/16/1992	W/H TAX DIV IBM	(8,973)	-	(8,973)	-	-	12,467,959	MF00469043	MF00469044
3/16/1992	W/H TAX DIV GM	(3,955)	-	(3,955)	-	-	12,464,004	MF00469043	MF00469044
3/18/1992	FIDELITY CASH RESERVES SBI	(173)	-	(173)	-	-	12,463,831	MF00469043	MF00469044
3/31/1992	W/H TAX DIV AIG	(311)	-	(311)	-	-	12,463,520	MF00469043	MF00469044
3/31/1992	TRANS TO 10512430 (1FN004)	(2,726,800)	-	-	(2,726,800)	-	9,736,721	MF00469043	MF00469044
4/15/1992	W/H TAX DIV KO	(1,211)	-	(1,211)	-	-	9,735,509	MF00466826	MF00466826
4/15/1992	W/H TAX DIV WMT	(444)	-	(444)	-	-	9,735,066	MF00466826	MF00466826
4/15/1992	W/H TAX DIV GE	(3,059)	-	(3,059)	-	-	9,732,007	MF00466826	MF00466826
4/15/1992	FIDELITY CASH RERVES SBI W/H TAX DIV FCRXX	(0)	-	(0)	-	-	9,732,006	MF00466826	MF00466826

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>						<u>BLMIS SOURCE DOCUMENT:</u>	
			<u>Deposits</u>	<u>Withdrawals</u>	<u>Transfers In</u>	<u>Transfers Out</u>	<u>Balance</u>	<u>Beg Bates</u>	<u>End Bates</u>
4/15/1992	W/H TAX DIV EK	(4,017)	-	(4,017)	-	-	9,727,989	MF00466826	MF00466826
5/27/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(18)	-	(18)	-	-	9,727,971	MF00463077	MF00463077
5/29/1992	TRANS TO ARIEL IFN00430(IFN004)	(21,097)	-	-	-	(21,097)	9,706,874	MF00463077	MF00463077
8/25/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(0)	-	(0)	-	-	9,706,874	MF00457107	MF00457107
10/21/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(0)	-	(0)	-	-	9,706,874	MF00452801	MF00452801
10/30/1992	CHECK	(43)	-	(43)	-	-	9,706,832	MF00452801	MF00452801
<b>Total:</b>		<b>\$ 10,000,000</b>	<b>\$ (145,271)</b>	<b>\$ 2,600,000</b>	<b>\$ (2,747,897)</b>		<b>\$ 9,706,832</b>		

## **Exhibit 4C**

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: <u>Beg Bates</u>	<u>End Bates</u>
7/9/1992	CHECK WIRE	2,000,000	2,000,000	-	-	-	2,000,000	MF00459072	MF00459072
8/25/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(13)	-	(13)	-	-	1,999,987	MF00457124	MF00457124
9/15/1992	W/H TAX DIV MOB	(125)	-	(125)	-	-	1,999,862	MF00455156	MF00455157
9/15/1992	W/H TAX DIV DD	(137)	-	(137)	-	-	1,999,725	MF00455156	MF00455157
9/15/1992	W/H TAX DIV BA	(39)	-	(39)	-	-	1,999,686	MF00455156	MF00455157
9/15/1992	W/H TAX DIV JNJ	(161)	-	(161)	-	-	1,999,525	MF00455156	MF00455157
9/15/1992	W/H TAX DIV XON	(393)	-	(393)	-	-	1,999,131	MF00455156	MF00455157
9/30/1992	W/H TAX DIV AIG	(33)	-	(33)	-	-	1,999,099	MF00455156	MF00455157
10/15/1992	W/H TAX DIV EK	(273)	-	(273)	-	-	1,998,826	MF00452819	MF00452820
10/15/1992	W/H TAX DIV GE	(276)	-	(276)	-	-	1,998,550	MF00452819	MF00452820
10/15/1992	W/H TAX DIV WMT	(25)	-	(25)	-	-	1,998,525	MF00452819	MF00452820
10/15/1992	W/H TAX DIV KO	(87)	-	(87)	-	-	1,998,438	MF00452819	MF00452820
11/16/1992	W/H TAX DIV AXP	(59)	-	(59)	-	-	1,998,379	MF00450569	MF00450569
11/16/1992	W/H TAX DIV T	(154)	-	(154)	-	-	1,998,225	MF00450569	MF00450569
11/16/1992	W/H TAX DIV BMY	(215)	-	(215)	-	-	1,998,009	MF00450569	MF00450569
12/15/1992	W/H TAX DIV JNJ	(180)	-	(180)	-	-	1,997,829	MF00444338	MF00444339
12/15/1992	W/H TAX DIV F	(32)	-	(32)	-	-	1,997,797	MF00444338	MF00444339
12/15/1992	W/H TAX DIV XON	(438)	-	(438)	-	-	1,997,358	MF00444338	MF00444339
12/15/1992	W/H TAX DIV DD	(153)	-	(153)	-	-	1,997,205	MF00444338	MF00444339
12/15/1992	W/H TAX DIV BA	(44)	-	(44)	-	-	1,997,162	MF00444338	MF00444339
12/15/1992	W/H TAX DIV MOB	(125)	-	(125)	-	-	1,997,037	MF00444338	MF00444339
12/30/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(31)	-	(31)	-	-	1,997,006	MF00444338	MF00444339
12/31/1992	WH TAX DIV KO	(87)	-	(87)	-	-	1,996,918	MF00444338	MF00444339
12/31/1992	W/H TAX DIV AIG	(37)	-	(37)	-	-	1,996,882	MF00444338	MF00444339
1/4/1993	TRANS TO ASCOT FUND 1FN00530 (1FN005)	(2,185,762) <sup>[1]</sup>	-	-	-	(1,996,882)	-	MF00437730	MF00437730
1/15/1993	FIDELITY CASH REESRVES SBI W/H TAX DIV FCRXX	(3)	-	(3)	-	-	(3)	MF00437730	MF00437730
1/15/1993	W/H TAX DIV GE	(329)	-	(329)	-	-	(332)	MF00437730	MF00437730
1/15/1993	W/H TAX DIV WMT	(27)	-	(27)	-	-	(359)	MF00437730	MF00437730
1/15/1993	W/H TAX DIV MRK	(11)	-	(11)	-	-	(370)	MF00437730	MF00437730
1/15/1993	W/H TAX DIV EK	(305)	-	(305)	-	-	(675)	MF00437730	MF00437730
2/16/1993	W/H TAX DIV T	(169)	-	(169)	-	-	(844)	MF00432149	MF00432149
2/26/1993	TRANS TO ASCOT FUND LTD (1FN005)	(959) <sup>[2]</sup>	-	-	-	-	(844)	MF00432149	MF00432149
	Total:	\$ 2,000,000	\$ (3,962)	\$ -	\$ (1,996,882)	\$ (844)			

<sup>[1]</sup> Although BLMIS Customer Statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[2]</sup> Although BLMIS Customer Statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

## **Exhibit 4D**

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: <u>Beg Bates</u>	<u>End Bates</u>
4/1/1991	CHECK WIRE	2,000,000	2,000,000	-	-	-	2,000,000	MF00490657	MF00490657
6/28/1991	W/H TAX DIV EXXON	(905)	-	(905)	-	-	1,999,096	MF00486960	MF00486960
6/28/1991	W/H TAX DIV JOHN & JOHN	(540)	-	(540)	-	-	1,998,556	MF00486960	MF00486960
6/28/1991	W/H TAX DIV LILLY ELI	(750)	-	(750)	-	-	1,997,806	MF00486960	MF00486960
7/31/1991	W/H TAX DIV PHIL MORRIS	(968)	-	(968)	-	-	1,996,838	MF00485261	MF00485262
7/31/1991	W/H TAX DIV GEN ELEC	(918)	-	(918)	-	-	1,995,920	MF00485261	MF00485262
9/18/1991	W/H TAX DIV MOBIL	(192)	-	(192)	-	-	1,995,728	MF00481200	MF00481201
9/18/1991	W/H TAX DIV WALMART	(31)	-	(31)	-	-	1,995,697	MF00481200	MF00481201
9/18/1991	W/H TAX DIV GENERAL MOTORS	(144)	-	(144)	-	-	1,995,553	MF00481200	MF00481201
9/18/1991	W/H TAX DIV IBM	(436)	-	(436)	-	-	1,995,118	MF00481200	MF00481201
9/18/1991	W/H TAX DIV DU PONT	(227)	-	(227)	-	-	1,994,891	MF00481200	MF00481201
9/18/1991	W/H TAX DIV JOHNSON & JOHNSON	(72)	-	(72)	-	-	1,994,819	MF00481200	MF00481201
9/18/1991	W/H TAX DIV EXXON	(482)	-	(482)	-	-	1,994,337	MF00481200	MF00481201
9/18/1991	W/H TAX DIV BOEING	(45)	-	(45)	-	-	1,994,292	MF00481200	MF00481201
9/20/1991	CXL W/H WALMART C&S 9/18/91	31	-	31	-	-	1,994,322	MF00481200	MF00481201
9/30/1991	W/H TAX DIV PEP	(58)	-	(58)	-	-	1,994,265	MF00481200	MF00481201
10/1/1991	CXL C & S 09/30/91 PEP W/H	58	-	58	-	-	1,994,322	MF00479115	MF00479116
10/1/1991	W/H TAX DIV PEPSICO	(58)	-	(58)	-	-	1,994,265	MF00479115	MF00479116
10/15/1991	W/H TAX DIV MO	(1,181)	-	(1,181)	-	-	1,993,083	MF00479115	MF00479116
10/15/1991	W/H TAX DIV GE	(214)	-	(214)	-	-	1,992,869	MF00479115	MF00479116
10/15/1991	W/H TAX DIV HRK	(151)	-	(151)	-	-	1,992,718	MF00479115	MF00479116
10/17/1991	CXL C & S 10/15 W/H GE	214	-	214	-	-	1,992,932	MF00479115	MF00479116
10/17/1991	CXL C & S 10/15 W/H MERCK	1,181	-	1,181	-	-	1,994,113	MF00479115	MF00479116
10/17/1991	CXL C & S 10/15 W/H MERCK	151	-	151	-	-	1,994,265	MF00479115	MF00479116
10/17/1991	W/H TAX DIV PHILIP MORRIS	(1,181)	-	(1,181)	-	-	1,993,083	MF00479115	MF00479116
10/17/1991	W/H TAX DIV GEN ELECTRIC	(214)	-	(214)	-	-	1,992,869	MF00479115	MF00479116
10/17/1991	W/H TAX DIV WAL-MART	(31)	-	(31)	-	-	1,992,839	MF00479115	MF00479116
10/17/1991	W/H TAX DIV MERCK	(151)	-	(151)	-	-	1,992,687	MF00479115	MF00479116
11/15/1991	AMERICAN TEL & TELECO	(218)	-	(218)	-	-	1,992,470	MF00476956	MF00476957
11/15/1991	BRISTOL MYERS SQUIBB COMPANY	(342)	-	(342)	-	-	1,992,128	MF00476956	MF00476957
11/15/1991	BELL ATLANTIC CORP	(321)	-	(321)	-	-	1,991,806	MF00476956	MF00476957
12/16/1991	MOBIL CORP W/H TAX DIV MOB	(48)	-	(48)	-	-	1,991,758	MF00474872	MF00474873
12/16/1991	INTERNATIONAL BUSINESS MACHES W/H TAX DIV IBM	(109)	-	(109)	-	-	1,991,649	MF00474872	MF00474873
12/16/1991	JOHNSON & JOHNSON W/H TAX DIV JNJ	(150)	-	(150)	-	-	1,991,499	MF00474872	MF00474873
12/16/1991	BOEING CO W/H TAX DIV BA	(19)	-	(19)	-	-	1,991,481	MF00474872	MF00474873
12/16/1991	AMOCO CORP W/H TAX DIV AN	(41)	-	(41)	-	-	1,991,439	MF00474872	MF00474873
12/16/1991	GENERAL MOTORS CORP W/H TAX DIV GM	(300)	-	(300)	-	-	1,991,139	MF00474872	MF00474873
12/16/1991	EXXON CORP W/H TAX DIV XON	(965)	-	(965)	-	-	1,990,175	MF00474872	MF00474873
12/16/1991	DU PONT E I DE NEMOURS & CO W/H TAX DIV DD	(391)	-	(391)	-	-	1,989,784	MF00474872	MF00474873
1/2/1992	CHECK WIRE	1,000,000	1,000,000	-	-	-	2,989,784	MF00472715	MF00472716
1/15/1992	W/H TAX DIV WMT	(46)	-	(46)	-	-	2,989,738	MF00472715	MF00472716
1/15/1992	W/H TAX DIV GE	(490)	-	(490)	-	-	2,989,248	MF00472715	MF00472716
1/27/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(303)	-	(303)	-	-	2,988,944	MF00472715	MF00472716
2/14/1992	W/H TAX DIV T	(359)	-	(359)	-	-	2,988,585	MF00470874	MF00470874
2/14/1992	W/H TAX DIV BMY	(410)	-	(410)	-	-	2,988,175	MF00470874	MF00470874
3/16/1992	W/H TAX DIV DD	(415)	-	(415)	-	-	2,987,761	MF00469063	MF00469064

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: <u>Beg Bates</u>	<u>End Bates</u>
3/16/1992	W/H TAX DIV MOB	(451)	-	(451)	-	-	2,987,309	MF00469063	MF00469064
3/16/1992	W/H TAX DIV IBM	(2,047)	-	(2,047)	-	-	2,985,262	MF00469063	MF00469064
3/16/1992	W/H TAX DIV GM	(902)	-	(902)	-	-	2,984,360	MF00469063	MF00469064
3/16/1992	W/H TAX DIV BA	(106)	-	(106)	-	-	2,984,254	MF00469063	MF00469064
3/16/1992	W/H TAX DIV XON	(1,228)	-	(1,228)	-	-	2,983,026	MF00469063	MF00469064
3/18/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(84)	-	(84)	-	-	2,982,942	MF00469063	MF00469064
3/31/1992	W/H TAX DIV AIG	(25)	-	(25)	-	-	2,982,917	MF00469063	MF00469064
4/15/1992	W/H TAX DIV GE	(698)	-	(698)	-	-	2,982,219	MF00466842	MF00466842
4/15/1992	W/H TAX DIV KO	(276)	-	(276)	-	-	2,981,943	MF00466842	MF00466842
4/15/1992	W/H TAX DIV WMT	(115)	-	(115)	-	-	2,981,828	MF00466842	MF00466842
4/15/1992	W/H TAX DIV EK	(917)	-	(917)	-	-	2,980,912	MF00466842	MF00466842
5/18/1992	W/H TAX DIV DIS	(132)	-	(132)	-	-	2,980,780	MF00463041	MF00463042
5/18/1992	W/H TAX DIV BMY	(559)	-	(559)	-	-	2,980,221	MF00463041	MF00463042
5/18/1992	W/H TAX DIV AXP	(135)	-	(135)	-	-	2,980,086	MF00463041	MF00463042
5/18/1992	W/H TAX DIV T	(512)	-	(512)	-	-	2,979,574	MF00463041	MF00463042
6/15/1992	W/H TAX DIV XON	(1,320)	-	(1,320)	-	-	2,978,254	MF00461032	MF00461033
6/15/1992	W/H TAX DIV BA	(106)	-	(106)	-	-	2,978,148	MF00461032	MF00461033
6/15/1992	W/H TAX DIV DD	(434)	-	(434)	-	-	2,977,714	MF00461032	MF00461033
6/15/1992	W/H TAX DIV F	(648)	-	(648)	-	-	2,977,066	MF00461032	MF00461033
6/15/1992	W/H TAX DIV MOB	(451)	-	(451)	-	-	2,976,615	MF00461032	MF00461033
6/30/1992	W/H TAX DIV GM	(902)	-	(902)	-	-	2,975,713	MF00461032	MF00461033
6/30/1992	W/H TAX DIV AIG	(25)	-	(25)	-	-	2,975,688	MF00461032	MF00461033
7/15/1992	W/H TAX DIV EK	(917)	-	(917)	-	-	2,974,771	MF00459013	MF00459014
7/15/1992	W/H TAX DIV WMT	(115)	-	(115)	-	-	2,974,657	MF00459013	MF00459014
7/15/1992	W/H TAX DIV KO	(276)	-	(276)	-	-	2,974,380	MF00459013	MF00459014
7/15/1992	W/H TAX DIV GE	(698)	-	(698)	-	-	2,973,682	MF00459013	MF00459014
7/30/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(589)	-	(589)	-	-	2,973,094	MF00459013	MF00459014
8/17/1992	W/H TAX DIV DIS	(132)	-	(132)	-	-	2,972,962	MF00457069	MF00457070
8/17/1992	W/H TAX DIV BMY	(559)	-	(559)	-	-	2,972,403	MF00457069	MF00457070
8/17/1992	W/H TAX DIV T	(512)	-	(512)	-	-	2,971,891	MF00457069	MF00457070
8/17/1992	W/H TAX DIV AXP	(135)	-	(135)	-	-	2,971,756	MF00457069	MF00457070
8/25/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(136)	-	(136)	-	-	2,971,620	MF00457069	MF00457070
9/15/1992	W/H TAX DIV MOB	(451)	-	(451)	-	-	2,971,169	MF00455100	MF00455101
9/15/1992	W/H TAX DIV DD	(434)	-	(434)	-	-	2,970,734	MF00455100	MF00455101
9/15/1992	W/H TAX DIV BA	(106)	-	(106)	-	-	2,970,629	MF00455100	MF00455101
9/15/1992	W/H TAX DIV JNJ	(373)	-	(373)	-	-	2,970,256	MF00455100	MF00455101
9/15/1992	W/H TAX DIV XON	(1,320)	-	(1,320)	-	-	2,968,936	MF00455100	MF00455101
9/30/1992	W/H TAX DIV AIG	(28)	-	(28)	-	-	2,968,908	MF00455100	MF00455101
10/15/1992	W/H TAX DIV WMT	(115)	-	(115)	-	-	2,968,794	MF00452761	MF00452762
10/15/1992	W/H TAX DIV GE	(749)	-	(749)	-	-	2,968,045	MF00452761	MF00452762
10/15/1992	W/H TAX DIV KO	(276)	-	(276)	-	-	2,967,769	MF00452761	MF00452762
10/15/1992	W/H TAX DIV EK	(917)	-	(917)	-	-	2,966,852	MF00452761	MF00452762
11/16/1992	W/H TAX DIV BMY	(559)	-	(559)	-	-	2,966,293	MF00450527	MF00450527
11/16/1992	W/H TAX DIV AXP	(135)	-	(135)	-	-	2,966,158	MF00450527	MF00450527
11/16/1992	W/H TAX DIV T	(512)	-	(512)	-	-	2,965,647	MF00450527	MF00450527
11/16/1992	W/H TAX DIV DIS	(132)	-	(132)	-	-	2,965,514	MF00450527	MF00450527

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
<u>Date</u>	<u>Transaction Description as Reported in Customer Statement</u>	<u>Amount as Reported in Customer Statement</u>	<u>Deposits</u>	<u>Withdrawals</u>	<u>Transfers In</u>	<u>Transfers Out</u>	<u>Balance</u>	<u>BLMIS SOURCE DOCUMENT: Beg Bates</u>	<u>End Bates</u>
12/15/1992	W/H TAX DIV F	(189)	-	(189)	-	-	2,965,325	MF00444283	MF00444284
12/15/1992	W/H TAX DIV DD	(527)	-	(527)	-	-	2,964,799	MF00444283	MF00444284
12/15/1992	W/H TAX DIV JNJ	(481)	-	(481)	-	-	2,964,317	MF00444283	MF00444284
12/15/1992	W/H TAX DIV BA	(132)	-	(132)	-	-	2,964,185	MF00444283	MF00444284
12/15/1992	W/H TAX DIV XON	(1,584)	-	(1,584)	-	-	2,962,601	MF00444283	MF00444284
12/15/1992	W/H TAX DIV MOB	(451)	-	(451)	-	-	2,962,150	MF00444283	MF00444284
12/30/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(92)	-	(92)	-	-	2,962,058	MF00444283	MF00444284
12/31/1992	W/H TAX DIV AIG	(50)	-	(50)	-	-	2,962,008	MF00444283	MF00444284
12/31/1992	W/H TAX DIV KO	(276)	-	(276)	-	-	2,961,732	MF00444283	MF00444284
1/4/1993	TRANS TO ASCOT FUND 1FN00530 (1FN005)	(3,888,323) <sup>[1]</sup>	-	-	-	(2,961,732)	-	MF00437690	MF00437690
1/15/1993	W/H TAX DIV MRK	(66)	-	(66)	-	-	(66)	MF00437690	MF00437690
1/15/1993	W/H TAX DIV EK	(1,100)	-	(1,100)	-	-	(1,166)	MF00437690	MF00437690
1/15/1993	W/H TAX DIV GE	(998)	-	(998)	-	-	(2,164)	MF00437690	MF00437690
1/15/1993	W/H TAX DIV WMT	(131)	-	(131)	-	-	(2,295)	MF00437690	MF00437690
1/15/1993	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(0)	-	(0)	-	-	(2,295)	MF00437690	MF00437690
2/16/1993	W/H TAX DIV T	(598)	-	(598)	-	-	(2,894)	MF00432104	MF00432104
2/26/1993	TRANS TO ASCOT FUND LTD (1FN005)	(1,396) <sup>[2]</sup>	-	-	-	-	(2,894)	MF00432104	MF00432104
	Total:	\$ 3,000,000	\$ (41,162)	\$ -	\$ (2,961,732)	\$ (2,894)			

<sup>[1]</sup> Although BLMIS Customer Statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[2]</sup> Although BLMIS Customer Statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

## **Exhibit 4E**

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BEG BATES	END BATES
7/9/1992	CHECK WIRE	3,000,000	3,000,000	-	-	-	3,000,000	MF00459074	MF00459074
8/25/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(6)	-	(6)	-	-	2,999,994	MF00457126	MF00457126
9/15/1992	W/H TAX DIV DD	(209)	-	(209)	-	-	2,999,785	MF00455159	MF00455160
9/15/1992	W/H TAX DIV BA	(59)	-	(59)	-	-	2,999,726	MF00455159	MF00455160
9/15/1992	W/H TAX DIV MOB	(190)	-	(190)	-	-	2,999,536	MF00455159	MF00455160
9/15/1992	W/H TAX DIV XON	(597)	-	(597)	-	-	2,998,939	MF00455159	MF00455160
9/15/1992	W/H TAX DIV JNJ	(245)	-	(245)	-	-	2,998,694	MF00455159	MF00455160
9/30/1992	W/H TAX DIV AIG	(50)	-	(50)	-	-	2,998,644	MF00455159	MF00455160
10/15/1992	W/H TAX DIV GE	(419)	-	(419)	-	-	2,998,224	MF00452822	MF00452823
10/15/1992	W/H TAX DIV KO	(133)	-	(133)	-	-	2,998,092	MF00452822	MF00452823
10/15/1992	W/H TAX DIV WMT	(37)	-	(37)	-	-	2,998,054	MF00452822	MF00452823
10/15/1992	W/H TAX DIV EK	(415)	-	(415)	-	-	2,997,640	MF00452822	MF00452823
11/16/1992	W/H TAX DIV AXP	(89)	-	(89)	-	-	2,997,551	MF00450571	MF00450571
11/16/1992	W/H TAX DIV T	(235)	-	(235)	-	-	2,997,316	MF00450571	MF00450571
11/16/1992	W/H TAX DIV BMY	(327)	-	(327)	-	-	2,996,989	MF00450571	MF00450571
12/15/1992	W/H TAX DIV MOB	(190)	-	(190)	-	-	2,996,799	MF00444341	MF00444342
12/15/1992	W/H TAX DIV XON	(658)	-	(658)	-	-	2,996,142	MF00444341	MF00444342
12/15/1992	W/H TAX DIV JNJ	(270)	-	(270)	-	-	2,995,872	MF00444341	MF00444342
12/15/1992	W/H TAX DIV F	(43)	-	(43)	-	-	2,995,828	MF00444341	MF00444342
12/15/1992	W/H TAX DIV BA	(65)	-	(65)	-	-	2,995,763	MF00444341	MF00444342
12/15/1992	W/H TAX DIV DD	(230)	-	(230)	-	-	2,995,534	MF00444341	MF00444342
12/30/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(49)	-	(49)	-	-	2,995,484	MF00444341	MF00444342
12/31/1992	W/H TAX DIV KO	(133)	-	(133)	-	-	2,995,351	MF00444341	MF00444342
12/31/1992	W/H TAX AIG	(55)	-	(55)	-	-	2,995,297	MF00444341	MF00444342
1/4/1993	TRANS TO ASCOT FUND 1FN00530 (1FN005)	(3,278,125) <sup>[1]</sup>	-	-	-	(2,995,297)	-	MF00437732	MF00437732
1/15/1993	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(5)	-	(5)	-	-	(5)	MF00437732	MF00437732
1/15/1993	W/H TAX DIV WMT	(41)	-	(41)	-	-	(46)	MF00437732	MF00437732
1/15/1993	W/H TAX DIV MRK	(15)	-	(15)	-	-	(61)	MF00437732	MF00437732
1/15/1993	W/H TAX DIV GE	(493)	-	(493)	-	-	(554)	MF00437732	MF00437732
1/15/1993	W/H TAX DIV EK	(457)	-	(457)	-	-	(1,011)	MF00437732	MF00437732
2/16/1993	W/H TAX DIV T	(254)	-	(254)	-	-	(1,265)	MF00432151	MF00432151
2/26/1993	TRANS TO ASCOT FUND LTD (1FN005)	(1,442) <sup>[2]</sup>	-	-	-	-	(1,265)	MF00432151	MF00432151
	Total:	\$ 3,000,000	\$ (5,968)	\$ -	\$ (2,995,297)	\$ (1,265)			

<sup>[1]</sup> Although BLMIS Customer Statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[2]</sup> Although BLMIS Customer Statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

## **Exhibit 4F**

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: <u>Beg Bates</u>	<u>End Bates</u>
4/1/1991	CHECK WIRE	1,500,000	1,500,000	-	-	-	1,500,000	MF00490654	MF00490654
6/28/1991	W/H TAX DIV LILLY ELI	(300)	-	(300)	-	-	1,499,700	MF00486957	MF00486957
6/28/1991	W/H TAX DIV EXXON	(302)	-	(302)	-	-	1,499,399	MF00486957	MF00486957
6/28/1991	W/H TAX DIV JOHN & JOHN	(210)	-	(210)	-	-	1,499,189	MF00486957	MF00486957
7/31/1991	CXL WRONG AMOUNT	710	-	710	-	-	1,499,898	MF00485257	MF00485258
7/31/1991	CXL WRONG AMOUNT	689	-	689	-	-	1,500,587	MF00485257	MF00485258
7/31/1991	W/H TAX DIV PHIL MORRIS	(355)	-	(355)	-	-	1,500,232	MF00485257	MF00485258
7/31/1991	W/H TAX DIV PHIL MORRIS	(710)	-	(710)	-	-	1,499,522	MF00485257	MF00485258
7/31/1991	W/H TAX DIV GEN ELEC	(689)	-	(689)	-	-	1,498,834	MF00485257	MF00485258
7/31/1991	W/H TAX DIV GEN ELEC	(344)	-	(344)	-	-	1,498,490	MF00485257	MF00485258
9/18/1991	W/H TAX DIV GENERAL MOTORS	(54)	-	(54)	-	-	1,498,436	MF00481197	MF00481198
9/18/1991	W/H TAX DIV WALMART	(11)	-	(11)	-	-	1,498,424	MF00481197	MF00481198
9/18/1991	W/H TAX DIV EXXON	(181)	-	(181)	-	-	1,498,243	MF00481197	MF00481198
9/18/1991	W/H TAX DIV JOHNSON & JOHNSON	(27)	-	(27)	-	-	1,498,216	MF00481197	MF00481198
9/18/1991	W/H TAX DIV IBM	(163)	-	(163)	-	-	1,498,053	MF00481197	MF00481198
9/18/1991	W/H TAX DIV BOEING	(17)	-	(17)	-	-	1,498,036	MF00481197	MF00481198
9/18/1991	W/H TAX DIV DU PONT	(85)	-	(85)	-	-	1,497,951	MF00481197	MF00481198
9/18/1991	W/H TAX DIV MOBIL	(72)	-	(72)	-	-	1,497,879	MF00481197	MF00481198
9/20/1991	CXL W/H WALMART C&S 9/18/91	11	-	11	-	-	1,497,890	MF00481197	MF00481198
9/30/1991	W/H TAX DIV PEP	(22)	-	(22)	-	-	1,497,869	MF00481197	MF00481198
10/1/1991	CXL C & S 09/30/91 PEP W/H	22	-	22	-	-	1,497,890	MF00479112	MF00479113
10/1/1991	W/H TAX DIV PEPSICO	(22)	-	(22)	-	-	1,497,869	MF00479112	MF00479113
10/15/1991	W/H TAX DIV GE	(80)	-	(80)	-	-	1,497,788	MF00479112	MF00479113
10/15/1991	W/H TAX DIV MRK	(57)	-	(57)	-	-	1,497,732	MF00479112	MF00479113
10/15/1991	W/H TAX DIV MO	(433)	-	(433)	-	-	1,497,299	MF00479112	MF00479113
10/17/1991	CXL C & S 10/15 W/H MERCK	57	-	57	-	-	1,497,355	MF00479112	MF00479113
10/17/1991	CXL C & S 10/15 MO	433	-	433	-	-	1,497,788	MF00479112	MF00479113
10/17/1991	CXL C & S 10/15 W/H GE	80	-	80	-	-	1,497,869	MF00479112	MF00479113
10/17/1991	W/H TAX DIV GEN ELECTRIC	(80)	-	(80)	-	-	1,497,788	MF00479112	MF00479113
10/17/1991	W/H TAX DIV PHILIP MORRIS	(433)	-	(433)	-	-	1,497,355	MF00479112	MF00479113
10/17/1991	W/H TAX DIV WAL-MART	(11)	-	(11)	-	-	1,497,344	MF00479112	MF00479113
10/17/1991	W/H TAX DIV MERCK	(57)	-	(57)	-	-	1,497,287	MF00479112	MF00479113
11/15/1991	BRISTOL MYERS SQUIBB COMPANY W/H TAX DIV BMY	(122)	-	(122)	-	-	1,497,166	MF00476953	MF00476954
11/15/1991	BELL ATLANTIC CORP W/H TAX DIV BEL	(113)	-	(113)	-	-	1,497,052	MF00476953	MF00476954
11/15/1991	AMERICAN TEL & TELECO W/H TAX DIV T	(82)	-	(82)	-	-	1,496,971	MF00476953	MF00476954
12/16/1991	DU PONT EI DE NEMOURS & CO W/H TAX DIV DD	(148)	-	(148)	-	-	1,496,822	MF00474869	MF00474870
12/16/1991	EXXON CORP W/H TAX DIV XON	(362)	-	(362)	-	-	1,496,461	MF00474869	MF00474870
12/16/1991	AMOCO CORP W/H TAX DIV AN	(21)	-	(21)	-	-	1,496,440	MF00474869	MF00474870
12/16/1991	INTERNATIONAL BUSINESS MACHINES W/H TAX DIV IBM	(54)	-	(54)	-	-	1,496,386	MF00474869	MF00474870
12/16/1991	GENERAL MOTORS CORP W/H TAX DIV GM	(114)	-	(114)	-	-	1,496,272	MF00474869	MF00474870
12/16/1991	MOBIL CORP W/H TAX DIV MOB	(24)	-	(24)	-	-	1,496,248	MF00474869	MF00474870
12/16/1991	BOEING CO W/H TAX DIV BA	(9)	-	(9)	-	-	1,496,238	MF00474869	MF00474870
12/16/1991	JOHNSON & JOHNSON W/H TAX DIV JNJ	(57)	-	(57)	-	-	1,496,181	MF00474869	MF00474870
1/15/1992	W/H TAX DIV GE	(186)	-	(186)	-	-	1,495,996	MF00472713	MF00472713
1/15/1992	W/H TAX DIV WMT	(18)	-	(18)	-	-	1,495,978	MF00472713	MF00472713
1/27/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(74)	-	(74)	-	-	1,495,904	MF00472713	MF00472713

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: <u>Beg Bates</u>	<u>End Bates</u>
2/14/1992	W/H TAX DIV T	(136)	-	(136)	-	-	1,495,767	MF00470872	MF00470872
2/14/1992	W/H TAX DIV BMY	(155)	-	(155)	-	-	1,495,612	MF00470872	MF00470872
3/16/1992	W/H TAX DIV BA	(28)	-	(28)	-	-	1,495,584	MF00469060	MF00469061
3/16/1992	W/H TAX DIV XON	(327)	-	(327)	-	-	1,495,257	MF00469060	MF00469061
3/16/1992	W/H TAX DIV GM	(240)	-	(240)	-	-	1,495,017	MF00469060	MF00469061
3/16/1992	W/H TAX DIV IBM	(545)	-	(545)	-	-	1,494,473	MF00469060	MF00469061
3/16/1992	W/H TAX DIV DD	(110)	-	(110)	-	-	1,494,363	MF00469060	MF00469061
3/16/1992	W/H TAX DIV MOB	(120)	-	(120)	-	-	1,494,243	MF00469060	MF00469061
3/18/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(143)	-	(143)	-	-	1,494,100	MF00469060	MF00469061
3/31/1992	W/H TAX DIV AIG	(9)	-	(9)	-	-	1,494,091	MF00469060	MF00469061
4/15/1992	W/H TAX DIV KO	(74)	-	(74)	-	-	1,494,017	MF00466840	MF00466840
4/15/1992	W/H TAX DIV EK	(244)	-	(244)	-	-	1,493,773	MF00466840	MF00466840
4/15/1992	W/H TAX DIV GE	(186)	-	(186)	-	-	1,493,588	MF00466840	MF00466840
4/15/1992	W/H TAX DIV WMT	(22)	-	(22)	-	-	1,493,566	MF00466840	MF00466840
5/18/1992	W/H TAX DIV T	(136)	-	(136)	-	-	1,493,430	MF00463056	MF00463056
5/18/1992	W/H TAX DIV BMY	(248)	-	(248)	-	-	1,493,181	MF00463056	MF00463056
5/18/1992	W/H TAX DIV AXP	(60)	-	(60)	-	-	1,493,121	MF00463056	MF00463056
6/15/1992	W/H TAX DIV F	(288)	-	(288)	-	-	1,492,833	MF00461045	MF00461046
6/15/1992	W/H TAX DIV BA	(28)	-	(28)	-	-	1,492,805	MF00461045	MF00461046
6/15/1992	W/H TAX DIV XON	(351)	-	(351)	-	-	1,492,454	MF00461045	MF00461046
6/15/1992	W/H TAX DIV DD	(116)	-	(116)	-	-	1,492,339	MF00461045	MF00461046
6/15/1992	W/H TAX DIV MOB	(120)	-	(120)	-	-	1,492,219	MF00461045	MF00461046
6/30/1992	W/H TAX DIV GM	(240)	-	(240)	-	-	1,491,979	MF00461045	MF00461046
6/30/1992	W/H TAX DIV AIG	(9)	-	(9)	-	-	1,491,969	MF00461045	MF00461046
7/15/1992	W/H TAX DIV KO	(74)	-	(74)	-	-	1,491,896	MF00459028	MF00459029
7/15/1992	W/H TAX DIV GE	(186)	-	(186)	-	-	1,491,710	MF00459028	MF00459029
7/15/1992	W/H TAX DIV EK	(244)	-	(244)	-	-	1,491,467	MF00459028	MF00459029
7/15/1992	W/H TAX DIV WMT	(22)	-	(22)	-	-	1,491,445	MF00459028	MF00459029
7/30/1992	FIDELITY CASH RESERVES SBI DIV 7/30/92	(168)	-	(168)	-	-	1,491,277	MF00459028	MF00459029
8/17/1992	W/H TAX DIV AXP	(60)	-	(60)	-	-	1,491,217	MF00457082	MF00457083
8/17/1992	W/H TAX DIV T	(136)	-	(136)	-	-	1,491,081	MF00457082	MF00457083
8/17/1992	W/H TAX DIV BMY	(248)	-	(248)	-	-	1,490,833	MF00457082	MF00457083
8/25/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(48)	-	(48)	-	-	1,490,785	MF00457082	MF00457083
9/15/1992	W/H TAX DIV MOB	(120)	-	(120)	-	-	1,490,665	MF00455114	MF00455115
9/15/1992	W/H TAX DIV JNJ	(166)	-	(166)	-	-	1,490,500	MF00455114	MF00455115
9/15/1992	W/H TAX DIV DD	(116)	-	(116)	-	-	1,490,384	MF00455114	MF00455115
9/15/1992	W/H TAX DIV XON	(351)	-	(351)	-	-	1,490,033	MF00455114	MF00455115
9/15/1992	W/H TAX DIV BA	(28)	-	(28)	-	-	1,490,005	MF00455114	MF00455115
9/30/1992	W/H TAX DIV AIG	(11)	-	(11)	-	-	1,489,995	MF00455114	MF00455115
10/13/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(13)	-	(13)	-	-	1,489,981	MF00452775	MF00452776
10/15/1992	W/H TAX DIV GE	(199)	-	(199)	-	-	1,489,782	MF00452775	MF00452776
10/15/1992	W/H TAX DIV EK	(244)	-	(244)	-	-	1,489,538	MF00452775	MF00452776
10/15/1992	W/H TAX DIV KO	(74)	-	(74)	-	-	1,489,465	MF00452775	MF00452776
10/15/1992	W/H TAX DIV WMT	(22)	-	(22)	-	-	1,489,443	MF00452775	MF00452776
11/16/1992	W/H TAX DIV AXP	(60)	-	(60)	-	-	1,489,383	MF00450538	MF00450538
11/16/1992	W/H TAX DIV BMY	(248)	-	(248)	-	-	1,489,135	MF00450538	MF00450538

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: <u>Beg Bates</u>	<u>End Bates</u>
11/16/1992	W/H TAX DIV T	(136)	-	(136)	-	-	1,488,999	MF00450538	MF00450538
12/15/1992	W/H TAX DIV DD	(142)	-	(142)	-	-	1,488,857	MF00444299	MF00444300
12/15/1992	W/H TAX DIV JNJ	(197)	-	(197)	-	-	1,488,660	MF00444299	MF00444300
12/15/1992	W/H TAX DIV BA	(36)	-	(36)	-	-	1,488,625	MF00444299	MF00444300
12/15/1992	W/H TAX DIV XON	(427)	-	(427)	-	-	1,488,198	MF00444299	MF00444300
12/15/1992	W/H TAX DIV MOB	(120)	-	(120)	-	-	1,488,078	MF00444299	MF00444300
12/15/1992	W/H TAX DIV F	(54)	-	(54)	-	-	1,488,024	MF00444299	MF00444300
12/30/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(29)	-	(29)	-	-	1,487,995	MF00444299	MF00444300
12/31/1992	W/H TAX DIV KO	(74)	-	(74)	-	-	1,487,921	MF00444299	MF00444300
12/31/1992	W/H TAX DIV AIG	(17)	-	(17)	-	-	1,487,905	MF00444299	MF00444300
1/4/1993	TRANS TO ASCOT FUND 1FN00530 (1FN005)	(2,042,167) <sup>[1]</sup>	-	-	-	(1,487,905)	-	MF00437701	MF00437701
1/15/1993	W/H TAX DIV WMT	(26)	-	(26)	-	-	(26)	MF00437701	MF00437701
1/15/1993	W/H TAX DIV GE	(269)	-	(269)	-	-	(296)	MF00437701	MF00437701
1/15/1993	W/H TAX DIV MRK	(19)	-	(19)	-	-	(314)	MF00437701	MF00437701
1/15/1993	W/H TAX DIV EK	(296)	-	(296)	-	-	(611)	MF00437701	MF00437701
1/15/1993	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(5)	-	(5)	-	-	(616)	MF00437701	MF00437701
2/16/1993	W/H TAX DIV T	(161)	-	(161)	-	-	(777)	MF00432115	MF00432115
2/26/1993	TRANS TO ASCOT FUND LTD (1FN005)	(912) <sup>[2]</sup>	-	-	-	-	(777)	MF00432115	MF00432115
	Total:	\$ 1,500,000	\$ (12,872)	\$ -	\$ (1,487,905)	\$ (777)			

<sup>[1]</sup> Although BLMIS Customer Statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[2]</sup> Although BLMIS Customer Statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

## **Exhibit 4G**

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: <u>Beg Bates</u>	<u>End Bates</u>
6/30/1992	CHECK WIRE A/O 6/26/92	4,999,979	4,999,979	-	-	-	4,999,979	MF00461084	MF00461084
8/25/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(111)	-	(111)	-	-	4,999,868	MF00457120	MF00457120
9/15/1992	W/H TAX DIV DD	(686)	-	(686)	-	-	4,999,182	MF00455150	MF00455151
9/15/1992	W/H TAX DIV BA	(195)	-	(195)	-	-	4,998,987	MF00455150	MF00455151
9/15/1992	W/H TAX DIV MOB	(624)	-	(624)	-	-	4,998,363	MF00455150	MF00455151
9/15/1992	W/H TAX DIV JNJ	(807)	-	(807)	-	-	4,997,556	MF00455150	MF00455151
9/15/1992	W/H TAX DIV XON	(1,966)	-	(1,966)	-	-	4,995,590	MF00455150	MF00455151
9/30/1992	W/H TAX DIV AIG	(164)	-	(164)	-	-	4,995,426	MF00455150	MF00455151
10/15/1992	W/H TAX DIV EK	(1,365)	-	(1,365)	-	-	4,994,061	MF00452813	MF00452814
10/15/1992	W/H TAX DIV GE	(1,381)	-	(1,381)	-	-	4,992,681	MF00452813	MF00452814
10/15/1992	W/H TAX DIV WMT	(123)	-	(123)	-	-	4,992,558	MF00452813	MF00452814
10/15/1992	W/H TAX DIV KO	(437)	-	(437)	-	-	4,992,121	MF00452813	MF00452814
11/16/1992	W/H TAX DIV BMY	(1,076)	-	(1,076)	-	-	4,991,045	MF00450565	MF00450565
11/16/1992	W/H TAX DIV T	(772)	-	(772)	-	-	4,990,272	MF00450565	MF00450565
11/16/1992	W/H TAX DIV AXP	(293)	-	(293)	-	-	4,989,980	MF00450565	MF00450565
12/15/1992	W/H TAX DIV MOB	(624)	-	(624)	-	-	4,989,356	MF00444332	MF00444333
12/15/1992	W/H TAX DIV JNJ	(900)	-	(900)	-	-	4,988,455	MF00444332	MF00444333
12/15/1992	W/H TAX DIV BA	(218)	-	(218)	-	-	4,988,238	MF00444332	MF00444333
12/15/1992	W/H TAX DIV DD	(766)	-	(766)	-	-	4,987,472	MF00444332	MF00444333
12/15/1992	W/H TAX DIV XON	(2,192)	-	(2,192)	-	-	4,985,280	MF00444332	MF00444333
12/15/1992	W/H TAX DIV F	(162)	-	(162)	-	-	4,985,118	MF00444332	MF00444333
12/30/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(171)	-	(171)	-	-	4,984,947	MF00444332	MF00444333
12/31/1992	W/H TAX DIV KO	(437)	-	(437)	-	-	4,984,510	MF00444332	MF00444333
12/31/1992	W/H TAX DIV AIG	(183)	-	(183)	-	-	4,984,327	MF00444332	MF00444333
1/4/1993	TRANS TO ASCOT FUND 1FN00530 (1FN005) [1]	(5,466,715)	-	-	-	(4,984,327)	-	MF00437726	MF00437726
1/15/1993	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(16)	-	(16)	-	-	(16)	MF00437726	MF00437726
1/15/1993	W/H TAX DIV MRK	(56)	-	(56)	-	-	(72)	MF00437726	MF00437726
1/15/1993	W/H TAX DIV EK	(1,523)	-	(1,523)	-	-	(1,595)	MF00437726	MF00437726
1/15/1993	W/H TAX DIV WMT	(137)	-	(137)	-	-	(1,732)	MF00437726	MF00437726
1/15/1993	W/H TAX DIV GE	(1,644)	-	(1,644)	-	-	(3,376)	MF00437726	MF00437726
2/16/1993	W/H TAX DIV T	(846)	-	(846)	-	-	(4,223)	MF00432144	MF00432144
2/26/1993	TRANS TO ASCOT FUND LTD (1FN005) [2]	(1,975)	-	-	-	-	(4,223)	MF00432144	MF00432144
	Total:		\$ 4,999,979	\$ (19,874)	\$ -	\$ (4,984,327)	\$ (4,223)		

[1] Although BLMIS Customer Statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

[2] Although BLMIS Customer Statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

## **Exhibit 4H**

**Exhibit 4H – Detailed Schedule for the Principal Balance Calculation for BLMIS Account No. 1FN020 of 169****BLMIS ACCOUNT NO. 1FN020 (FORMERLY 105132) - HEATON FUND LIMITED C/O PIERSON TRUST**

<b>Column 1</b>	<b>Column 2</b>	<b>Column 3</b>	<b>Column 4</b>	<b>Column 5</b>	<b>Column 6</b>	<b>Column 7</b>	<b>Column 8</b>	<b>Column 9</b>	<b>Column 10</b>
<b>Date</b>	<b>Transaction Description as Reported in Customer Statement</b>	<b>Amount as Reported in Customer Statement</b>	<b>Deposits</b>	<b>Withdrawals</b>	<b>Transfers In</b>	<b>Transfers Out</b>	<b>Balance</b>	<b>BLMIS SOURCE DOCUMENT: Beg Bates</b>	<b>End Bates</b>
1/2/1992	CHECK WIRE	2,500,000	2,500,000	-	-	-	2,500,000	MF00472722	MF00472723
3/16/1992	W/H TAX IBM	(1,525)	-	(1,525)	-	-	2,498,475	MF00469071	MF00469072
3/16/1992	W/H TAX DIV BOEING	(79)	-	(79)	-	-	2,498,397	MF00469071	MF00469072
3/16/1992	W/H TAX DIV MOBIL	(336)	-	(336)	-	-	2,498,061	MF00469071	MF00469072
3/16/1992	W/H TAX DIV DU PONT	(309)	-	(309)	-	-	2,497,752	MF00469071	MF00469072
3/16/1992	W/H TAX DIV EXXON	(915)	-	(915)	-	-	2,496,837	MF00469071	MF00469072
3/16/1992	W/H TAX DIV GEN MOTORS	(672)	-	(672)	-	-	2,496,165	MF00469071	MF00469072
3/18/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(97)	-	(97)	-	-	2,496,068	MF00469071	MF00469072
4/15/1992	W/H TAX DIV GE	(520)	-	(520)	-	-	2,495,549	MF00466849	MF00466849
4/15/1992	W/H TAX DIV KO	(206)	-	(206)	-	-	2,495,343	MF00466849	MF00466849
4/15/1992	W/H TAX DIV WMT	(91)	-	(91)	-	-	2,495,252	MF00466849	MF00466849
4/15/1992	W/H TAX DIV EK	(683)	-	(683)	-	-	2,494,570	MF00466849	MF00466849
5/18/1992	W/H TAX DIV T	(381)	-	(381)	-	-	2,494,189	MF00463050	MF00463051
5/18/1992	W/H TAX DIV BMY	(435)	-	(435)	-	-	2,493,754	MF00463050	MF00463051
5/18/1992	W/H TAX DIV DIS	(120)	-	(120)	-	-	2,493,634	MF00463050	MF00463051
5/18/1992	W/H TAX DIV AXP	(105)	-	(105)	-	-	2,493,529	MF00463050	MF00463051
6/15/1992	W/H TAX DIV BA	(79)	-	(79)	-	-	2,493,450	MF00461039	MF00461040
6/15/1992	W/H TAX DIV DD	(323)	-	(323)	-	-	2,493,127	MF00461039	MF00461040
6/15/1992	W/H TAX DIV XON	(983)	-	(983)	-	-	2,492,144	MF00461039	MF00461040
6/15/1992	W/H TAX DIV F	(504)	-	(504)	-	-	2,491,640	MF00461039	MF00461040
6/15/1992	W/H TAX DIV MOB	(336)	-	(336)	-	-	2,491,304	MF00461039	MF00461040
6/30/1992	W/H TAX DIV GM	(672)	-	(672)	-	-	2,490,632	MF00461039	MF00461040
7/15/1992	W/H TAX DIV KO	(206)	-	(206)	-	-	2,490,426	MF00459021	MF00459022
7/15/1992	W/H TAX DIV WMT	(91)	-	(91)	-	-	2,490,336	MF00459021	MF00459022
7/15/1992	W/H TAX DIV EK	(683)	-	(683)	-	-	2,489,653	MF00459021	MF00459022
7/15/1992	W/H TAX DIV GE	(520)	-	(520)	-	-	2,489,134	MF00459021	MF00459022
7/30/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(450)	-	(450)	-	-	2,488,683	MF00459021	MF00459022
8/17/1992	W/H TAX DIV BMY	(435)	-	(435)	-	-	2,488,249	MF00457076	MF00457077
8/17/1992	W/H TAX DIV AXP	(105)	-	(105)	-	-	2,488,144	MF00457076	MF00457077
8/17/1992	W/H TAX DIV DIS	(120)	-	(120)	-	-	2,488,024	MF00457076	MF00457077
8/17/1992	W/H TAX DIV T	(381)	-	(381)	-	-	2,487,643	MF00457076	MF00457077
8/25/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(105)	-	(105)	-	-	2,487,538	MF00457076	MF00457077
9/15/1992	W/H TAX DIV BA	(79)	-	(79)	-	-	2,487,459	MF00455108	MF00455109
9/15/1992	W/H TAX DIV JNJ	(290)	-	(290)	-	-	2,487,170	MF00455108	MF00455109
9/15/1992	W/H TAX DIV DD	(323)	-	(323)	-	-	2,486,846	MF00455108	MF00455109
9/15/1992	W/H TAX DIV XON	(983)	-	(983)	-	-	2,485,863	MF00455108	MF00455109
9/15/1992	W/H TAX DIV MOB	(336)	-	(336)	-	-	2,485,527	MF00455108	MF00455109
10/15/1992	W/H TAX DIV KO	(206)	-	(206)	-	-	2,485,322	MF00452769	MF00452770
10/15/1992	W/H TAX DIV EK	(683)	-	(683)	-	-	2,484,639	MF00452769	MF00452770
10/15/1992	W/H TAX DIV WMT	(91)	-	(91)	-	-	2,484,548	MF00452769	MF00452770
10/15/1992	W/H TAX DIV GE	(558)	-	(558)	-	-	2,483,991	MF00452769	MF00452770
11/16/1992	W/H TAX DIV BMY	(435)	-	(435)	-	-	2,483,556	MF00450534	MF00450534
11/16/1992	W/H TAX DIV DIS	(120)	-	(120)	-	-	2,483,437	MF00450534	MF00450534
11/16/1992	W/H TAX DIV AXP	(105)	-	(105)	-	-	2,483,332	MF00450534	MF00450534
11/16/1992	W/H TAX DIV T	(381)	-	(381)	-	-	2,482,950	MF00450534	MF00450534

**Exhibit 4H – Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1FN020 of 169****BLMIS ACCOUNT NO. 1FN020 (FORMERLY 105132) - HEATON FUND LIMITED C/O PIERSON TRUST**

<b>Column 1</b>	<b>Column 2</b>	<b>Column 3</b>	<b>Column 4</b>	<b>Column 5</b>	<b>Column 6</b>	<b>Column 7</b>	<b>Column 8</b>	<b>Column 9</b>	<b>Column 10</b>
<b>Date</b>	<b>Transaction Description as Reported in Customer Statement</b>	<b>Amount as Reported in Customer Statement</b>	<b>Deposits</b>	<b>Withdrawals</b>	<b>Transfers In</b>	<b>Transfers Out</b>	<b>Balance</b>	<b>BLMIS SOURCE DOCUMENT: Beg Bates</b>	<b>End Bates</b>
12/15/1992	W/H TAX DIV MOB	(336)	-	(336)	-	-	2,482,614	MF00444293	MF00444294
12/15/1992	W/H TAX DIV XON	(1,179)	-	(1,179)	-	-	2,481,435	MF00444293	MF00444294
12/15/1992	W/H TAX DIV DD	(392)	-	(392)	-	-	2,481,043	MF00444293	MF00444294
12/15/1992	W/H TAX DIV BA	(98)	-	(98)	-	-	2,480,945	MF00444293	MF00444294
12/15/1992	W/H TAX DIV F	(140)	-	(140)	-	-	2,480,804	MF00444293	MF00444294
12/15/1992	W/H TAX DIV JNJ	(371)	-	(371)	-	-	2,480,434	MF00444293	MF00444294
12/30/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(96)	-	(96)	-	-	2,480,338	MF00444293	MF00444294
12/31/1992	W/H TAX DIV KO	(206)	-	(206)	-	-	2,480,132	MF00444293	MF00444294
12/31/1992	W/H TAX DIV AIG	(16)	-	(16)	-	-	2,480,116	MF00444293	MF00444294
1/4/1993	TRANS TO ASCOT FUND 1FN00530 (1FN005)	(2,938,542) <sup>[1]</sup>	-	-	-	(2,480,116)	-	MF00437696	MF00437696
1/15/1993	W/H TAX DIV GE	(743)	-	(743)	-	-	(743)	MF00437696	MF00437696
1/15/1993	W/H TAX DIV MRK	(49)	-	(49)	-	-	(792)	MF00437696	MF00437696
1/15/1993	W/H TAX DIV EK	(819)	-	(819)	-	-	(1,611)	MF00437696	MF00437696
1/15/1993	W/H TAX DIV WMT	(103)	-	(103)	-	-	(1,713)	MF00437696	MF00437696
1/15/1993	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(4)	-	(4)	-	-	(1,717)	MF00437696	MF00437696
2/16/1993	W/H TAX DIV T	(446)	-	(446)	-	-	(2,163)	MF00432110	MF00432110
2/26/1993	TRANS TO ASCOT FUND LTD (1FN005)	(1,040) <sup>[2]</sup>	-	-	-	-	(2,163)	MF00432110	MF00432110
	<b>Total:</b>	<b>\$ 2,500,000</b>	<b>\$ (22,047)</b>	<b>\$ -</b>	<b>\$ (2,480,116)</b>	<b>\$ (2,163)</b>			

<sup>[1]</sup> Although BLMIS Customer Statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[2]</sup> Although BLMIS Customer Statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

## **Exhibit 4I**

**Exhibit 4I – Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1FN030****BLMIS ACCOUNT NO. 1FN030 (FORMERLY 105133) - SANDPIPER FUND LTD II**

<b>Column 1</b>	<b>Column 2</b>	<b>Column 3</b>	<b>Column 4</b>	<b>Column 5</b>	<b>Column 6</b>	<b>Column 7</b>	<b>Column 8</b>	<b>Column 9</b>	<b>Column 10</b>
<b>Date</b>	<b>Transaction Description as Reported in Customer Statement</b>	<b>Amount as Reported in Customer Statement</b>	<b>Deposits</b>	<b>Withdrawals</b>	<b>Transfers In</b>	<b>Transfers Out</b>	<b>Balance</b>	<b>BLMIS SOURCE DOCUMENT: Beg Bates</b>	<b>End Bates</b>
1/2/1992	CHECK WIRE	2,500,000	2,500,000	-	-	-	2,500,000	MF00472725	MF00472726
3/16/1992	W/H TAX DIV IBM	(1,525)	-	(1,525)	-	-	2,498,475	MF00469074	MF00469075
3/16/1992	W/H TAX DIV DU PONT	(309)	-	(309)	-	-	2,498,167	MF00469074	MF00469075
3/16/1992	W/H TAX DIV EXXON	(915)	-	(915)	-	-	2,497,252	MF00469074	MF00469075
3/16/1992	W/H TAX DIV BOEING	(79)	-	(79)	-	-	2,497,173	MF00469074	MF00469075
3/16/1992	W/H TAX DIV MOBIL	(336)	-	(336)	-	-	2,496,837	MF00469074	MF00469075
3/16/1992	W/H TAX DIV GEN MOTORS	(672)	-	(672)	-	-	2,496,165	MF00469074	MF00469075
3/18/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(97)	-	(97)	-	-	2,496,068	MF00469074	MF00469075
4/15/1992	W/H TAX DIV WMT	(91)	-	(91)	-	-	2,495,978	MF00466851	MF00466851
4/15/1992	W/H TAX DIV GE	(520)	-	(520)	-	-	2,495,458	MF00466851	MF00466851
4/15/1992	W/H TAX DIV EK	(683)	-	(683)	-	-	2,494,775	MF00466851	MF00466851
4/15/1992	W/H TAX DIV KO	(206)	-	(206)	-	-	2,494,570	MF00466851	MF00466851
5/18/1992	W/H TAX DIV AXP	(105)	-	(105)	-	-	2,494,465	MF00463070	MF00463071
5/18/1992	W/H TAX DIV T	(381)	-	(381)	-	-	2,494,084	MF00463070	MF00463071
5/18/1992	W/H TAX DIV DIS	(120)	-	(120)	-	-	2,493,964	MF00463070	MF00463071
5/18/1992	W/H TAX DIV BMY	(435)	-	(435)	-	-	2,493,529	MF00463070	MF00463071
6/15/1992	W/H TAX DIV MOB	(336)	-	(336)	-	-	2,493,193	MF00461063	MF00461064
6/15/1992	W/H TAX DIV BA	(79)	-	(79)	-	-	2,493,114	MF00461063	MF00461064
6/15/1992	W/H TAX DIV F	(504)	-	(504)	-	-	2,492,610	MF00461063	MF00461064
6/15/1992	W/H TAX DIV XON	(983)	-	(983)	-	-	2,491,628	MF00461063	MF00461064
6/15/1992	W/H TAX DIV DD	(323)	-	(323)	-	-	2,491,304	MF00461063	MF00461064
6/30/1992	W/H TAX DIV GM	(672)	-	(672)	-	-	2,490,632	MF00461063	MF00461064
7/15/1992	W/H TAX DIV WMT	(91)	-	(91)	-	-	2,490,542	MF00459046	MF00459047
7/15/1992	W/H TAX DIV EK	(683)	-	(683)	-	-	2,489,859	MF00459046	MF00459047
7/15/1992	W/H TAX DIV KO	(206)	-	(206)	-	-	2,489,653	MF00459046	MF00459047
7/15/1992	W/H TAX DIV GE	(520)	-	(520)	-	-	2,489,134	MF00459046	MF00459047
7/30/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(450)	-	(450)	-	-	2,488,683	MF00459046	MF00459047
8/17/1992	W/H TAX DIV T	(381)	-	(381)	-	-	2,488,302	MF00457100	MF00457101
8/17/1992	W/H TAX DIV DIS	(120)	-	(120)	-	-	2,488,183	MF00457100	MF00457101
8/17/1992	W/H TAX DIV BMY	(435)	-	(435)	-	-	2,487,748	MF00457100	MF00457101
8/17/1992	W/H TAX DIV AXP	(105)	-	(105)	-	-	2,487,643	MF00457100	MF00457101
8/25/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(105)	-	(105)	-	-	2,487,538	MF00457100	MF00457101
9/15/1992	W/H TAX DIV BA	(79)	-	(79)	-	-	2,487,459	MF00455131	MF00455132
9/15/1992	W/H TAX DIV XON	(983)	-	(983)	-	-	2,486,477	MF00455131	MF00455132
9/15/1992	W/H TAX DIV JNJ	(290)	-	(290)	-	-	2,486,187	MF00455131	MF00455132
9/15/1992	W/H TAX DIV MOB	(336)	-	(336)	-	-	2,485,851	MF00455131	MF00455132
9/15/1992	W/H TAX DIV DD	(323)	-	(323)	-	-	2,485,527	MF00455131	MF00455132
10/15/1992	W/H TAX DIV KO	(206)	-	(206)	-	-	2,485,322	MF00452794	MF00452795
10/15/1992	W/H TAX DIV EK	(683)	-	(683)	-	-	2,484,639	MF00452794	MF00452795
10/15/1992	W/H TAX DIV GE	(558)	-	(558)	-	-	2,484,081	MF00452794	MF00452795
10/15/1992	W/H TAX DIV WMT	(91)	-	(91)	-	-	2,483,991	MF00452794	MF00452795
11/16/1992	W/H TAX DIV DIS	(120)	-	(120)	-	-	2,483,871	MF00450553	MF00450553
11/16/1992	W/H TAX DIV BMY	(435)	-	(435)	-	-	2,483,437	MF00450553	MF00450553
11/16/1992	W/H TAX DIV AXP	(105)	-	(105)	-	-	2,483,332	MF00450553	MF00450553
11/16/1992	W/H TAX DIV T	(381)	-	(381)	-	-	2,482,950	MF00450553	MF00450553

**Exhibit 4I – Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1FN030**

Page 118 of 169

**BLMIS ACCOUNT NO. 1FN030 (FORMERLY 105133) - SANDPIPER FUND LTD II**

<b>Column 1</b>	<b>Column 2</b>	<b>Column 3</b>	<b>Column 4</b>	<b>Column 5</b>	<b>Column 6</b>	<b>Column 7</b>	<b>Column 8</b>	<b>Column 9</b>	<b>Column 10</b>
<b>Date</b>	<b>Transaction Description as Reported in Customer Statement</b>	<b>Amount as Reported in Customer Statement</b>	<b>Deposits</b>	<b>Withdrawals</b>	<b>Transfers In</b>	<b>Transfers Out</b>	<b>Balance</b>	<b>BLMIS SOURCE DOCUMENT: Beg Bates</b>	<b>End Bates</b>
12/15/1992	W/H TAX DIV F	(140)	-	(140)	-	-	2,482,810	MF00444318	MF00444319
12/15/1992	W/H TAX DIV XON	(1,179)	-	(1,179)	-	-	2,481,631	MF00444318	MF00444319
12/15/1992	W/H TAX DIV BA	(98)	-	(98)	-	-	2,481,532	MF00444318	MF00444319
12/15/1992	W/H TAX DIV DD	(392)	-	(392)	-	-	2,481,140	MF00444318	MF00444319
12/15/1992	W/H TAX DIV MOB	(336)	-	(336)	-	-	2,480,804	MF00444318	MF00444319
12/15/1992	W/H TAX DIV JNJ	(371)	-	(371)	-	-	2,480,434	MF00444318	MF00444319
12/30/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(96)	-	(96)	-	-	2,480,338	MF00444318	MF00444319
12/31/1992	W/H TAX DIV AIG	(16)	-	(16)	-	-	2,480,322	MF00444318	MF00444319
12/31/1992	W/H TAX DIV KO	(206)	-	(206)	-	-	2,480,116	MF00444318	MF00444319
1/4/1993	TRANS TO ASCOT FUND 1FN00530 (1FN005)	(2,938,542) <sup>[1]</sup>	-	-	-	(2,480,116)	-	MF00437715	MF00437715
1/15/1993	W/H TAX DIV GE	(743)	-	(743)	-	-	(743)	MF00437715	MF00437715
1/15/1993	W/H TAX DIV EK	(819)	-	(819)	-	-	(1,562)	MF00437715	MF00437715
1/15/1993	W/H TAX DIV MRK	(49)	-	(49)	-	-	(1,611)	MF00437715	MF00437715
1/15/1993	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(4)	-	(4)	-	-	(1,614)	MF00437715	MF00437715
1/15/1993	W/H TAX DIV WMT	(103)	-	(103)	-	-	(1,717)	MF00437715	MF00437715
2/16/1993	W/H TAX DIV T	(446)	-	(446)	-	-	(2,163)	MF00432132	MF00432132
2/26/1993	TRANS TO ASCOT FUND LTD (1FN005)	(1,040) <sup>[2]</sup>	-	-	-	-	(2,163)	MF00432132	MF00432132
	<b>Total:</b>	<b>\$ 2,500,000</b>	<b>\$ (22,047)</b>	<b>\$ -</b>	<b>\$ (2,480,116)</b>	<b>\$ (2,163)</b>			

<sup>[1]</sup> Although BLMIS Customer Statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[2]</sup> Although BLMIS Customer Statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

## **Exhibit 4J**

**Exhibit 4J – Detailed Schedule for the Principal Balance Calculation for BLMIS Account #1FN031**

Page 118 of 169

**BLMIS ACCOUNT NO. 1FN031 (FORMERLY 105137) - SANDPIPER FUND LIMITED II #2**

<b>Column 1</b>	<b>Column 2</b>	<b>Column 3</b>	<b>Column 4</b>	<b>Column 5</b>	<b>Column 6</b>	<b>Column 7</b>	<b>Column 8</b>	<b>Column 9</b>	<b>Column 10</b>
<b>Date</b>	<b>Transaction Description as Reported in Customer Statement</b>	<b>Amount as Reported in Customer Statement</b>	<b>Deposits</b>	<b>Withdrawals</b>	<b>Transfers In</b>	<b>Transfers Out</b>	<b>Balance</b>	<b>BLMIS SOURCE DOCUMENT: Beg Bates</b>	<b>End Bates</b>
3/23/1992	CHECK WIRE A/O 3/4/92	2,500,000	2,500,000	-	-	-	2,500,000	MF00469086	MF00469087
5/18/1992	W/H TAX DIV T	(386)	-	(386)	-	-	2,499,614	MF00463073	MF00463073
5/18/1992	W/H TAX DIV BMY	(673)	-	(673)	-	-	2,498,941	MF00463073	MF00463073
5/18/1992	W/H TAX DIV AXP	(146)	-	(146)	-	-	2,498,795	MF00463073	MF00463073
6/15/1992	W/H TAX DIV F	(702)	-	(702)	-	-	2,498,093	MF00461066	MF00461067
6/15/1992	W/H TAX DIV DD	(429)	-	(429)	-	-	2,497,664	MF00461066	MF00461067
6/15/1992	W/H TAX DIV BA	(98)	-	(98)	-	-	2,497,566	MF00461066	MF00461067
6/15/1992	W/H TAX DIV XON	(983)	-	(983)	-	-	2,496,584	MF00461066	MF00461067
6/15/1992	W/H TAX DIV MOB	(312)	-	(312)	-	-	2,496,272	MF00461066	MF00461067
6/30/1992	W/H TAX DIV GM	(702)	-	(702)	-	-	2,495,570	MF00461066	MF00461067
6/30/1992	W/H TAX DIV AIG	(24)	-	(24)	-	-	2,495,545	MF00461066	MF00461067
7/15/1992	W/H TAX DIV GE	(536)	-	(536)	-	-	2,495,009	MF00459049	MF00459050
7/15/1992	W/H TAX DIV KO	(218)	-	(218)	-	-	2,494,791	MF00459049	MF00459050
7/15/1992	W/H TAX DIV WMT	(61)	-	(61)	-	-	2,494,729	MF00459049	MF00459050
7/15/1992	W/H TAX DIV EK	(683)	-	(683)	-	-	2,494,047	MF00459049	MF00459050
7/30/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(397)	-	(397)	-	-	2,493,650	MF00459049	MF00459050
8/17/1992	W/H TAX DIV AXP	(146)	-	(146)	-	-	2,493,504	MF00457103	MF00457104
8/17/1992	W/H TAX DIV T	(386)	-	(386)	-	-	2,493,118	MF00457103	MF00457104
8/17/1992	W/H TAX DIV BMY	(673)	-	(673)	-	-	2,492,445	MF00457103	MF00457104
8/25/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(114)	-	(114)	-	-	2,492,330	MF00457103	MF00457104
9/15/1992	W/H TAX DIV DD	(429)	-	(429)	-	-	2,491,901	MF00455134	MF00455135
9/15/1992	W/H TAX DIV MOB	(312)	-	(312)	-	-	2,491,589	MF00455134	MF00455135
9/15/1992	W/H TAX DIV JNJ	(404)	-	(404)	-	-	2,491,186	MF00455134	MF00455135
9/15/1992	W/H TAX DIV XON	(983)	-	(983)	-	-	2,490,203	MF00455134	MF00455135
9/15/1992	W/H TAX DIV BA	(98)	-	(98)	-	-	2,490,106	MF00455134	MF00455135
9/30/1992	W/H TAX DIV AIG	(27)	-	(27)	-	-	2,490,078	MF00455134	MF00455135
10/15/1992	W/H TAX DIV GE	(575)	-	(575)	-	-	2,489,503	MF00452797	MF00452798
10/15/1992	W/H TAX DIV KO	(218)	-	(218)	-	-	2,489,285	MF00452797	MF00452798
10/15/1992	W/H TAX DIV WMT	(61)	-	(61)	-	-	2,489,223	MF00452797	MF00452798
10/15/1992	W/H TAX DIV EK	(683)	-	(683)	-	-	2,488,541	MF00452797	MF00452798
11/16/1992	W/H TAX DIV AXP	(146)	-	(146)	-	-	2,488,394	MF00450555	MF00450555
11/16/1992	W/H TAX DIV BMY	(673)	-	(673)	-	-	2,487,722	MF00450555	MF00450555
11/16/1992	W/H TAX DIV T	(386)	-	(386)	-	-	2,487,336	MF00450555	MF00450555
12/15/1992	W/H TAX DIV MOB	(312)	-	(312)	-	-	2,487,024	MF00444321	MF00444322
12/15/1992	W/H TAX DIV BA	(117)	-	(117)	-	-	2,486,907	MF00444321	MF00444322
12/15/1992	W/H TAX DIV XON	(1,179)	-	(1,179)	-	-	2,485,727	MF00444321	MF00444322
12/15/1992	W/H TAX DIV F	(140)	-	(140)	-	-	2,485,587	MF00444321	MF00444322
12/15/1992	W/H TAX DIV DD	(498)	-	(498)	-	-	2,485,089	MF00444321	MF00444322
12/15/1992	W/H TAX DIV JNJ	(484)	-	(484)	-	-	2,484,605	MF00444321	MF00444322
12/30/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(138)	-	(138)	-	-	2,484,467	MF00444321	MF00444322
12/31/1992	W/H TAX DIV AIG	(44)	-	(44)	-	-	2,484,423	MF00444321	MF00444322
12/31/1992	W/H TAX DIV KO	(218)	-	(218)	-	-	2,484,205	MF00444321	MF00444322
1/4/1993	TRANS TO ASCOT FUND 1FN00530 (1FN005)	(2,836,602) <sup>[1]</sup>	-	-	-	(2,484,205)	-	MF00437717	MF00437717
1/15/1993	W/H TAX DIV GE	(762)	-	(762)	-	-	(762)	MF00437717	MF00437717

**Exhibit 4J – Detailed Schedule for the Principal Balance Calculation for BLMIS Account #1FN031 of 169****BLMIS ACCOUNT NO. 1FN031 (FORMERLY 105137) - SANDPIPER FUND LIMITED II #2**

<b>Column 1</b>	<b>Column 2</b>	<b>Column 3</b>	<b>Column 4</b>	<b>Column 5</b>	<b>Column 6</b>	<b>Column 7</b>	<b>Column 8</b>	<b>Column 9</b>	<b>Column 10</b>
<b>Date</b>	<b>Transaction Description as Reported in Customer Statement</b>	<b>Amount as Reported in Customer Statement</b>	<b>Deposits</b>	<b>Withdrawals</b>	<b>Transfers In</b>	<b>Transfers Out</b>	<b>Balance</b>	<b>BLMIS SOURCE DOCUMENT: Beg Bates</b>	<b>End Bates</b>
1/15/1993	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(18)	-	(18)	-	-	(779)	MF00437717	MF00437717
1/15/1993	W/H TAX DIV EK	(819)	-	(819)	-	-	(1,598)	MF00437717	MF00437717
1/15/1993	W/H TAX DIV WMT	(74)	-	(74)	-	-	(1,672)	MF00437717	MF00437717
1/15/1993	W/H TAX DIV MRK	(49)	-	(49)	-	-	(1,721)	MF00437717	MF00437717
2/16/1993	W/H TAX DIV T	(450)	-	(450)	-	-	(2,171)	MF00432134	MF00432134
2/26/1993	TRANS TO ASCOT FUND LTD (1FN005)	(1,051) <sup>[2]</sup>	-	-	-	-	(2,171)	MF00432134	MF00432134
	<b>Total:</b>	<b>\$ 2,500,000</b>	<b>\$ (17,966)</b>	<b>\$ -</b>	<b>\$ (2,484,205)</b>	<b>\$ (2,171)</b>			

<sup>[1]</sup> Although BLMIS Customer Statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[2]</sup> Although BLMIS Customer Statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

## **Exhibit 4K**

## Exhibit 4K – Detailed Schedule for the Principal Balance Calculation for Ariel Capital Account 1A0042

## BLMIS ACCOUNT NO. 1A0042 (FORMERLY 100148) - ARIEL CAPITAL L P

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Bates	End Bates
10/1/1990	CHECK WIRE	2,600,000	2,600,000	-	-	-	2,600,000	MF00027830	MF00027830
12/6/1990	CHECK WIRE	1,300,000	1,300,000	-	-	-	3,900,000	MF00024410	MF00024411
4/1/1991	CHECK WIRE	1,500,000	1,500,000	-	-	-	5,400,000	MF00490772	MF00490773
7/3/1991	CHECK WIRE	1,200,000	1,200,000	-	-	-	6,600,000	MF00483399	MF00483400
3/13/1992	CHECK WIRE A/O 03/12/92	1,110,000	1,110,000	-	-	-	7,710,000	MF00467032	MF00467033
3/31/1992	TRANS FROM 10512430 (1FN004)	2,600,000	-	-	2,600,000	-	10,310,000	MF00467032	MF00467033
8/3/1992	TRANS FROM 1FN00430 (1FN004)	5,314,373	-	-	5,314,373	-	15,624,373	MF00456608	MF00456609
9/21/1992	CHECK WIRE	2,957,922	2,957,922	-	-	-	18,582,295	MF00454636	MF00454637
11/20/1992	CHECK WIRE	4,039,000	4,039,000	-	-	-	22,621,295	MF00450038	MF00450039
11/20/1992	CHECK WIRE 11/20/92	2,961,000	2,961,000	-	-	-	25,582,295	MF00450038	MF00450039
11/20/1992	CANCEL	(4,039,000)	(4,039,000)	-	-	-	21,543,295	MF00450038	MF00450039
1/4/1993	TRANS TO ASCOT PART 1A005830 (IA0058)	(25,759,317) <sup>[1]</sup>	-	-	-	(21,543,295)	-	MF00435923	MF00435923
2/26/1993	TRANS TO ASCOT PTR (IA0058)	(14,276) <sup>[2]</sup>	-	-	-	-	-	MF00430516	MF00430516
	Total:	\$ 13,628,922	\$ -	\$ 7,914,373	\$ (21,543,295)	\$ -			

<sup>[1]</sup> Although BLMIS Customer Statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[2]</sup> Although BLMIS Customer Statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

## **Exhibit 4L**

**BLMIS ACCOUNT NO. 1H0037 (FORMERLY 100865) - RICHARD L HIRSCH**

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	<u>BLMIS SOURCE DOCUMENT:</u> <u>Beg Bates</u>	<u>End Bates</u>
3/13/1992	CHECK WIRE A/O 03/12/92	1,300,000	1,300,000	-	-	-	1,300,000	MF00467769	MF00467770
1/4/1993	TRANS TO ASCOT PART 1A005830 (1A0058)	(1,485,398) <sup>[1]</sup>	-	-	-	(1,300,000)	-	MF00437993	MF00437993
2/26/1993	TRANS TO ASCOT PTRS (1A0058)	(789) <sup>[2]</sup>	-	-	-	-	-	MF00432436	MF00432436
	Total:	<b>\$ 1,300,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (1,300,000)</b>	<b>\$ -</b>		

<sup>[1]</sup> Although BLMIS Customer Statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[2]</sup> Although BLMIS Customer Statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

## **Exhibit 4M**

BLMIS ACCOUNT NO. 1B0079 (FORMERLY 100951) - ERIC BRUELL TRUST U/A DTD 8/10/82 FOR PHILIP BRUELL R BRUELL & W LEDER TRUSTEES

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	<u>BLMIS SOURCE DOCUMENT:</u> <u>Beg Bates</u>	<u>End Bates</u>
3/13/1992	CHECK WIRE A/O 03/12/92	1,500,000	1,500,000	-	-	-	1,500,000	MF00467778	MF00467779
1/4/1993	TRANS TO ASCOT PART 1A005830 (1A0058)	(1,712,463) <sup>[1]</sup>	-	-	-	(1,500,000)	-	MF00436064	MF00436064
2/26/1993	TRANS TO ASCOT PTR (1A0058)	(904) <sup>[2]</sup>	-	-	-	-	-	MF00430662	MF00430662
	Total:	<u>\$ 1,500,000</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ (1,500,000)</u>	<u>\$ -</u>		

<sup>[1]</sup> Although BLMIS Customer Statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[2]</sup> Although BLMIS Customer Statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

## **Exhibit 4N**

Exhibit 4N - Detailed Schedule for the Principal Balance Calculation for BLMIS Account 1W0041  
Page 127 of 169

## BLMIS ACCOUNT NO. 1W0041 - MANNY H WEISS

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	<u>BLMIS SOURCE DOCUMENT:</u> <u>Beg Bates</u>	<u>End Bates</u>
9/17/1992	CHECK WIRE A/O 9/2/92	3,000,000	3,000,000	-	-	-	3,000,000	MF00456541	MF00456542
1/4/1993	TRANS TO ASCOT PART 1A005830 (IA0058)	(3,155,660) <sup>[1]</sup>	-	-	-	(3,000,000)	-	MF00440168	MF00440168
2/26/1993	TRANS TO ASCOT PTRS (IA0058)	(1,077) <sup>[2]</sup>	-	-	-	-	-	MF00433857	MF00433857
	Total:	<u>\$ 3,000,000</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ (3,000,000)</u>	<u>\$ -</u>		

<sup>[1]</sup> Although BLMIS Customer Statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[2]</sup> Although BLMIS Customer Statements reflect that funds were transferred out of this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred out of the account on this date. Accordingly, the account balance has remained unchanged.

## **Exhibit 4O**

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Amount as Reported in Customer Statement	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: Dates Ref
1/2/1992	CHECK WIRE	4,000,000	4,000,000	-	-	-	4,000,000	MF00472728	MF00472729	<i>Not Produced</i>
3/16/1992	W/H TAX DIV EXXON	(1,463)	-	(1,463)	-	-	3,998,537	MF00469077	MF00469078	<i>Not Produced</i>
3/16/1992	W/H TAX DIV BOEING	(126)	-	(126)	-	-	3,998,411	MF00469077	MF00469078	<i>Not Produced</i>
3/16/1992	W/H TAX DIV IBM	(2,439)	-	(2,439)	-	-	3,995,971	MF00469077	MF00469078	<i>Not Produced</i>
3/16/1992	W/H TAX DIV GEN MOTORS	(1,075)	-	(1,075)	-	-	3,994,896	MF00469077	MF00469078	<i>Not Produced</i>
3/16/1992	W/H TAX DIV MOBIL	(538)	-	(538)	-	-	3,994,359	MF00469077	MF00469078	<i>Not Produced</i>
3/16/1992	W/H TAX DIV DU PONT	(494)	-	(494)	-	-	3,993,865	MF00469077	MF00469078	<i>Not Produced</i>
3/23/1992	CHECK WIRE A/O 3/4/92	4,000,000	4,000,000	-	-	-	7,993,865	MF00469077	MF00469078	<i>Not Produced</i>
3/23/1992	W/H TAX DIV FIDELITY	(115)	-	(115)	-	-	7,993,750	MF00469077	MF00469078	<i>Not Produced</i>
4/22/1992	W/H TAX DIV GEN ELEC	(832)	-	(832)	-	-	7,992,918	MF00466853	MF00466854	<i>Not Produced</i>
4/22/1992	W/H TAX DIV KODAK	(1,092)	-	(1,092)	-	-	7,991,826	MF00466853	MF00466854	<i>Not Produced</i>
4/22/1992	W/H TAX DIV WALMART	(147)	-	(147)	-	-	7,991,679	MF00466853	MF00466854	<i>Not Produced</i>
4/22/1992	W/H TAX DIV COCA COLA	(329)	-	(329)	-	-	7,991,350	MF00466853	MF00466854	<i>Not Produced</i>
5/18/1992	W/H TAX DIV DIS	(202)	-	(202)	-	-	7,991,148	MF00463018	MF00463019	<i>Not Produced</i>
5/18/1992	W/H TAX DIV BMY	(1,770)	-	(1,770)	-	-	7,989,378	MF00463018	MF00463019	<i>Not Produced</i>
5/18/1992	W/H TAX DIV AXP	(401)	-	(401)	-	-	7,988,977	MF00463018	MF00463019	<i>Not Produced</i>
5/20/1992	W/H TAX DIV AT&T	(1,234)	-	(1,234)	-	-	7,987,743	MF00463018	MF00463019	<i>Not Produced</i>
6/15/1992	W/H TAX DIV MOB	(1,092)	-	(1,092)	-	-	7,986,651	MF00461010	MF00461011	<i>Not Produced</i>
6/15/1992	W/H TAX DIV F	(2,010)	-	(2,010)	-	-	7,984,641	MF00461010	MF00461011	<i>Not Produced</i>
6/15/1992	W/H TAX DIV BA	(294)	-	(294)	-	-	7,984,347	MF00461010	MF00461011	<i>Not Produced</i>
6/15/1992	W/H TAX DIV XON	(3,281)	-	(3,281)	-	-	7,981,066	MF00461010	MF00461011	<i>Not Produced</i>
6/15/1992	W/H TAX DIV DD	(1,266)	-	(1,266)	-	-	7,979,801	MF00461010	MF00461011	<i>Not Produced</i>
6/30/1992	W/H TAX DIV GM	(2,285)	-	(2,285)	-	-	7,977,516	MF00461010	MF00461011	<i>Not Produced</i>
6/30/1992	W/H TAX DIV AIG	(45)	-	(45)	-	-	7,977,471	MF00461010	MF00461011	<i>Not Produced</i>
7/15/1992	W/H TAX DIV EK	(2,258)	-	(2,258)	-	-	7,975,214	MF00458989	MF00458990	<i>Not Produced</i>
7/15/1992	W/H TAX DIV WMT	(252)	-	(252)	-	-	7,974,961	MF00458989	MF00458990	<i>Not Produced</i>
7/15/1992	W/H TAX DIV KO	(711)	-	(711)	-	-	7,974,250	MF00458989	MF00458990	<i>Not Produced</i>
7/15/1992	W/H TAX DIV GE	(1,767)	-	(1,767)	-	-	7,972,483	MF00458989	MF00458990	<i>Not Produced</i>
7/30/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(288)	-	(288)	-	-	7,972,195	MF00458989	MF00458990	<i>Not Produced</i>
8/17/1992	W/H TAX DIV BMY	(1,813)	-	(1,813)	-	-	7,970,382	MF00457048	MF00457049	<i>Not Produced</i>
8/17/1992	W/H TAX DIV AXP	(417)	-	(417)	-	-	7,969,965	MF00457048	MF00457049	<i>Not Produced</i>
8/17/1992	W/H TAX DIV T	(1,282)	-	(1,282)	-	-	7,968,683	MF00457048	MF00457049	<i>Not Produced</i>
8/17/1992	W/H TAX DIV DIS	(202)	-	(202)	-	-	7,968,481	MF00457048	MF00457049	<i>Not Produced</i>
8/25/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(118)	-	(118)	-	-	7,968,363	MF00457048	MF00457049	<i>Not Produced</i>
9/2/1992	CHECK WIRE	500,000	500,000	-	-	-	8,468,363	MF00455078	MF00455079	<i>Not Produced</i>
9/2/1992	CHECK WIRE	2,000,000	2,000,000	-	-	-	10,468,363	MF00455078	MF00455079	<i>Not Produced</i>
9/15/1992	W/H TAX DIV MOB	(1,092)	-	(1,092)	-	-	10,467,271	MF00455078	MF00455079	<i>Not Produced</i>
9/15/1992	W/H TAX DIV BA	(294)	-	(294)	-	-	10,466,977	MF00455078	MF00455079	<i>Not Produced</i>
9/15/1992	W/H TAX DIV JNJ	(1,156)	-	(1,156)	-	-	10,465,822	MF00455078	MF00455079	<i>Not Produced</i>
9/15/1992	W/H TAX DIV XON	(3,281)	-	(3,281)	-	-	10,462,541	MF00455078	MF00455079	<i>Not Produced</i>
9/15/1992	W/H TAX DIV DD	(1,266)	-	(1,266)	-	-	10,461,275	MF00455078	MF00455079	<i>Not Produced</i>
9/30/1992	W/H TAX DIV AIG	(50)	-	(50)	-	-	10,461,225	MF00455078	MF00455079	<i>Not Produced</i>
10/15/1992	W/H TAX DIV GE	(2,462)	-	(2,462)	-	-	10,458,763	MF00452739	MF00452740	<i>Not Produced</i>
10/15/1992	W/H TAX DIV WMT	(252)	-	(252)	-	-	10,458,511	MF00452739	MF00452740	<i>Not Produced</i>
10/15/1992	W/H TAX DIV DOW	(125)	-	(125)	-	-	10,458,386	MF00452739	MF00452740	<i>Not Produced</i>
10/15/1992	W/H TAX DIV KO	(711)	-	(711)	-	-	10,457,674	MF00452739	MF00452740	<i>Not Produced</i>
10/15/1992	W/H TAX DIV HWP	(77)	-	(77)	-	-	10,457,597	MF00452739	MF00452740	<i>Not Produced</i>
10/15/1992	W/H TAX DIV EK	(2,258)	-	(2,258)	-	-	10,455,340	MF00452739	MF00452740	<i>Not Produced</i>
11/16/1992	W/H TAX DIV AXP	(513)	-	(513)	-	-	10,454,827	MF00450506	MF00450507	<i>Not Produced</i>
11/16/1992	W/H TAX DIV BMY	(2,343)	-	(2,343)	-	-	10,452,484	MF00450506	MF00450507	<i>Not Produced</i>
11/16/1992	W/H TAX DIV T	(1,535)	-	(1,535)	-	-	10,450,948	MF00450506	MF00450507	<i>Not Produced</i>
11/16/1992	W/H TAX DIV DIS	(202)	-	(202)	-	-	10,450,747	MF00450506	MF00450507	<i>Not Produced</i>
11/20/1992	CHECK WIRE	2,961,000	2,961,000	-	-	-	13,411,747	MF00450506	MF00450507	<i>Not Produced</i>
11/20/1992	CANCEL	(2,961,000)	(2,961,000)	-	-	-	10,450,747	MF00450506	MF00450507	<i>Not Produced</i>
12/15/1992	W/H TAX DIV BA	(449)	-	(449)	-	-	10,450,298	MF00444259	MF00444260	<i>Not Produced</i>
12/15/1992	W/H TAX DIV BAC	(125)	-	(125)	-	-	10,450,173	MF00444259	MF00444260	<i>Not Produced</i>
12/15/1992	W/H TAX DIV F	(652)	-	(652)	-	-	10,449,522	MF00444259	MF00444260	<i>Not Produced</i>
12/15/1992	W/H TAX DIV DD	(1,810)	-	(1,810)	-	-	10,447,712	MF00444259	MF00444260	<i>Not Produced</i>
12/15/1992	W/H TAX DIV MOB	(1,399)	-	(1,399)	-	-	10,446,313	MF00444259	MF00444260	<i>Not Produced</i>
12/15/1992	W/H TAX DIV XON	(4,700)	-	(4,700)	-	-	10,441,613	MF00444259	MF00444260	<i>Not Produced</i>
12/15/1992	W/H TAX DIV GM	(154)	-	(154)	-	-	10,441,459	MF00444259	MF00444260	<i>Not Produced</i>
12/15/1992	W/H TAX DIV JNJ	(1,707)	-	(1,707)	-	-	10,439,752	MF00444259	MF00444260	<i>Not Produced</i>
12/30/1992	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(161)	-	(161)	-	-	10,439,591	MF00444259	MF00444260	<i>Not Produced</i>
12/31/1992	W/H TAX DIV KO	(900)	-	(900)	-	-	10,438,691	MF00444259	MF00444260	<i>Not Produced</i>
12/31/1992	W/H TAX DIV AIG	(153)	-	(153)	-	-	10,438,538	MF00444259	MF00444260	<i>Not Produced</i>
1/4/1993	TRANS FROM 1FN04130 (1FN041)	2,185,762 <sup>[1]</sup>	-	-	1,996,882	-	12,435,420	MF00437665	MF00437667	<i>Not Produced</i>
1/4/1993	TRANS FROM 1FN01630 (1FN016)	3,888,323 <sup>[1]</sup>	-	-	2,961,732	-	15,397,152	MF00437665	MF00437667	<i>Not Produced</i>

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Amount as Reported in Customer Statement	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: Bates Ref
1/4/1993	TRANS FROM 1FN00430 (IFN004)	35,041,794 [1]	-	-	13,633,109	-	29,030,262	MF00437665	MF00437667	<i>Not Produced</i>
1/4/1993	TRANS FROM 1FN03930 (IFN039)	5,466,715 [1]	-	-	4,984,327	-	34,014,589	MF00437665	MF00437667	<i>Not Produced</i>
1/4/1993	TRANS FROM 1FN04230 (IFN042)	3,278,125 [1]	-	-	2,995,297	-	37,009,886	MF00437665	MF00437667	<i>Not Produced</i>
1/4/1993	TRANS FROM 1FN02230 (IFN022)	2,042,167 [1]	-	-	1,487,905	-	38,497,790	MF00437665	MF00437667	<i>Not Produced</i>
1/4/1993	TRANS FROM 1FN03130 (IFN031)	2,836,602 [1]	-	-	2,484,205	-	40,981,995	MF00437665	MF00437667	<i>Not Produced</i>
1/4/1993	TRANS FROM 1FN03030 (IFN030)	2,938,542 [1]	-	-	2,480,116	-	43,462,111	MF00437665	MF00437667	<i>Not Produced</i>
1/4/1993	TRANS FROM 1FN02030 (IFN020)	2,938,542 [1]	-	-	2,480,116	-	45,942,227	MF00437665	MF00437667	<i>Not Produced</i>
1/12/1993	CHECK WIRE	5,924,511	5,924,511	-	-	-	51,866,738	MF00437665	MF00437667	<i>Not Produced</i>
1/15/1993	W/H TAX DIV WMT	(349)	-	(349)	-	-	51,866,389	MF00437665	MF00437667	<i>Not Produced</i>
1/15/1993	W/H TAX DIV MRK	(338)	-	(338)	-	-	51,866,050	MF00437665	MF00437667	<i>Not Produced</i>
1/15/1993	W/H TAX DIV GE	(3,071)	-	(3,071)	-	-	51,862,979	MF00437665	MF00437667	<i>Not Produced</i>
1/15/1993	W/H TAX DIV HWP	(77)	-	(77)	-	-	51,862,902	MF00437665	MF00437667	<i>Not Produced</i>
1/15/1993	W/H TAX DIV EK	(3,339)	-	(3,339)	-	-	51,859,563	MF00437665	MF00437667	<i>Not Produced</i>
2/16/1993	W/H TAX DIV DIS	(1,143)	-	(1,143)	-	-	51,858,420	MF00432083	MF00432084	<i>Not Produced</i>
2/16/1993	W/H TAX DIV T	(1,729)	-	(1,729)	-	-	51,856,691	MF00432083	MF00432084	<i>Not Produced</i>
2/16/1993	W/H TAX DIV BMY	(12,026)	-	(12,026)	-	-	51,844,666	MF00432083	MF00432084	<i>Not Produced</i>
2/26/1993	TRANS FROM 1FN04130 (IFN041)	959 [2]	-	-	-	-	51,844,666	MF00432083	MF00432084	<i>Not Produced</i>
2/26/1993	TRANS FROM 1FN03120 (IFN031)	1,051 [2]	-	-	-	-	51,844,666	MF00432083	MF00432084	<i>Not Produced</i>
2/26/1993	TRANS FROM 1FN03930 (IFN039)	1,975 [2]	-	-	-	-	51,844,666	MF00432083	MF00432084	<i>Not Produced</i>
2/26/1993	TRANS FROM 1FN02230 (IFN022)	912 [2]	-	-	-	-	51,844,666	MF00432083	MF00432084	<i>Not Produced</i>
2/26/1993	TRANS FROM 1FN01630 (IFN016)	1,396 [2]	-	-	-	-	51,844,666	MF00432083	MF00432084	<i>Not Produced</i>
2/26/1993	TRANS FROM 1FN04230 (IFN042)	1,442 [2]	-	-	-	-	51,844,666	MF00432083	MF00432084	<i>Not Produced</i>
2/26/1993	TRANS FROM 1FN02030 (IFN020)	1,040 [2]	-	-	-	-	51,844,666	MF00432083	MF00432084	<i>Not Produced</i>
2/26/1993	TRANS FROM 1FN00430 (IFN004)	13,853 [2]	-	-	-	-	51,844,666	MF00432083	MF00432084	<i>Not Produced</i>
2/26/1993	TRANS FROM 1FN03030 (IFN030)	1,040 [2]	-	-	-	-	51,844,666	MF00432083	MF00432084	<i>Not Produced</i>
3/1/1993	W/H TAX DIV F	(4,431)	-	(4,431)	-	-	51,840,235	MF00427346	MF00427347	<i>Not Produced</i>
3/5/1993	W/H TAX DIV BA	(3,238)	-	(3,238)	-	-	51,836,997	MF00427346	MF00427347	<i>Not Produced</i>
3/9/1993	W/H TAX DIV INJ	(8,551)	-	(8,551)	-	-	51,828,446	MF00427346	MF00427347	<i>Not Produced</i>
3/10/1993	W/H TAX DIV IBM	(836)	-	(836)	-	-	51,827,610	MF00427346	MF00427347	<i>Not Produced</i>
3/10/1993	W/H TAX DIV GM	(566)	-	(566)	-	-	51,827,044	MF00427346	MF00427347	<i>Not Produced</i>
3/10/1993	W/TAX DIV MOB	(9,576)	-	(9,576)	-	-	51,817,468	MF00427346	MF00427347	<i>Not Produced</i>
3/10/1993	W/H TAX DIV XON	(34,628)	-	(34,628)	-	-	51,782,840	MF00427346	MF00427347	<i>Not Produced</i>
3/12/1993	W/H TAX DIV BAC	(357)	-	(357)	-	-	51,782,483	MF00427346	MF00427347	<i>Not Produced</i>
3/15/1993	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(98)	-	(98)	-	-	51,782,384	MF00427346	MF00427347	<i>Not Produced</i>
3/15/1993	W/H TAX DIV DD	(11,773)	-	(11,773)	-	-	51,770,611	MF00427346	MF00427347	<i>Not Produced</i>
3/19/1993	W/H TAX DIV AIG	(1,697)	-	(1,697)	-	-	51,768,914	MF00427346	MF00427347	<i>Not Produced</i>
3/31/1993	W/H TAX DIV PEP	(809)	-	(809)	-	-	51,768,104	MF00427346	MF00427347	<i>Not Produced</i>
4/1/1993	W/H TAX DIV EK	(23,245)	-	(23,245)	-	-	51,744,860	MF00387754	MF00387755	<i>Not Produced</i>
4/1/1993	W/H TAX DIV S	(996)	-	(996)	-	-	51,743,864	MF00387754	MF00387755	<i>Not Produced</i>
4/1/1993	W/H TAX DIV KO	(8,394)	-	(8,394)	-	-	51,735,470	MF00387754	MF00387755	<i>Not Produced</i>
4/12/1993	W/H TAX DIV WMT	(1,690)	-	(1,690)	-	-	51,733,781	MF00387754	MF00387755	<i>Not Produced</i>
4/20/1993	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(52)	-	(52)	-	-	51,733,728	MF00387754	MF00387755	<i>Not Produced</i>
4/26/1993	W/H TAX DIV GE	(24,455)	-	(24,455)	-	-	51,709,274	MF00387754	MF00387755	<i>Not Produced</i>
5/3/1993	W/H TAX DIV T	(14,738)	-	(14,738)	-	-	51,694,536	MF00417914	MF00417916	<i>Not Produced</i>
5/10/1993	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(41)	-	(41)	-	-	51,694,495	MF00417914	MF00417916	<i>Not Produced</i>
5/20/1993	W/H TAX DIV DIS	(1,640)	-	(1,640)	-	-	51,692,855	MF00417914	MF00417916	<i>Not Produced</i>
6/1/1993	W/H TAX DIV F	(6,212)	-	(6,212)	-	-	51,686,643	MF00406017	MF00406019	<i>Not Produced</i>
6/1/1993	W/H TAX DIV AXP	(934)	-	(934)	-	-	51,685,709	MF00406017	MF00406019	<i>Not Produced</i>
6/8/1993	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(186)	-	(186)	-	-	51,685,523	MF00406017	MF00406019	<i>Not Produced</i>
6/14/1993	W/H TAX DIV MMM	(5,154)	-	(5,154)	-	-	51,680,369	MF00406017	MF00406019	<i>Not Produced</i>
6/18/1993	W/H TAX DIV MCD	(1,001)	-	(1,001)	-	-	51,679,367	MF00406017	MF00406019	<i>Not Produced</i>
6/30/1993	W/H TAX DIV PEP	(3,974)	-	(3,974)	-	-	51,675,393	MF00406017	MF00406019	<i>Not Produced</i>
7/1/1993	W/H TAX DIV S	(3,726)	-	(3,726)	-	-	51,671,667	MF00398157	MF00398160	<i>Not Produced</i>
7/1/1993	W/H TAX DIV MRK	(7,763)	-	(7,763)	-	-	51,663,904	MF00398157	MF00398160	<i>Not Produced</i>
7/1/1993	W/H TAX DIV KO	(6,926)	-	(6,926)	-	-	51,656,978	MF00398157	MF00398160	<i>Not Produced</i>
7/1/1993	W/H TAX DIV EK	(4,658)	-	(4,658)	-	-	51,652,321	MF00398157	MF00398160	<i>Not Produced</i>
7/2/1993	W/H TAX DIV SLB	(2,795)	-	(2,795)	-	-	51,649,526	MF00398157	MF00398160	<i>Not Produced</i>
7/7/1993	CHECK WIRE	3,000,000	3,000,000	-	-	-	54,649,526	MF00398157	MF00398160	<i>Not Produced</i>
7/9/1993	W/H TAX DIV WMT	(2,240)	-	(2,240)	-	-	54,647,286	MF00398157	MF00398160	<i>Not Produced</i>
7/22/1993	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(29)	-	(29)	-	-	54,647,257	MF00398157	MF00398160	<i>Not Produced</i>
7/26/1993	W/H TAX DIV GE	(13,693)	-	(13,693)	-	-	54,633,564	MF00398157	MF00398160	<i>Not Produced</i>
7/29/1993	TRANS TO 1A005830 (IA0058)	(1,695,510)	-	-	(1,695,510)	-	52,938,054	MF00398157	MF00398160	<i>Not Produced</i>
8/2/1993	W/H TAX DIV AIT	(5,763)	-	(5,763)	-	-	52,932,291	MF00383266	MF00383268	<i>Not Produced</i>
8/2/1993	W/H TAX DIV T	(12,421)	-	(12,421)	-	-	52,919,871	MF00383266	MF00383268	<i>Not Produced</i>

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Amount as Reported in Customer Statement	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: Bates Ref
8/2/1993	W/H TAX DIV BEL	(8,394)	-	(8,394)	-	-	52,911,477	MF00383266	MF00383268	<i>Not Produced</i>
8/2/1993	W/H TAX DIV BMY	(9,020)	-	(9,020)	-	-	52,902,457	MF00383266	MF00383268	<i>Not Produced</i>
8/10/1993	W/H TAX DIV AXP	(3,125)	-	(3,125)	-	-	52,899,331	MF00383266	MF00383268	<i>Not Produced</i>
8/16/1993	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(51)	-	(51)	-	-	52,899,280	MF00383266	MF00383268	<i>Not Produced</i>
8/20/1993	W/H TAX DIV DIS	(979)	-	(979)	-	-	52,899,302	MF00383266	MF00383268	<i>Not Produced</i>
9/1/1993	W/H TAX DIV F	(6,597)	-	(6,597)	-	-	52,891,705	MF00357666	MF00357668	<i>Not Produced</i>
9/10/1993	W/H TAX DIV IBM	(4,123)	-	(4,123)	-	-	52,887,582	MF00357666	MF00357668	<i>Not Produced</i>
9/10/1993	W/H TAX DIV XON	(28,499)	-	(28,499)	-	-	52,859,082	MF00357666	MF00357668	<i>Not Produced</i>
9/10/1993	W/H TAX DIV AN	(9,071)	-	(9,071)	-	-	52,850,012	MF00357666	MF00357668	<i>Not Produced</i>
9/10/1993	W/H TAX DIV MOB	(10,555)	-	(10,555)	-	-	52,839,456	MF00357666	MF00357668	<i>Not Produced</i>
9/13/1993	W/H TAX DIV DD	(8,708)	-	(8,708)	-	-	52,830,748	MF00357666	MF00357668	<i>Not Produced</i>
9/15/1993	W/H TAX DIV ARC	(8,805)	-	(8,805)	-	-	52,821,944	MF00357666	MF00357668	<i>Not Produced</i>
9/17/1993	W/H TAX DIV AIG	(965)	-	(965)	-	-	52,820,978	MF00357666	MF00357668	<i>Not Produced</i>
9/17/1993	W/H TAX DIV MCD	(1,038)	-	(1,038)	-	-	52,819,941	MF00357666	MF00357668	<i>Not Produced</i>
9/24/1993	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(198)	-	(198)	-	-	52,819,742	MF00357666	MF00357668	<i>Not Produced</i>
9/30/1993	W/H TAX DIV PEP	(3,604)	-	(3,604)	-	-	52,816,139	MF00357666	MF00357668	<i>Not Produced</i>
10/1/1993	W/H TAX DIV EK	(4,826)	-	(4,826)	-	-	52,811,312	MF00349656	MF00349658	<i>Not Produced</i>
10/1/1993	W/H TAX DIV MRK	(9,910)	-	(9,910)	-	-	52,801,402	MF00349656	MF00349658	<i>Not Produced</i>
10/1/1993	W/H TAX DIV S	(3,861)	-	(3,861)	-	-	52,797,541	MF00349656	MF00349658	<i>Not Produced</i>
10/1/1993	W/H TAX DIV KO	(6,564)	-	(6,564)	-	-	52,790,978	MF00349656	MF00349658	<i>Not Produced</i>
10/4/1993	W/H TAX DIV WMT	(1,882)	-	(1,882)	-	-	52,789,095	MF00349656	MF00349658	<i>Not Produced</i>
10/13/1993	W/H TAX DIV HWP	(1,609)	-	(1,609)	-	-	52,787,487	MF00349656	MF00349658	<i>Not Produced</i>
10/25/1993	W/H TAX DIV GE	(16,216)	-	(16,216)	-	-	52,771,271	MF00349656	MF00349658	<i>Not Produced</i>
11/1/1993	W/H TAX DIV AIT	(8,893)	-	(8,893)	-	-	52,762,378	MF00333994	MF00333995	<i>Not Produced</i>
11/1/1993	W/H TAX DIV BEL	(8,659)	-	(8,659)	-	-	52,753,719	MF00333994	MF00333995	<i>Not Produced</i>
11/1/1993	W/H TAX DIV BMY	(11,632)	-	(11,632)	-	-	52,742,087	MF00333994	MF00333995	<i>Not Produced</i>
11/1/1993	W/H TAX DIV T	(12,795)	-	(12,795)	-	-	52,729,292	MF00333994	MF00333995	<i>Not Produced</i>
11/10/1993	W/H TAX DIV FCRXX	(232)	-	(232)	-	-	52,729,061	MF00333994	MF00333995	<i>Not Produced</i>
11/19/1993	W/H TAX DIV DIS	(1,010)	-	(1,010)	-	-	52,728,051	MF00333994	MF00333995	<i>Not Produced</i>
12/1/1993	W/H TAX DIV F	(6,495)	-	(6,495)	-	-	52,721,556	MF00344005	MF00344007	<i>Not Produced</i>
12/1/1993	W/H TAX DIV INTC	(650)	-	(650)	-	-	52,720,907	MF00344005	MF00344007	<i>Not Produced</i>
12/7/1993	W/H TAX DIV JNJ	(5,910)	-	(5,910)	-	-	52,714,996	MF00344005	MF00344007	<i>Not Produced</i>
12/10/1993	W/H TAX DIV S	(3,915)	-	(3,915)	-	-	52,711,081	MF00344005	MF00344007	<i>Not Produced</i>
12/10/1993	W/H TAX DIV XON	(25,720)	-	(25,720)	-	-	52,685,361	MF00344005	MF00344007	<i>Not Produced</i>
12/10/1993	W/H TAX DIV IBM	(4,059)	-	(4,059)	-	-	52,681,301	MF00344005	MF00344007	<i>Not Produced</i>
12/10/1993	W/H TAX DIV AN	(7,145)	-	(7,145)	-	-	52,674,157	MF00344005	MF00344007	<i>Not Produced</i>
12/10/1993	W/H TAX DIV GM	(2,601)	-	(2,601)	-	-	52,671,556	MF00344005	MF00344007	<i>Not Produced</i>
12/10/1993	W/H TAX DIV MOB	(11,042)	-	(11,042)	-	-	52,660,514	MF00344005	MF00344007	<i>Not Produced</i>
12/13/1993	W/H TAX DIV MMM	(5,428)	-	(5,428)	-	-	52,655,086	MF00344005	MF00344007	<i>Not Produced</i>
12/14/1993	W/H TAX DIV DD	(10,002)	-	(10,002)	-	-	52,645,084	MF00344005	MF00344007	<i>Not Produced</i>
12/14/1993	FIDELITY CASH RESERVES SBI	(45)	-	(45)	-	-	52,645,038	MF00344005	MF00344007	<i>Not Produced</i>
12/15/1993	W/H TAX DIV KO	(6,671)	-	(6,671)	-	-	52,638,367	MF00344005	MF00344007	<i>Not Produced</i>
12/15/1993	W/H TAX DIV ARC	(4,496)	-	(4,496)	-	-	52,633,871	MF00344005	MF00344007	<i>Not Produced</i>
12/17/1993	W/H TAX DIV AIG	(981)	-	(981)	-	-	52,632,890	MF00344005	MF00344007	<i>Not Produced</i>
12/17/1993	W/H TAX DIV MED	(1,055)	-	(1,055)	-	-	52,631,836	MF00344005	MF00344007	<i>Not Produced</i>
1/3/1994	W/H TAX DIV MRK	(10,072)	-	(10,072)	-	-	52,621,764	MF00313810	MF00313813	<i>Not Produced</i>
1/3/1994	W/H TAZ DIV PEP	(3,662)	-	(3,662)	-	-	52,618,102	MF00313810	MF00313813	<i>Not Produced</i>
1/3/1994	W/H TAX DIV EK	(4,905)	-	(4,905)	-	-	52,613,197	MF00313810	MF00313813	<i>Not Produced</i>
1/3/1994	W/H TAX DIV S	(3,915)	-	(3,915)	-	-	52,609,282	MF00313810	MF00313813	<i>Not Produced</i>
1/5/1994	W/H TAX DIV WMT	(1,913)	-	(1,913)	-	-	52,607,369	MF00313810	MF00313813	<i>Not Produced</i>
1/11/1994	FIDELITY CASH RESERVES SBI	(153)	-	(153)	-	-	52,607,216	MF00313810	MF00313813	<i>Not Produced</i>
1/11/1994	TRANS TO 1A005830 (1A0058)	(14,053,625)	-	-	(14,053,625)	-	38,553,591	MF00313810	MF00313813	<i>Not Produced</i>
1/31/1994	CXL 12/10/93	3,915	-	3,915	-	-	38,557,506	MF00313810	MF00313813	<i>Not Produced</i>
1/31/1994	CORRECTION ADJ 1/31/1994	3,915	-	3,915	-	-	38,561,421	MF00313810	MF00313813	<i>Not Produced</i>
1/31/1994	CANCEL DIV ADJ 12/10 S	(3,915)	-	(3,915)	-	-	38,557,506	MF00313810	MF00313813	<i>Not Produced</i>
2/1/1994	W/H TAX DIV BEL	(6,236)	-	(6,236)	-	-	38,551,269	MF00307920	MF00307921	<i>Not Produced</i>
2/15/1994	FIDELITY CASH RESERVES SBI	(19)	-	(19)	-	-	38,551,250	MF00307920	MF00307921	<i>Not Produced</i>
2/18/1994	W/H TAX DIV DIS	(643)	-	(643)	-	-	38,550,607	MF00307920	MF00307921	<i>Not Produced</i>
3/1/1994	W/H TAX DIV F	(4,596)	-	(4,596)	-	-	38,546,011	MF00331304	MF00331307	<i>Not Produced</i>
3/1/1994	W/H TAX DIV INTC	(517)	-	(517)	-	-	38,545,494	MF00331304	MF00331307	<i>Not Produced</i>
3/8/1994	W/H TAX DIV JNJ	(4,033)	-	(4,033)	-	-	38,541,461	MF00331304	MF00331307	<i>Not Produced</i>
3/8/1994	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(142)	-	(142)	-	-	38,541,319	MF00331304	MF00331307	<i>Not Produced</i>
3/10/1994	W/H TAX DIV IBM	(3,160)	-	(3,160)	-	-	38,538,160	MF00331304	MF00331307	<i>Not Produced</i>
3/10/1994	W/H TAX DIV GM	(3,332)	-	(3,332)	-	-	38,534,828	MF00331304	MF00331307	<i>Not Produced</i>
3/10/1994	W/H TAX DIV MDB	(7,813)	-	(7,813)	-	-	38,527,014	MF00331304	MF00331307	<i>Not Produced</i>
3/10/1994	W/H TAX DIV XON	(21,096)	-	(21,096)	-	-	38,505,919	MF00331304	MF00331307	<i>Not Produced</i>
3/10/1994	W/H TAX DIV AN	(6,320)	-	(6,320)	-	-	38,499,599	MF00331304	MF00331307	<i>Not Produced</i>

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Date	Transaction Description as Reported in Customer Statement	Amount as Reported in Customer Statement	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: Dates Ref
3/14/1994	W/H TAX DIV MMM	(4,552)	-	(4,552)	-	-	38,495,047	MF00331304	MF00331307	<i>Not Produced</i>
3/14/1994	W/H TAX DIV BAC	(3,220)	-	(3,220)	-	-	38,491,827	MF00331304	MF00331307	<i>Not Produced</i>
3/14/1994	W/H TAX DIV DD	(6,825)	-	(6,825)	-	-	38,485,002	MF00331304	MF00331307	<i>Not Produced</i>
3/15/1994	W/H TAX DIV ARC	(4,742)	-	(4,742)	-	-	38,480,260	MF00331304	MF00331307	<i>Not Produced</i>
3/18/1994	W/H TAX DIV AIG	(747)	-	(747)	-	-	38,479,513	MF00331304	MF00331307	<i>Not Produced</i>
3/18/1994	W/H TAX DIV MCD	(927)	-	(927)	-	-	38,478,586	MF00331304	MF00331307	<i>Not Produced</i>
3/31/1994	W/H TAX DIV PEP	(3,035)	-	(3,035)	-	-	38,475,551	MF00331304	MF00331307	<i>Not Produced</i>
4/4/1994	W/H TAX DIV S	(3,679)	-	(3,679)	-	-	38,471,872	MF00286917	MF00286920	<i>Not Produced</i>
4/4/1994	W/H TAX DIV KO	(5,863)	-	(5,863)	-	-	38,466,010	MF00286917	MF00286920	<i>Not Produced</i>
4/4/1994	W/H TAX DIV MRK	(8,208)	-	(8,208)	-	-	38,457,802	MF00286917	MF00286920	<i>Not Produced</i>
4/13/1994	W/H TAX DIV HWP	(1,475)	-	(1,475)	-	-	38,456,327	MF00286917	MF00286920	<i>Not Produced</i>
4/20/1994	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(107)	-	(107)	-	-	38,456,220	MF00286917	MF00286920	<i>Not Produced</i>
4/25/1994	W/H TAX DIV GE	(14,071)	-	(14,071)	-	-	38,442,149	MF00286917	MF00286920	<i>Not Produced</i>
4/25/1994	TRANS TO 1A005830 (1A0058)	(11,052,172)	-	-	-	(11,052,172)	27,389,977	MF00286917	MF00286920	<i>Not Produced</i>
4/29/1994	W/H TAX DIV DOW	(4,606)	-	(4,606)	-	-	27,385,371	MF00286917	MF00286920	<i>Not Produced</i>
5/2/1994	W/H TAX DIV BMY	(9,507)	-	(9,507)	-	-	27,375,864	MF00275021	MF00275022	<i>Not Produced</i>
5/2/1994	W/H TAX DIV BEL	(7,796)	-	(7,796)	-	-	27,368,068	MF00275021	MF00275022	<i>Not Produced</i>
5/2/1994	W/H TAX DIV T	(11,376)	-	(11,376)	-	-	27,356,692	MF00275021	MF00275022	<i>Not Produced</i>
5/2/1994	W/H TAX DIV AIT	(6,251)	-	(6,251)	-	-	27,350,440	MF00275021	MF00275022	<i>Not Produced</i>
5/10/1994	W/H TAX DIV AXP	(2,423)	-	(2,423)	-	-	27,348,017	MF00275021	MF00275022	<i>Not Produced</i>
5/19/1994	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(62)	-	(62)	-	-	27,347,956	MF00275021	MF00275022	<i>Not Produced</i>
5/20/1994	W/H TAX DIV DIS	(934)	-	(934)	-	-	27,347,022	MF00275021	MF00275022	<i>Not Produced</i>
6/1/1994	W/H TAX DIV F	(5,126)	-	(5,126)	-	-	27,341,896	MF00234151	MF00234153	<i>Not Produced</i>
6/3/1994	W/H TAX DIV BA	(3)	-	(3)	-	-	27,341,893	MF00234151	MF00234153	<i>Not Produced</i>
6/7/1994	W/H TAX DIV JNJ	(4,413)	-	(4,413)	-	-	27,337,481	MF00234151	MF00234153	<i>Not Produced</i>
6/10/1994	W/H TAX DIV GM	(3,280)	-	(3,280)	-	-	27,334,200	MF00234151	MF00234153	<i>Not Produced</i>
6/10/1994	W/H TAX DIV AN	(6,214)	-	(6,214)	-	-	27,327,986	MF00234151	MF00234153	<i>Not Produced</i>
6/10/1994	W/H TAX DIV XON	(20,732)	-	(20,732)	-	-	27,307,254	MF00234151	MF00234153	<i>Not Produced</i>
6/10/1994	W/H TAX DIV IBM	(3,144)	-	(3,144)	-	-	27,304,110	MF00234151	MF00234153	<i>Not Produced</i>
6/10/1994	W/H TAX DIV MOB	(7,667)	-	(7,667)	-	-	27,296,444	MF00234151	MF00234153	<i>Not Produced</i>
6/13/1994	W/H TAX DIV DD	(6,735)	-	(6,735)	-	-	27,289,709	MF00234151	MF00234153	<i>Not Produced</i>
6/13/1994	W/H TAX DIV MMM	(4,483)	-	(4,483)	-	-	27,285,226	MF00234151	MF00234153	<i>Not Produced</i>
6/14/1994	W/H TAX DIV BAC	(3,171)	-	(3,171)	-	-	27,282,055	MF00234151	MF00234153	<i>Not Produced</i>
6/15/1994	W/H TAX DIV ARC	(4,636)	-	(4,636)	-	-	27,277,418	MF00234151	MF00234153	<i>Not Produced</i>
6/17/1994	W/H TAX DIV AIG	(729)	-	(729)	-	-	27,276,689	MF00234151	MF00234153	<i>Not Produced</i>
6/17/1994	W/H TAX DIV CCI	(2)	-	(2)	-	-	27,276,687	MF00234151	MF00234153	<i>Not Produced</i>
6/17/1994	W/H TAX DIV MCD	(1,017)	-	(1,017)	-	-	27,275,670	MF00234151	MF00234153	<i>Not Produced</i>
6/17/1994	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(56)	-	(56)	-	-	27,275,614	MF00234151	MF00234153	<i>Not Produced</i>
6/30/1994	W/H TAX DIV PEP	(3,346)	-	(3,346)	-	-	27,272,268	MF00234151	MF00234153	<i>Not Produced</i>
7/1/1994	W/H TAX DIV KO	(5,616)	-	(5,616)	-	-	27,266,653	MF00326272	MF00326274	<i>Not Produced</i>
7/1/1994	W/H TAX DIV EK	(5)	-	(5)	-	-	27,266,647	MF00326272	MF00326274	<i>Not Produced</i>
7/1/1994	W/H TAX DIV MCIC	(282)	-	(282)	-	-	27,266,363	MF00326272	MF00326274	<i>Not Produced</i>
7/1/1994	W/H TAX DIV MRK	(8,114)	-	(8,114)	-	-	27,258,251	MF00326272	MF00326274	<i>Not Produced</i>
7/1/1994	W/H TAX DIV S	(3,608)	-	(3,608)	-	-	27,254,644	MF00326272	MF00326274	<i>Not Produced</i>
7/8/1994	W/H TAX DIV WMT	(2,556)	-	(2,556)	-	-	27,252,088	MF00326272	MF00326274	<i>Not Produced</i>
7/11/1994	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(71)	-	(71)	-	-	27,252,017	MF00326272	MF00326274	<i>Not Produced</i>
7/12/1994	TRANS FROM 1A005830 (1A0058)	5,039,709	-	-	5,039,709	-	32,291,726	MF00326272	MF00326274	<i>Not Produced</i>
7/13/1994	W/H TAX DIV HWP	(1,695)	-	(1,695)	-	-	32,290,030	MF00326272	MF00326274	<i>Not Produced</i>
7/15/1994	W/H TAX DIV C	(4)	-	(4)	-	-	32,290,027	MF00326272	MF00326274	<i>Not Produced</i>
7/25/1994	W/H TAX DIV GE	(13,886)	-	(13,886)	-	-	32,276,141	MF00326272	MF00326274	<i>Not Produced</i>
7/29/1994	W/H TAX DIV DOW	(4,396)	-	(4,396)	-	-	32,271,745	MF00326272	MF00326274	<i>Not Produced</i>
8/1/1994	W/H TAX DIV T	(10,859)	-	(10,859)	-	-	32,260,885	MF00323238	MF00323240	<i>Not Produced</i>
8/1/1994	W/H TAX DIV BEL	(7,459)	-	(7,459)	-	-	32,253,427	MF00323238	MF00323240	<i>Not Produced</i>
8/1/1994	W/H TAX DIV AIT	(5,967)	-	(5,967)	-	-	32,247,460	MF00323238	MF00323240	<i>Not Produced</i>
8/1/1994	W/H TAX DIV BMY	(9,075)	-	(9,075)	-	-	32,238,386	MF00323238	MF00323240	<i>Not Produced</i>
8/4/1994	CHECK WIRE	1,000,000	1,000,000	-	-	-	33,238,386	MF00323238	MF00323240	<i>Not Produced</i>
8/15/1994	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(74)	-	(74)	-	-	33,238,312	MF00323238	MF00323240	<i>Not Produced</i>
8/17/1994	W/H TAX DIV CCI	(140)	-	(140)	-	-	33,238,172	MF00323238	MF00323240	<i>Not Produced</i>
8/19/1994	W/H TAX DIV DIS	(892)	-	(892)	-	-	33,237,280	MF00323238	MF00323240	<i>Not Produced</i>
9/1/1994	W/H TAX DIV INTC	(65)	-	(65)	-	-	33,237,215	MF00261879	MF00261882	<i>Not Produced</i>
9/1/1994	W/H TAX DIV INTC	(5)	-	(5)	-	-	33,237,210	MF00261879	MF00261882	<i>Not Produced</i>
9/1/1994	W/H TAX DIV F	(5,720)	-	(5,720)	-	-	33,231,490	MF00261879	MF00261882	<i>Not Produced</i>
9/2/1994	W/H TAX DIV BA	(231)	-	(231)	-	-	33,231,258	MF00261879	MF00261882	<i>Not Produced</i>
9/6/1994	W/H TAX DIV INJ	(4,908)	-	(4,908)	-	-	33,226,350	MF00261879	MF00261882	<i>Not Produced</i>
9/12/1994	W/H TAX DIV XON	(23,097)	-	(23,097)	-	-	33,203,253	MF00261879	MF00261882	<i>Not Produced</i>
9/12/1994	W/H TAX DIV AN	(6,937)	-	(6,937)	-	-	33,196,316	MF00261879	MF00261882	<i>Not Produced</i>
9/12/1994	W/H TAX DIV GM	(3,716)	-	(3,716)	-	-	33,192,600	MF00261879	MF00261882	<i>Not Produced</i>

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Amount as Reported in Customer Statement								CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES:
			Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BEG BATES	END BATES	BATES REF
9/12/1994	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(37)	-	(37)	-	-	33,192,562	MF00261879	MF00261882	<i>Not Produced</i>
9/12/1994	W/H TAX DIV MOB	(8,506)	-	(8,506)	-	-	33,184,057	MF00261879	MF00261882	<i>Not Produced</i>
9/12/1994	W/H TAX DIV IBM	(3,554)	-	(3,554)	-	-	33,180,502	MF00261879	MF00261882	<i>Not Produced</i>
9/12/1994	W/H TAX DIV DD	(8,060)	-	(8,060)	-	-	33,172,442	MF00261879	MF00261882	<i>Not Produced</i>
9/12/1994	W/H TAX DIV MMM	(5,041)	-	(5,041)	-	-	33,167,401	MF00261879	MF00261882	<i>Not Produced</i>
9/15/1994	W/H TAX DIV ARC	(5,233)	-	(5,233)	-	-	33,162,168	MF00261879	MF00261882	<i>Not Produced</i>
9/15/1994	W/H TAX DIV BAC	(3,573)	-	(3,573)	-	-	33,158,595	MF00261879	MF00261882	<i>Not Produced</i>
9/16/1994	W/H TAX DIV MCD	(97)	-	(97)	-	-	33,158,497	MF00261879	MF00261882	<i>Not Produced</i>
9/16/1994	W/H TAX DIV AIG	(930)	-	(930)	-	-	33,157,567	MF00261879	MF00261882	<i>Not Produced</i>
9/30/1994	W/H TAX DIV PEP	(3,763)	-	(3,763)	-	-	33,153,804	MF00261879	MF00261882	<i>Not Produced</i>
10/3/1994	W/H TAX DIV WMT	(2,847)	-	(2,847)	-	-	33,150,957	MF00280877	MF00280880	<i>Not Produced</i>
10/3/1994	W/H TAX DIV EK	(411)	-	(411)	-	-	33,150,546	MF00280877	MF00280880	<i>Not Produced</i>
10/3/1994	W/H TAX DIV KO	(6,351)	-	(6,351)	-	-	33,144,196	MF00280877	MF00280880	<i>Not Produced</i>
10/3/1994	W/H TAX DIV MRK	(9,825)	-	(9,825)	-	-	33,134,371	MF00280877	MF00280880	<i>Not Produced</i>
10/11/1994	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(91)	-	(91)	-	-	33,134,280	MF00280877	MF00280880	<i>Not Produced</i>
10/12/1994	W/H TAX DIV HWP	(1,940)	-	(1,940)	-	-	33,132,340	MF00280877	MF00280880	<i>Not Produced</i>
10/13/1994	TRANS TO 1A005830 (1A0058)	(5,961,000)	-	-	-	(5,961,000)	27,171,340	MF00280877	MF00280880	<i>Not Produced</i>
10/14/1994	W/H TAX DIV C	(259)	-	(259)	-	-	27,171,081	MF00280877	MF00280880	<i>Not Produced</i>
10/25/1994	W/H TAX DIV GE	(15,727)	-	(15,727)	-	-	27,155,354	MF00280877	MF00280880	<i>Not Produced</i>
10/28/1994	W/H TAX DIV DOW	(4,918)	-	(4,918)	-	-	27,150,436	MF00280877	MF00280880	<i>Not Produced</i>
11/1/1994	W/H TAX DIV BEL	(8,418)	-	(8,418)	-	-	27,142,018	MF00320371	MF00320373	<i>Not Produced</i>
11/1/1994	W/H TAX DIV BMY	(10,193)	-	(10,193)	-	-	27,131,825	MF00320371	MF00320373	<i>Not Produced</i>
11/1/1994	W/H TAX DIV S	(4,039)	-	(4,039)	-	-	27,127,786	MF00320371	MF00320373	<i>Not Produced</i>
11/1/1994	W/H TAX DIV T	(12,214)	-	(12,214)	-	-	27,115,572	MF00320371	MF00320373	<i>Not Produced</i>
11/1/1994	W/H TAX DIV AIT	(6,772)	-	(6,772)	-	-	27,108,800	MF00320371	MF00320373	<i>Not Produced</i>
11/15/1994	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(60)	-	(60)	-	-	27,108,741	MF00320371	MF00320373	<i>Not Produced</i>
11/17/1994	W/H TAX DIV CCI	(1,261)	-	(1,261)	-	-	27,107,480	MF00320371	MF00320373	<i>Not Produced</i>
12/1/1994	W/H TAX DIV INTC	(538)	-	(538)	-	-	27,106,942	MF00227634	MF00227639	<i>Not Produced</i>
12/1/1994	W/H TAX DIV F	(5,537)	-	(5,537)	-	-	27,101,405	MF00227634	MF00227639	<i>Not Produced</i>
12/6/1994	W/H TAX DIV JNJ	(4,063)	-	(4,063)	-	-	27,097,342	MF00227634	MF00227639	<i>Not Produced</i>
12/9/1994	W/H TAX DIV MCIC	(350)	-	(350)	-	-	27,096,992	MF00227634	MF00227639	<i>Not Produced</i>
12/12/1994	W/H TAX DIV AN	(5,856)	-	(5,856)	-	-	27,091,136	MF00227634	MF00227639	<i>Not Produced</i>
12/12/1994	W/H TAX DIV IBM	(3,082)	-	(3,082)	-	-	27,088,053	MF00227634	MF00227639	<i>Not Produced</i>
12/12/1994	W/H TAX DIV GM	(3,250)	-	(3,250)	-	-	27,084,803	MF00227634	MF00227639	<i>Not Produced</i>
12/12/1994	W/H TAX DIV MMM	(3,950)	-	(3,950)	-	-	27,080,853	MF00227634	MF00227639	<i>Not Produced</i>
12/12/1994	W/H TAX DIV MOB	(7,621)	-	(7,621)	-	-	27,073,232	MF00227634	MF00227639	<i>Not Produced</i>
12/12/1994	W/H TAX DIV XON	(19,754)	-	(19,754)	-	-	27,053,478	MF00227634	MF00227639	<i>Not Produced</i>
12/14/1994	W/H TAX DIV DD	(8,165)	-	(8,165)	-	-	27,045,313	MF00227634	MF00227639	<i>Not Produced</i>
12/14/1994	W/H TAX DIV BAC	(3,142)	-	(3,142)	-	-	27,042,171	MF00227634	MF00227639	<i>Not Produced</i>
12/15/1994	W/H TAX DIV KO	(5,470)	-	(5,470)	-	-	27,036,701	MF00227634	MF00227639	<i>Not Produced</i>
12/15/1994	W/H TAX DIV ARC	(4,623)	-	(4,623)	-	-	27,032,078	MF00227634	MF00227639	<i>Not Produced</i>
12/15/1994	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(10)	-	(10)	-	-	27,032,068	MF00227634	MF00227639	<i>Not Produced</i>
12/16/1994	W/H TAX DIV MCD	(909)	-	(909)	-	-	27,031,159	MF00227634	MF00227639	<i>Not Produced</i>
12/16/1994	W/H TAX DIV AIG	(774)	-	(774)	-	-	27,030,385	MF00227634	MF00227639	<i>Not Produced</i>
1/3/1995	W/H TAX DIV EK	(2,918)	-	(2,918)	-	-	27,027,467	MF0022937	MF0022942	<i>Not Produced</i>
1/3/1995	W/H TAX DIV PEP	(3,029)	-	(3,029)	-	-	27,024,438	MF0022937	MF0022942	<i>Not Produced</i>
1/3/1995	W/H TAX DIV S	(2,917)	-	(2,917)	-	-	27,021,521	MF0022937	MF0022942	<i>Not Produced</i>
1/3/1995	W/H TAX DIV MRK	(8,247)	-	(8,247)	-	-	27,013,274	MF0022937	MF0022942	<i>Not Produced</i>
1/5/1995	W/H TAX DIV WMT	(2,051)	-	(2,051)	-	-	27,011,224	MF0022937	MF0022942	<i>Not Produced</i>
1/13/1995	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(4)	-	(4)	-	-	27,011,219	MF0022937	MF0022942	<i>Not Produced</i>
1/30/1995	W/H TAX DIV GE	(8,055)	-	(8,055)	-	-	27,003,164	MF0022937	MF0022942	<i>Not Produced</i>
1/31/1995	W/H TAX DIV DOW	(1,948)	-	(1,948)	-	-	27,001,216	MF0022937	MF0022942	<i>Not Produced</i>
2/1/1995	W/H TAX DIV ATT	(3,164)	-	(3,164)	-	-	26,998,053	MF00188534	MF00188535	<i>Not Produced</i>
2/1/1995	W/H TAX DIV BEL	(3,676)	-	(3,676)	-	-	26,994,376	MF00188534	MF00188535	<i>Not Produced</i>
2/1/1995	W/H TAX DIV T	(6,154)	-	(6,154)	-	-	26,988,223	MF00188534	MF00188535	<i>Not Produced</i>
2/1/1995	W/H TAX DIV BMY	(4,682)	-	(4,682)	-	-	26,983,541	MF00188534	MF00188535	<i>Not Produced</i>
2/10/1995	W/H TAX DIV AXP	(1,349)	-	(1,349)	-	-	26,982,192	MF00188534	MF00188535	<i>Not Produced</i>
2/13/1995	FIDELITY CASH RSERVES SBI W/H TAX DIV FCRXX	(16)	-	(16)	-	-	26,982,175	MF00188534	MF00188535	<i>Not Produced</i>
2/17/1995	W/H TAX DIV DIS	(475)	-	(475)	-	-	26,981,701	MF00188534	MF00188535	<i>Not Produced</i>
2/17/1995	W/H TAX DIV CCI	(2,444)	-	(2,444)	-	-	26,979,257	MF00188534	MF00188535	<i>Not Produced</i>
3/1/1995	W/H TAX DIV INTC	(559)	-	(559)	-	-	26,978,698	MF00180697	MF00180700	<i>Not Produced</i>
3/1/1995	W/H TAX DIV F	(5,901)	-	(5,901)	-	-	26,972,796	MF00180697	MF00180700	<i>Not Produced</i>
3/3/1995	W/H TAX DIV CA	(1,746)	-	(1,746)	-	-	26,971,050	MF00180697	MF00180700	<i>Not Produced</i>
3/6/1995	W/H TAX DIV SO	(4,438)	-	(4,438)	-	-	26,966,613	MF00180697	MF00180700	<i>Not Produced</i>
3/7/1995	W/H TAX DIV JNJ	(3,882)	-	(3,882)	-	-	26,962,731	MF00180697	MF00180700	<i>Not Produced</i>
3/10/1995	W/H TAX DIV IBM	(3,056)	-	(3,056)	-	-	26,959,675	MF00180697	MF00180700	<i>Not Produced</i>
3/10/1995	W/H TAX DIV GM	(3,259)	-	(3,259)	-	-	26,956,416	MF00180697	MF00180700	<i>Not Produced</i>

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Amount as Reported in Customer Statement	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: Dates Ref
3/10/1995	W/H TAX DIV XON	(20,079)	-	(20,079)	-	-	26,936,337	MF00180697	MF00180700	<i>Not Produced</i>
3/10/1995	W/H TAX DIV MOB	(7,421)	-	(7,421)	-	-	26,928,916	MF00180697	MF00180700	<i>Not Produced</i>
3/10/1995	W/H TAX DIV AM	(6,286)	-	(6,286)	-	-	26,922,631	MF00180697	MF00180700	<i>Not Produced</i>
3/13/1995	W/H TAX DIV MMM	(4,103)	-	(4,103)	-	-	26,918,528	MF00180697	MF00180700	<i>Not Produced</i>
3/14/1995	W/H TAX DIV BAC	(3,480)	-	(3,480)	-	-	26,915,047	MF00180697	MF00180700	<i>Not Produced</i>
3/14/1995	W/H TAX DIV DD	(6,839)	-	(6,839)	-	-	26,908,209	MF00180697	MF00180700	<i>Not Produced</i>
3/15/1995	W/H TAX DIV ARC	(4,802)	-	(4,802)	-	-	26,903,407	MF00180697	MF00180700	<i>Not Produced</i>
3/15/1995	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(36)	-	(36)	-	-	26,903,371	MF00180697	MF00180700	<i>Not Produced</i>
3/17/1995	W/H TAX DIV MCD	(908)	-	(908)	-	-	26,902,463	MF00180697	MF00180700	<i>Not Produced</i>
3/31/1995	W/H TAX DIV PEP	(2,933)	-	(2,933)	-	-	26,899,530	MF00180697	MF00180700	<i>Not Produced</i>
4/3/1995	W/H TAX DIV AIG	(736)	-	(736)	-	-	26,898,794	MF00182695	MF00182698	<i>Not Produced</i>
4/3/1995	W/H TAX DIV KO	(6,146)	-	(6,146)	-	-	26,892,648	MF00182695	MF00182698	<i>Not Produced</i>
4/3/1995	W/H TAX DIV EK	(2,794)	-	(2,794)	-	-	26,889,854	MF00182695	MF00182698	<i>Not Produced</i>
4/3/1995	W/H TAX DIV MRK	(8,032)	-	(8,032)	-	-	26,881,823	MF00182695	MF00182698	<i>Not Produced</i>
4/3/1995	W/H TAX DIV S	(3,026)	-	(3,026)	-	-	26,878,796	MF00182695	MF00182698	<i>Not Produced</i>
4/7/1995	W/H TAX DIV SLB	(1,571)	-	(1,571)	-	-	26,877,225	MF00182695	MF00182698	<i>Not Produced</i>
4/12/1995	W/H TAX DIV HWP	(1,571)	-	(1,571)	-	-	26,875,653	MF00182695	MF00182698	<i>Not Produced</i>
4/17/1995	W/H TAX DIV WMT	(2,561)	-	(2,561)	-	-	26,873,093	MF00182695	MF00182698	<i>Not Produced</i>
4/17/1995	W/H TAX DIV C	(3,026)	-	(3,026)	-	-	26,870,066	MF00182695	MF00182698	<i>Not Produced</i>
4/24/1995	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(109)	-	(109)	-	-	26,869,957	MF00182695	MF00182698	<i>Not Produced</i>
4/25/1995	W/H TAX DIV GE	(14,079)	-	(14,079)	-	-	26,855,879	MF00182695	MF00182698	<i>Not Produced</i>
4/28/1995	W/H TAX DIV DOW	(3,422)	-	(3,422)	-	-	26,852,457	MF00182695	MF00182698	<i>Not Produced</i>
5/1/1995	W/H TAX DIV BMY	(8,220)	-	(8,220)	-	-	26,844,237	MF00189955	MF00189957	<i>Not Produced</i>
5/1/1995	W/H TAX DIV AIT	(5,555)	-	(5,555)	-	-	26,838,681	MF00189955	MF00189957	<i>Not Produced</i>
5/1/1995	W/H TAX DIV T	(10,805)	-	(10,805)	-	-	26,827,876	MF00189955	MF00189957	<i>Not Produced</i>
5/1/1995	W/H TAX DIV DEL	(6,549)	-	(6,549)	-	-	26,821,327	MF00189955	MF00189957	<i>Not Produced</i>
5/17/1995	W/H TAX DIV CCI	(2,322)	-	(2,322)	-	-	26,819,005	MF00189955	MF00189957	<i>Not Produced</i>
5/19/1995	W/H TAX DIV DIS	(1,000)	-	(1,000)	-	-	26,818,006	MF00189955	MF00189957	<i>Not Produced</i>
5/23/1995	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(148)	-	(148)	-	-	26,817,858	MF00189955	MF00189957	<i>Not Produced</i>
6/1/1995	W/H TAX DIV F	(6,398)	-	(6,398)	-	-	26,811,459	MF00164020	MF00164023	<i>Not Produced</i>
6/1/1995	W/H TAX DIV INTC	(495)	-	(495)	-	-	26,810,964	MF00164020	MF00164023	<i>Not Produced</i>
6/2/1995	W/H TAX DIV BA	(1,677)	-	(1,677)	-	-	26,809,287	MF00164020	MF00164023	<i>Not Produced</i>
6/6/1995	W/H TAX DIV SO	(3,935)	-	(3,935)	-	-	26,805,353	MF00164020	MF00164023	<i>Not Produced</i>
6/6/1995	W/H TAX DIV JNJ	(4,257)	-	(4,257)	-	-	26,801,096	MF00164020	MF00164023	<i>Not Produced</i>
6/12/1995	W/H TAX DIV GM	(4,489)	-	(4,489)	-	-	26,796,606	MF00164020	MF00164023	<i>Not Produced</i>
6/12/1995	W/H TAX DIV IBM	(2,967)	-	(2,967)	-	-	26,793,639	MF00164020	MF00164023	<i>Not Produced</i>
6/12/1995	W/H TAX DIV DD	(5,635)	-	(5,635)	-	-	26,788,005	MF00164020	MF00164023	<i>Not Produced</i>
6/12/1995	W/H TAX DIV AN	(5,882)	-	(5,882)	-	-	26,782,122	MF00164020	MF00164023	<i>Not Produced</i>
6/12/1995	W/H TAX DIV XON	(18,576)	-	(18,576)	-	-	26,763,546	MF00164020	MF00164023	<i>Not Produced</i>
6/12/1995	W/H TAX DIV MMM	(3,880)	-	(3,880)	-	-	26,759,666	MF00164020	MF00164023	<i>Not Produced</i>
6/12/1995	W/H TAX DIV MOB	(7,160)	-	(7,160)	-	-	26,752,506	MF00164020	MF00164023	<i>Not Produced</i>
6/14/1995	W/H TAX DIV BAC	(3,323)	-	(3,323)	-	-	26,749,183	MF00164020	MF00164023	<i>Not Produced</i>
6/15/1995	W/H TAX DIV ARC	(4,257)	-	(4,257)	-	-	26,744,926	MF00164020	MF00164023	<i>Not Produced</i>
6/16/1995	W/H TAX DIV MCD	(944)	-	(944)	-	-	26,743,982	MF00164020	MF00164023	<i>Not Produced</i>
6/16/1995	W/H TAS DIV AIG	(715)	-	(715)	-	-	26,743,267	MF00164020	MF00164023	<i>Not Produced</i>
6/19/1995	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(111)	-	(111)	-	-	26,743,156	MF00164020	MF00164023	<i>Not Produced</i>
6/23/1995	W/H TAX DIV MCIC	(350)	-	(350)	-	-	26,742,806	MF00164020	MF00164023	<i>Not Produced</i>
6/30/1995	W/H TAX DIV PEP	(3,213)	-	(3,213)	-	-	26,739,593	MF00164020	MF00164023	<i>Not Produced</i>
7/3/1995	W/H TAX EK	(2,695)	-	(2,695)	-	-	26,736,898	MF00175355	MF00175356	<i>Not Produced</i>
7/3/1995	W/H TAX DIV SLB	(1,749)	-	(1,749)	-	-	26,735,149	MF00175355	MF00175356	<i>Not Produced</i>
7/3/1995	W/H TAX DIV KO	(5,700)	-	(5,700)	-	-	26,729,449	MF00175355	MF00175356	<i>Not Produced</i>
7/3/1995	W/H TAX DIV MRK	(7,772)	-	(7,772)	-	-	26,721,677	MF00175355	MF00175356	<i>Not Produced</i>
7/10/1995	W/H TAX WMT	(2,357)	-	(2,357)	-	-	26,719,319	MF00175355	MF00175356	<i>Not Produced</i>
7/14/1995	W/H TAX DIV C	(3,627)	-	(3,627)	-	-	26,715,693	MF00175355	MF00175356	<i>Not Produced</i>
7/19/1995	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(200)	-	(200)	-	-	26,715,492	MF00175355	MF00175356	<i>Not Produced</i>
7/24/1995	TRANS TO 1A0058-30 (1A0058)	(1,780,000)	-	-	-	(1,780,000)	24,935,492	MF00175355	MF00175356	<i>Not Produced</i>
7/25/1995	W/H TAX DIV GE	(14,293)	-	(14,293)	-	-	24,921,199	MF00175355	MF00175356	<i>Not Produced</i>
7/28/1995	W/H TAX DIV DOW	(3,905)	-	(3,905)	-	-	24,917,294	MF00175355	MF00175356	<i>Not Produced</i>
8/1/1995	W/H TAX DIV BEL	(6,190)	-	(6,190)	-	-	24,911,104	MF00136647	MF00136648	<i>Not Produced</i>
8/1/1995	W/H TAX DIV BMY	(7,701)	-	(7,701)	-	-	24,903,403	MF00136647	MF00136648	<i>Not Produced</i>
8/1/1995	W/H TAX DIV AIT	(5,464)	-	(5,464)	-	-	24,897,939	MF00136647	MF00136648	<i>Not Produced</i>
8/1/1995	W/H TAX DIV T	(10,476)	-	(10,476)	-	-	24,887,463	MF00136647	MF00136648	<i>Not Produced</i>
8/3/1995	TRANS TO 1A0058-30 (1A0058)	(4,000,000)	-	-	-	(4,000,000)	20,887,463	MF00136647	MF00136648	<i>Not Produced</i>
8/10/1995	W/H TAX DIV AXP	(2,225)	-	(2,225)	-	-	20,885,239	MF00136647	MF00136648	<i>Not Produced</i>
8/16/1995	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(76)	-	(76)	-	-	20,885,163	MF00136647	MF00136648	<i>Not Produced</i>
8/17/1995	W/H TAX DIV CCI	(2,343)	-	(2,343)	-	-	20,882,820	MF00136647	MF00136648	<i>Not Produced</i>
8/18/1995	W/H TAX DIV DIS	(937)	-	(937)	-	-	20,881,883	MF00136647	MF00136648	<i>Not Produced</i>

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Amount as Reported in Customer Statement	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: Bates Ref
9/1/1995	W/H TAX DIV INTC	(666)	-	(666)	-	-	20,881,217	MF00126308	MF00126312	<i>Not Produced</i>
9/1/1995	W/H TAX DIV F	(6,452)	-	(6,452)	-	-	20,874,764	MF00126308	MF00126312	<i>Not Produced</i>
9/1/1995	W/H TAX DIV BA	(1,692)	-	(1,692)	-	-	20,873,072	MF00126308	MF00126312	<i>Not Produced</i>
9/5/1995	W/H TAX DIV JNJ	(4,293)	-	(4,293)	-	-	20,868,779	MF00126308	MF00126312	<i>Not Produced</i>
9/6/1995	W/H TAX DIV SO	(3,968)	-	(3,968)	-	-	20,864,812	MF00126308	MF00126312	<i>Not Produced</i>
9/11/1995	W/H TAX DIV AN	(5,933)	-	(5,933)	-	-	20,858,879	MF00126308	MF00126312	<i>Not Produced</i>
9/11/1995	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(42)	-	(42)	-	-	20,858,837	MF00126308	MF00126312	<i>Not Produced</i>
9/11/1995	W/H TAX DIV XON	(18,734)	-	(18,734)	-	-	20,840,104	MF00126308	MF00126312	<i>Not Produced</i>
9/11/1995	W/H TAX DIV GM	(4,528)	-	(4,528)	-	-	20,835,575	MF00126308	MF00126312	<i>Not Produced</i>
9/11/1995	W/H TAX DIV IBM	(2,992)	-	(2,992)	-	-	20,832,583	MF00126308	MF00126312	<i>Not Produced</i>
9/11/1995	W/H TAX DIV MOB	(7,220)	-	(7,220)	-	-	20,825,364	MF00126308	MF00126312	<i>Not Produced</i>
9/12/1995	W/H TAX DIV DD	(5,683)	-	(5,683)	-	-	20,819,681	MF00126308	MF00126312	<i>Not Produced</i>
9/12/1995	W/H TAX DIV MMM	(3,915)	-	(3,915)	-	-	20,815,766	MF00126308	MF00126312	<i>Not Produced</i>
9/15/1995	W/H TAX DIV ARC	(312)	-	(312)	-	-	20,815,454	MF00126308	MF00126312	<i>Not Produced</i>
9/15/1995	W/H TAX DIV MCD	(948)	-	(948)	-	-	20,814,506	MF00126308	MF00126312	<i>Not Produced</i>
9/15/1995	W/H TAX DIV ARC	(4,292)	-	(4,292)	-	-	20,810,214	MF00126308	MF00126312	<i>Not Produced</i>
9/22/1995	W/H TAX DIV AIG	(796)	-	(796)	-	-	20,809,417	MF00126308	MF00126312	<i>Not Produced</i>
9/29/1995	W/H TAX DIV PEP	(3,226)	-	(3,226)	-	-	20,806,192	MF00126308	MF00126312	<i>Not Produced</i>
10/2/1995	W/H TAX DIV SLB	(1,756)	-	(1,756)	-	-	20,804,435	MF00116376	MF00116379	<i>Not Produced</i>
10/2/1995	W/H TAX DIV MRK	(8,844)	-	(8,844)	-	-	20,795,591	MF00116376	MF00116379	<i>Not Produced</i>
10/2/1995	W/H TAX DIV KO	(5,737)	-	(5,737)	-	-	20,789,854	MF00116376	MF00116379	<i>Not Produced</i>
10/2/1995	TRANS FROM 1A005830 (IA0058)	500,000	-	-	500,000	-	21,289,854	MF00116376	MF00116379	<i>Not Produced</i>
10/3/1995	W/H TAX DIV WMT	(2,367)	-	(2,367)	-	-	21,287,487	MF00116376	MF00116379	<i>Not Produced</i>
10/4/1995	CHECK WIRE	10,000,000	10,000,000	-	-	-	31,287,487	MF00116376	MF00116379	<i>Not Produced</i>
10/16/1995	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(21)	-	(21)	-	-	31,287,465	MF00116376	MF00116379	<i>Not Produced</i>
10/25/1995	W/H TAX DIV GE	(12,645)	-	(12,645)	-	-	31,274,820	MF00116376	MF00116379	<i>Not Produced</i>
10/30/1995	W/H TAX DIV DOW	(3,584)	-	(3,584)	-	-	31,271,236	MF00116376	MF00116379	<i>Not Produced</i>
11/1/1995	W/H TAX DIV BEL	(6,165)	-	(6,165)	-	-	31,265,071	MF00110342	MF00110343	<i>Not Produced</i>
11/1/1995	W/H TAX DIV BMY	(7,644)	-	(7,644)	-	-	31,257,427	MF00110342	MF00110343	<i>Not Produced</i>
11/1/1995	W/H TAX DIV NYN	(4,908)	-	(4,908)	-	-	31,252,519	MF00110342	MF00110343	<i>Not Produced</i>
11/1/1995	W/H TAX DIV AIT	(4,996)	-	(4,996)	-	-	31,247,523	MF00110342	MF00110343	<i>Not Produced</i>
11/1/1995	W/H TAX DIV T	(9,461)	-	(9,461)	-	-	31,238,062	MF00110342	MF00110343	<i>Not Produced</i>
11/10/1995	W/H TAX DIV AXP	(2,202)	-	(2,202)	-	-	31,235,860	MF00110342	MF00110343	<i>Not Produced</i>
11/17/1995	W/H TAX DIV DIS	(925)	-	(925)	-	-	31,234,936	MF00110342	MF00110343	<i>Not Produced</i>
11/17/1995	W/H TAX DIV CCI	(2,377)	-	(2,377)	-	-	31,232,559	MF00110342	MF00110343	<i>Not Produced</i>
11/20/1995	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(81)	-	(81)	-	-	31,232,478	MF00110342	MF00110343	<i>Not Produced</i>
12/1/1995	W/H TAX DIV INTC	(651)	-	(651)	-	-	31,231,826	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/1/1995	W/H TAX DIV F	(7,534)	-	(7,534)	-	-	31,224,293	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/1/1995	W/H TAX DIV BA	(1,707)	-	(1,707)	-	-	31,222,585	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/5/1995	W/H TAX DIV JNJ	(4,237)	-	(4,237)	-	-	31,218,348	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/8/1995	W/H TAX DIV MCIC	(340)	-	(340)	-	-	31,218,008	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/11/1995	W/H TAX DIV AN	(5,933)	-	(5,933)	-	-	31,212,075	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/11/1995	W/H TAX DIV XON	(18,847)	-	(18,847)	-	-	31,193,228	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/11/1995	W/H TAX DIV GM	(4,425)	-	(4,425)	-	-	31,188,803	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/11/1995	W/H TAX DIV MOB	(7,328)	-	(7,328)	-	-	31,181,475	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/11/1995	W/H TAX DIV IBM	(2,827)	-	(2,827)	-	-	31,178,647	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/12/1995	W/H TAX DIV MMM	(3,954)	-	(3,954)	-	-	31,174,693	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/14/1995	W/H TAX DIV DD	(5,852)	-	(5,852)	-	-	31,168,841	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/14/1995	W/H TAX DIV BAC	(3,418)	-	(3,418)	-	-	31,165,423	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/15/1995	W/H TAX DIV MCD	(933)	-	(933)	-	-	31,164,490	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/15/1995	W/H TAX DIV KO	(5,744)	-	(5,744)	-	-	31,158,746	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/15/1995	W/H TAX DIV ARC	(20)	-	(20)	-	-	31,158,726	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/22/1995	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(3)	-	(3)	-	-	31,158,722	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
12/22/1995	W/H TAX DIV AIG	(799)	-	(799)	-	-	31,157,924	MDPTPP02969003	MDPTPP02969009	<i>Not Produced</i>
1/2/1996	W/H TAX DIV PEP	(3,256)	-	(3,256)	-	-	31,154,668	MDPTPP02969011	MDPTPP02969019	<i>Not Produced</i>
1/2/1996	W/H TAX DIV MRK	(8,748)	-	(8,748)	-	-	31,145,920	MDPTPP02969011	MDPTPP02969019	<i>Not Produced</i>
1/2/1996	W/H TAX DIV EK	(2,753)	-	(2,753)	-	-	31,143,168	MDPTPP02969011	MDPTPP02969019	<i>Not Produced</i>
1/5/1996	W/H TAX DIV WMT	(2,330)	-	(2,330)	-	-	31,140,838	MDPTPP02969011	MDPTPP02969019	<i>Not Produced</i>
1/12/1996	W/H TAX DIV C	(4,425)	-	(4,425)	-	-	31,136,413	MDPTPP02969011	MDPTPP02969019	<i>Not Produced</i>
1/18/1996	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(3)	-	(3)	-	-	31,136,409	MDPTPP02969011	MDPTPP02969019	<i>Not Produced</i>
1/26/1996	TRANS TO 1A005830 (IA0058)	(304,000)	-	-	(304,000)	-	30,832,409	MDPTPP02969011	MDPTPP02969019	<i>Not Produced</i>
2/20/1996	W/H TAX DIV CCI	(3,752)	-	(3,752)	-	-	30,828,657	MDPTPP02969021	MDPTPP02969028	<i>Not Produced</i>
2/20/1996	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(33)	-	(33)	-	-	30,828,624	MDPTPP02969021	MDPTPP02969028	<i>Not Produced</i>
3/1/1996	W/H TAX DIV F	(7,206)	-	(7,206)	-	-	30,821,418	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
3/1/1996	W/H TAX DIV BA	(1,687)	-	(1,687)	-	-	30,819,731	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
3/1/1996	W/H TAX DIV COL	(250)	-	(250)	-	-	30,819,480	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
3/1/1996	W/H TAX DIV INTC	(636)	-	(636)	-	-	30,818,844	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Amount as Reported in Customer Statement	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: Bates Ref
3/11/1996	W/H TAX DIV GM	(5,649)	-	(5,649)	-	-	30,813,195	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
3/11/1996	W/H TAX DIV MOB	(7,135)	-	(7,135)	-	-	30,806,059	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
3/11/1996	W/H TAX DIV IBM	(2,772)	-	(2,772)	-	-	30,803,287	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
3/11/1996	W/H TAX DIV AN	(6,047)	-	(6,047)	-	-	30,797,241	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
3/11/1996	W/H TAX DIV XON	(17,824)	-	(17,824)	-	-	30,779,416	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
3/12/1996	W/H TAX DIV BAC	(3,905)	-	(3,905)	-	-	30,775,511	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
3/12/1996	W/H TAX DIV JNJ	(4,325)	-	(4,325)	-	-	30,771,186	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
3/14/1996	W/H TAX DIV DD	(5,515)	-	(5,515)	-	-	30,765,671	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
3/15/1996	W/H TAX DIV ARC	(3,977)	-	(3,977)	-	-	30,761,694	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
3/15/1996	W/H TAX DIV MCD	(167)	-	(167)	-	-	30,761,527	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
3/21/1996	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(30)	-	(30)	-	-	30,761,498	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
3/22/1996	W/H TAX DIV AIG	(435)	-	(435)	-	-	30,761,062	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
3/29/1996	W/H TAX DIV PEP	(1,706)	-	(1,706)	-	-	30,759,356	MDPTPP02969030	MDPTPP02969039	<i>Not Produced</i>
4/1/1996	W/H TAX DIV EK	(476)	-	(476)	-	-	30,758,880	MDPTPP02969042	MDPTPP02969047	<i>Not Produced</i>
4/1/1996	W/H TAX DIV S	(316)	-	(316)	-	-	30,758,565	MDPTPP02969042	MDPTPP02969047	<i>Not Produced</i>
4/1/1996	W/H TAX DIV KO	(5,696)	-	(5,696)	-	-	30,752,869	MDPTPP02969042	MDPTPP02969047	<i>Not Produced</i>
4/1/1996	W/H TAX DIV MRK	(4,576)	-	(4,576)	-	-	30,748,293	MDPTPP02969042	MDPTPP02969047	<i>Not Produced</i>
4/2/1996	W/H TAX DIV C	(4,165)	-	(4,165)	-	-	30,744,128	MDPTPP02969042	MDPTPP02969047	<i>Not Produced</i>
4/8/1996	W/H TAX DIV WMT	(2,210)	-	(2,210)	-	-	30,741,918	MDPTPP02969042	MDPTPP02969047	<i>Not Produced</i>
4/10/1996	W/H TAX DIV HWP	(1,876)	-	(1,876)	-	-	30,740,042	MDPTPP02969042	MDPTPP02969047	<i>Not Produced</i>
4/16/1996	TRANS TO 1A005830 (IA0058)	(500,000)	-	-	(500,000)	-	30,240,042	MDPTPP02969042	MDPTPP02969047	<i>Not Produced</i>
4/17/1996	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(28)	-	(28)	-	-	30,240,014	MDPTPP02969042	MDPTPP02969047	<i>Not Produced</i>
4/25/1996	W/H TAX DIV GE	(2,736)	-	(2,736)	-	-	30,237,278	MDPTPP02969042	MDPTPP02969047	<i>Not Produced</i>
4/30/1996	W/H TAX DIV DOW	(3,564)	-	(3,564)	-	-	30,233,714	MDPTPP02969042	MDPTPP02969047	<i>Not Produced</i>
5/1/1996	W/H TAX DIV BEL	(5,679)	-	(5,679)	-	-	30,228,035	MDPTPP02969050	MDPTPP02969058	<i>Not Produced</i>
5/1/1996	W/H TAX DIV NYN	(4,486)	-	(4,486)	-	-	30,223,549	MDPTPP02969050	MDPTPP02969058	<i>Not Produced</i>
5/1/1996	W/H TAX DIV BMY	(7,055)	-	(7,055)	-	-	30,216,495	MDPTPP02969050	MDPTPP02969058	<i>Not Produced</i>
5/1/1996	W/H TAX DIV AIT	(5,289)	-	(5,289)	-	-	30,211,206	MDPTPP02969050	MDPTPP02969058	<i>Not Produced</i>
5/1/1996	W/H TAX DIV T	(9,657)	-	(9,657)	-	-	30,201,549	MDPTPP02969050	MDPTPP02969058	<i>Not Produced</i>
5/2/1996	W/H TAX DIV PNU	(2,490)	-	(2,490)	-	-	30,199,059	MDPTPP02969050	MDPTPP02969058	<i>Not Produced</i>
5/10/1996	W/H TAX DIV AXP	(2,030)	-	(2,030)	-	-	30,197,029	MDPTPP02969050	MDPTPP02969058	<i>Not Produced</i>
5/14/1996	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(42)	-	(42)	-	-	30,196,987	MDPTPP02969050	MDPTPP02969058	<i>Not Produced</i>
5/17/1996	W/H TAX DIV DIS	(1,050)	-	(1,050)	-	-	30,195,937	MDPTPP02969050	MDPTPP02969058	<i>Not Produced</i>
5/17/1996	W/H TAX DIV CCI	(3,992)	-	(3,992)	-	-	30,191,946	MDPTPP02969050	MDPTPP02969058	<i>Not Produced</i>
5/21/1996	W/H TAX DIV AIG	(738)	-	(738)	-	-	30,191,208	MDPTPP02969050	MDPTPP02969058	<i>Not Produced</i>
6/3/1996	AMERICAN INTL GROUP INC CXL W/H TAX 5/07/96 AIG	738	-	738	-	-	30,191,946	MDPTPP02969061	MDPTPP02969067	<i>Not Produced</i>
6/3/1996	W/H TAX DIV F	(6,983)	-	(6,983)	-	-	30,184,963	MDPTPP02969061	MDPTPP02969067	<i>Not Produced</i>
6/3/1996	W/H TAX DIV INTC	(617)	-	(617)	-	-	30,184,346	MDPTPP02969061	MDPTPP02969067	<i>Not Produced</i>
6/3/1996	W/H TAX DIV COL	(240)	-	(240)	-	-	30,184,105	MDPTPP02969061	MDPTPP02969067	<i>Not Produced</i>
6/7/1996	W/H TAX DIV BA	(841)	-	(841)	-	-	30,183,265	MDPTPP02969061	MDPTPP02969067	<i>Not Produced</i>
6/10/1996	W/H TAX DIV IBM	(3,780)	-	(3,780)	-	-	30,179,485	MDPTPP02969061	MDPTPP02969067	<i>Not Produced</i>
6/10/1996	W/H TAX DIV AN	(5,950)	-	(5,950)	-	-	30,173,535	MDPTPP02969061	MDPTPP02969067	<i>Not Produced</i>
6/10/1996	W/H TAX DIV MOB	(7,238)	-	(7,238)	-	-	30,166,297	MDPTPP02969061	MDPTPP02969067	<i>Not Produced</i>
6/11/1996	W/H TAX DIV JNJ	(2,282)	-	(2,282)	-	-	30,164,015	MDPTPP02969061	MDPTPP02969067	<i>Not Produced</i>
6/12/1996	W/H TAX DIV BAC	(1,746)	-	(1,746)	-	-	30,162,269	MDPTPP02969061	MDPTPP02969067	<i>Not Produced</i>
6/12/1996	W/H TAX DIV MMM	(3,268)	-	(3,268)	-	-	30,159,001	MDPTPP02969061	MDPTPP02969067	<i>Not Produced</i>
6/14/1996	W/H TAX DIV MCD	(904)	-	(904)	-	-	30,158,097	MDPTPP02969061	MDPTPP02969067	<i>Not Produced</i>
6/21/1996	W/H TAX DIV AIG	(670)	-	(670)	-	-	30,157,427	MDPTPP02969061	MDPTPP02969067	<i>Not Produced</i>
6/25/1996	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(121)	-	(121)	-	-	30,157,307	MDPTPP02969061	MDPTPP02969067	<i>Not Produced</i>
6/28/1996	W/H TAX DIV PEP	(3,198)	-	(3,198)	-	-	30,154,108	MDPTPP02969061	MDPTPP02969067	<i>Not Produced</i>
7/1/1996	W/H TAX DIV MRK	(7,407)	-	(7,407)	-	-	30,146,702	MDPTPP02969070	MDPTPP02969076	<i>Not Produced</i>
7/1/1996	W/H TAX DIV KO	(5,678)	-	(5,678)	-	-	30,141,024	MDPTPP02969070	MDPTPP02969076	<i>Not Produced</i>
7/1/1996	W/H TAX DIV WMT	(2,117)	-	(2,117)	-	-	30,138,907	MDPTPP02969070	MDPTPP02969076	<i>Not Produced</i>
7/5/1996	CHECK WIRE	9,339,000	9,339,000	-	-	-	39,477,907	MDPTPP02969070	MDPTPP02969076	<i>Not Produced</i>
7/5/1996	W/H TAX DIV SLB	(1,564)	-	(1,564)	-	-	39,476,343	MDPTPP02969070	MDPTPP02969076	<i>Not Produced</i>
7/10/1996	W/H TAX DIV HWP	(2,225)	-	(2,225)	-	-	39,474,118	MDPTPP02969070	MDPTPP02969076	<i>Not Produced</i>
7/15/1996	W/H TAX DIV C	(4,542)	-	(4,542)	-	-	39,469,575	MDPTPP02969070	MDPTPP02969076	<i>Not Produced</i>
7/22/1996	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(25)	-	(25)	-	-	39,469,551	MDPTPP02969070	MDPTPP02969076	<i>Not Produced</i>
7/25/1996	CHECK WIRE	961,000	961,000	-	-	-	40,430,551	MDPTPP02969070	MDPTPP02969076	<i>Not Produced</i>
7/25/1996	W/H TAX DIV GE	(13,485)	-	(13,485)	-	-	40,417,065	MDPTPP02969070	MDPTPP02969076	<i>Not Produced</i>
7/30/1996	W/H TAX DIV DOW	(3,129)	-	(3,129)	-	-	40,413,937	MDPTPP02969070	MDPTPP02969076	<i>Not Produced</i>
8/1/1996	W/H TAX DIV EK	(2,410)	-	(2,410)	-	-	40,411,527	MDPTPP02969079	MDPTPP02969084	<i>Not Produced</i>
8/1/1996	W/H TAX DIV EK	(2,387)	-	(2,387)	-	-	40,409,139	MDPTPP02969079	MDPTPP02969084	<i>Not Produced</i>
8/1/1996	W/H TAX DIV T	(9,366)	-	(9,366)	-	-	40,399,773	MDPTPP02969079	MDPTPP02969084	<i>Not Produced</i>
8/1/1996	W/H TAX DIV AIT	(4,933)	-	(4,933)	-	-	40,394,840	MDPTPP02969079	MDPTPP02969084	<i>Not Produced</i>
8/1/1996	W/H TAX DIV BEL	(5,362)	-	(5,362)	-	-	40,389,478	MDPTPP02969079	MDPTPP02969084	<i>Not Produced</i>
8/1/1996	W/H TAX DIV BMY	(6,631)	-	(6,631)	-	-	40,382,847	MDPTPP02969079	MDPTPP02969084	<i>Not Produced</i>

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8/1/1996	W/H TAX DIV NYN	(4,393)	-	(4,393)	-	-	40,378,454	MDPTPP02969079	MDPTPP02969084	<i>Not Produced</i>
8/9/1996	W/H TAX DIV AXP	(1,885)	-	(1,885)	-	-	40,376,569	MDPTPP02969079	MDPTPP02969084	<i>Not Produced</i>
8/16/1996	W/H TAX DIV DIS	(1,280)	-	(1,280)	-	-	40,375,289	MDPTPP02969079	MDPTPP02969084	<i>Not Produced</i>
8/19/1996	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(16)	-	(16)	-	-	40,375,273	MDPTPP02969079	MDPTPP02969084	<i>Not Produced</i>
8/19/1996	W/H TAX DIV CCI	(4,562)	-	(4,562)	-	-	40,370,711	MDPTPP02969079	MDPTPP02969084	<i>Not Produced</i>
9/3/1996	W/H TAX DIV INTC	(893)	-	(893)	-	-	40,369,818	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/3/1996	W/H TAX DIV COL	(287)	-	(287)	-	-	40,369,530	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/3/1996	W/H TAX DIV F	(9,525)	-	(9,525)	-	-	40,360,006	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/6/1996	W/H TAX DIV BA	(2,057)	-	(2,057)	-	-	40,357,949	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/10/1996	W/H TAX DIV GM	(6,658)	-	(6,658)	-	-	40,351,291	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/10/1996	W/H TAX DIV JNJ	(5,530)	-	(5,530)	-	-	40,345,760	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/10/1996	W/H TAX DIV AN	(6,950)	-	(6,950)	-	-	40,338,810	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/10/1996	W/H TAX DIV XON	(20,866)	-	(20,866)	-	-	40,317,943	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/10/1996	W/H TAX DIV IBM	(4,264)	-	(4,264)	-	-	40,313,680	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/10/1996	W/H TAX DIV MOB	(8,463)	-	(8,463)	-	-	40,305,217	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/12/1996	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(7)	-	(7)	-	-	40,305,211	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/12/1996	W/H TAX DIV DD	(6,997)	-	(6,997)	-	-	40,298,213	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/12/1996	W/H TAX DIV BAC	(4,269)	-	(4,269)	-	-	40,293,945	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/13/1996	W/H TAX DIV ARC	(765)	-	(765)	-	-	40,293,180	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/13/1996	W/H TAX DIV MCD	(1,111)	-	(1,111)	-	-	40,292,069	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/20/1996	W/H TAX DIV AIG	(976)	-	(976)	-	-	40,291,093	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
9/27/1996	W/H TAX DIV PEP	(3,904)	-	(3,904)	-	-	40,287,189	MDPTPP02969087	MDPTPP02969093	<i>Not Produced</i>
10/1/1996	W/H TAX DIV MRK	(10,655)	-	(10,655)	-	-	40,276,534	MDPTPP02969095	MDPTPP02969099	<i>Not Produced</i>
10/1/1996	W/H TAX DIV KO	(6,900)	-	(6,900)	-	-	40,269,634	MDPTPP02969095	MDPTPP02969099	<i>Not Produced</i>
10/1/1996	W/H TAX DIV EK	(2,964)	-	(2,964)	-	-	40,266,670	MDPTPP02969095	MDPTPP02969099	<i>Not Produced</i>
10/7/1996	W/H TAX DIV WMT	(2,590)	-	(2,590)	-	-	40,264,080	MDPTPP02969095	MDPTPP02969099	<i>Not Produced</i>
10/15/1996	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(3)	-	(3)	-	-	40,264,076	MDPTPP02969095	MDPTPP02969099	<i>Not Produced</i>
10/15/1996	W/H TAX DIV C	(6)	-	(6)	-	-	40,264,071	MDPTPP02969095	MDPTPP02969099	<i>Not Produced</i>
11/1/1996	W/H TAX DIV T	(11,392)	-	(11,392)	-	-	40,252,679	MDPTPP02969102	MDPTPP02969106	<i>Not Produced</i>
11/8/1996	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(18)	-	(18)	-	-	40,252,661	MDPTPP02969102	MDPTPP02969106	<i>Not Produced</i>
11/19/1996	W/H TAX DIV CCI	(2,214)	-	(2,214)	-	-	40,250,447	MDPTPP02969102	MDPTPP02969106	<i>Not Produced</i>
12/2/1996	W/H TAX DIV F	(4,784)	-	(4,784)	-	-	40,245,663	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/2/1996	W/H TAX DIV INTC	(466)	-	(466)	-	-	40,245,197	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/6/1996	W/H TAX DIV BA	(1,983)	-	(1,983)	-	-	40,243,214	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/10/1996	W/H TAX DIV JNJ	(5,140)	-	(5,140)	-	-	40,238,074	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/10/1996	W/H TAX DIV IBM	(1,903)	-	(1,903)	-	-	40,236,171	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/10/1996	W/H TAX DIV AN	(3,366)	-	(3,366)	-	-	40,232,805	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/10/1996	W/H TAX DIV XON	(19,979)	-	(19,979)	-	-	40,212,826	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/10/1996	W/H TAX DIV GM	(6,074)	-	(6,074)	-	-	40,206,751	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/10/1996	W/H TAX DIV MOB	(4,142)	-	(4,142)	-	-	40,202,609	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/12/1996	W/H TAX DIV MMM	(4,213)	-	(4,213)	-	-	40,198,396	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/12/1996	W/H TAX DIV BAC	(3,964)	-	(3,964)	-	-	40,194,432	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/12/1996	W/H TAX DIV MTC	(1,786)	-	(1,786)	-	-	40,192,646	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/13/1996	W/H TAX DIV MCD	(1,063)	-	(1,063)	-	-	40,191,583	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/16/1996	W/H TAX DIV KO	(6,258)	-	(6,258)	-	-	40,185,326	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/16/1996	W/H TAX DIV DD	(6,631)	-	(6,631)	-	-	40,178,695	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/18/1996	FIDELITY CASH RESERVES SBI W/H TAX DIV FCRXX	(22)	-	(22)	-	-	40,178,672	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/20/1996	W/H TAX DIV AIG	(961)	-	(961)	-	-	40,177,711	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
12/30/1996	CHECK WIRE	(1,200,000)	-	(1,200,000)	-	-	38,977,711	MDPTPP02969109	MDPTPP02969114	<i>Not Produced</i>
1/2/1997	W/H TAX DIV MRK	(10,012)	-	(10,012)	-	-	38,967,699	MDPTPP02969116	MDPTPP02969123	<i>Not Produced</i>
1/2/1997	W/H TAX DIV PEP	(3,636)	-	(3,636)	-	-	38,964,063	MDPTPP02969116	MDPTPP02969123	<i>Not Produced</i>
1/2/1997	W/H TAX DIV EK	(2,832)	-	(2,832)	-	-	38,961,230	MDPTPP02969116	MDPTPP02969123	<i>Not Produced</i>
1/7/1997	CHECK WIRE	(7,240,000)	-	(7,240,000)	-	-	31,721,230	MDPTPP02969116	MDPTPP02969123	<i>Not Produced</i>
1/10/1997	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	(1)	-	-	31,721,229	MDPTPP02969116	MDPTPP02969123	<i>Not Produced</i>
1/15/1997	W/H TAX DIV C	(5,616)	-	(5,616)	-	-	31,715,613	MDPTPP02969116	MDPTPP02969123	<i>Not Produced</i>
1/17/1997	W/H TAX DIV WMT	(2,443)	-	(2,443)	-	-	31,713,170	MDPTPP02969116	MDPTPP02969123	<i>Not Produced</i>
2/18/1997	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(60)	-	(60)	-	-	31,713,111	MDPTPP02969126	MDPTPP02969130	<i>Not Produced</i>
2/20/1997	W/H TAX DIV CCI	(4,549)	-	(4,549)	-	-	31,708,562	MDPTPP02969126	MDPTPP02969130	<i>Not Produced</i>
3/3/1997	W/H TAX DIV COL	(237)	-	(237)	-	-	31,708,325	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>
3/3/1997	W/H TAX DIV INTC	(730)	-	(730)	-	-	31,707,595	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>
3/3/1997	W/H TAX DIV F	(8,251)	-	(8,251)	-	-	31,699,344	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>
3/5/1997	CHECK WIRE	3,400,000	3,400,000	-	-	-	35,099,344	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>
3/7/1997	W/H TAX DIV BA	(1,788)	-	(1,788)	-	-	35,097,556	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>
3/10/1997	W/H TAX DIV AN	(6,384)	-	(6,384)	-	-	35,091,172	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>
3/10/1997	W/H TAX DIV XON	(17,652)	-	(17,652)	-	-	35,073,520	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>
3/10/1997	W/H TAX DIV GM	(6,612)	-	(6,612)	-	-	35,066,907	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>
3/10/1997	W/H TAX DIV IBM	(3,193)	-	(3,193)	-	-	35,063,715	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	End Dates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: Bates Ref
3/10/1997	W/H TAX DIV MOB	(7,734)	-	(7,734)	-	-	35,055,981	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>
3/11/1997	W/H TAX DIV JNJ	(4,509)	-	(4,509)	-	-	35,051,472	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>
3/11/1997	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(9)	-	(9)	-	-	35,051,464	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>
3/12/1997	W/H TAX DIV BAC	(3,897)	-	(3,897)	-	-	35,047,566	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>
3/12/1997	W/H TAX DIV MMM	(3,870)	-	(3,870)	-	-	35,043,696	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>
3/14/1997	W/H TAX DIV DD	(5,719)	-	(5,719)	-	-	35,037,978	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>
3/31/1997	W/H TAX DIV PEP	(955)	-	(955)	-	-	35,037,022	MDPTPP02969132	MDPTPP02969140	<i>Not Produced</i>
4/1/1997	W/H TAX DIV KO	(1,850)	-	(1,850)	-	-	35,035,173	MDPTPP02969143	MDPTPP02969149	<i>Not Produced</i>
4/4/1997	W/H TAX DIV SLB	(1,711)	-	(1,711)	-	-	35,033,462	MDPTPP02969143	MDPTPP02969149	<i>Not Produced</i>
4/9/1997	CHECK WIRE	3,500,000	3,500,000	-	-	-	38,533,462	MDPTPP02969143	MDPTPP02969149	<i>Not Produced</i>
4/9/1997	W/H TAX DIV WMT	(827)	-	(827)	-	-	38,532,634	MDPTPP02969143	MDPTPP02969149	<i>Not Produced</i>
4/15/1997	W/H TAX DIV C	(1,580)	-	(1,580)	-	-	38,531,054	MDPTPP02969143	MDPTPP02969149	<i>Not Produced</i>
4/16/1997	W/H TAX DIV HWP	(1,403)	-	(1,403)	-	-	38,529,652	MDPTPP02969143	MDPTPP02969149	<i>Not Produced</i>
4/24/1997	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(45)	-	(45)	-	-	38,529,606	MDPTPP02969143	MDPTPP02969149	<i>Not Produced</i>
5/1/1997	W/H TAX DIV BMY	(4,501)	-	(4,501)	-	-	38,525,106	MDPTPP02969151	MDPTPP02969155	<i>Not Produced</i>
5/1/1997	W/H TAX DIV AIT	(3,632)	-	(3,632)	-	-	38,521,474	MDPTPP02969151	MDPTPP02969155	<i>Not Produced</i>
5/1/1997	W/H TAX DIV T	(6,120)	-	(6,120)	-	-	38,515,354	MDPTPP02969151	MDPTPP02969155	<i>Not Produced</i>
5/1/1997	W/H TAX DIV BEL	(3,676)	-	(3,676)	-	-	38,511,678	MDPTPP02969151	MDPTPP02969155	<i>Not Produced</i>
5/9/1997	W/H TAX DIV AXP	(1,249)	-	(1,249)	-	-	38,510,429	MDPTPP02969151	MDPTPP02969155	<i>Not Produced</i>
5/12/1997	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(17)	-	(17)	-	-	38,510,412	MDPTPP02969151	MDPTPP02969155	<i>Not Produced</i>
5/16/1997	W/H TAX DIV DIS	(1,045)	-	(1,045)	-	-	38,509,367	MDPTPP02969151	MDPTPP02969155	<i>Not Produced</i>
5/19/1997	W/H TAX DIV CCI	(2,353)	-	(2,353)	-	-	38,507,014	MDPTPP02969151	MDPTPP02969155	<i>Not Produced</i>
5/23/1997	CHECK WIRE	1,900,000	1,900,000	-	-	-	40,407,014	MDPTPP02969151	MDPTPP02969155	<i>Not Produced</i>
6/2/1997	W/H TAX DIV COL	(134)	-	(134)	-	-	40,406,879	MDPTPP02969157	MDPTPP02969164	<i>Not Produced</i>
6/2/1997	W/H TAX DIV INT	(411)	-	(411)	-	-	40,406,468	MDPTPP02969157	MDPTPP02969164	<i>Not Produced</i>
6/2/1997	W/H TAX DIV F	(4,915)	-	(4,915)	-	-	40,401,553	MDPTPP02969157	MDPTPP02969164	<i>Not Produced</i>
6/10/1997	CHECK WIRE	2,000,000	2,000,000	-	-	-	42,401,553	MDPTPP02969157	MDPTPP02969164	<i>Not Produced</i>
6/10/1997	W/H TAX DIV IBM	(2,191)	-	(2,191)	-	-	42,399,362	MDPTPP02969157	MDPTPP02969164	<i>Not Produced</i>
6/10/1997	W/H TAX DIV MOB	(3,959)	-	(3,959)	-	-	42,395,403	MDPTPP02969157	MDPTPP02969164	<i>Not Produced</i>
6/10/1997	W/H TAX DIV AN	(3,312)	-	(3,312)	-	-	42,392,091	MDPTPP02969157	MDPTPP02969164	<i>Not Produced</i>
6/11/1997	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(39)	-	(39)	-	-	42,392,052	MDPTPP02969157	MDPTPP02969164	<i>Not Produced</i>
7/9/1997	CHECK WIRE	15,900,000	15,900,000	-	-	-	58,292,052	MDPTPP02969167	MDPTPP02969172	<i>Not Produced</i>
7/9/1997	W/H TAX DIV HWP	(2,549)	-	(2,549)	-	-	58,289,503	MDPTPP02969167	MDPTPP02969172	<i>Not Produced</i>
7/14/1997	W/H TAX DIV WMT	(2,820)	-	(2,820)	-	-	58,286,683	MDPTPP02969167	MDPTPP02969172	<i>Not Produced</i>
7/18/1997	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(43)	-	(43)	-	-	58,286,640	MDPTPP02969167	MDPTPP02969172	<i>Not Produced</i>
7/25/1997	W/H TAX DIV GE	(15,414)	-	(15,414)	-	-	58,271,225	MDPTPP02969167	MDPTPP02969172	<i>Not Produced</i>
8/1/1997	W/H TAX DIV BEL	(5,872)	-	(5,872)	-	-	58,265,353	MDPTPP02969174	MDPTPP02969181	<i>Not Produced</i>
8/1/1997	W/H TAX DIV T	(9,628)	-	(9,628)	-	-	58,255,725	MDPTPP02969174	MDPTPP02969181	<i>Not Produced</i>
8/1/1997	W/H TAX DIV AIT	(5,539)	-	(5,539)	-	-	58,250,186	MDPTPP02969174	MDPTPP02969181	<i>Not Produced</i>
8/1/1997	W/H TAX DIV BMY	(6,830)	-	(6,830)	-	-	58,243,357	MDPTPP02969174	MDPTPP02969181	<i>Not Produced</i>
8/5/1997	CHECK WIRE	4,000,000	4,000,000	-	-	-	62,243,357	MDPTPP02969174	MDPTPP02969181	<i>Not Produced</i>
8/8/1997	W/H TAX DIV AXP	(1,891)	-	(1,891)	-	-	62,241,466	MDPTPP02969174	MDPTPP02969181	<i>Not Produced</i>
8/20/1997	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(43)	-	(43)	-	-	62,241,423	MDPTPP02969174	MDPTPP02969181	<i>Not Produced</i>
8/22/1997	W/H TAX DIV DIS	(1,608)	-	(1,608)	-	-	62,239,815	MDPTPP02969174	MDPTPP02969181	<i>Not Produced</i>
9/12/1997	W/H TAX DIV MCD	(1,110)	-	(1,110)	-	-	62,238,705	MDPTPP02969183	MDPTPP02969187	<i>Not Produced</i>
9/12/1997	W/H TAX DIV MMM	(2,805)	-	(2,805)	-	-	62,235,900	MDPTPP02969183	MDPTPP02969187	<i>Not Produced</i>
9/19/1997	W/H TAX DIV AIG	(1,009)	-	(1,009)	-	-	62,234,891	MDPTPP02969183	MDPTPP02969187	<i>Not Produced</i>
9/23/1997	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(7)	-	(7)	-	-	62,234,883	MDPTPP02969183	MDPTPP02969187	<i>Not Produced</i>
9/26/1997	W/H TAX DIV NB	(4,613)	-	(4,613)	-	-	62,230,270	MDPTPP02969183	MDPTPP02969187	<i>Not Produced</i>
10/1/1997	W/H TAX DIV KO	(6,457)	-	(6,457)	-	-	62,223,813	MDPTPP02969190	MDPTPP02969194	<i>Not Produced</i>
10/1/1997	W/H TAX DIV S	(1,688)	-	(1,688)	-	-	62,222,126	MDPTPP02969190	MDPTPP02969194	<i>Not Produced</i>
10/1/1997	W/H TAX DIV MRK	(10,377)	-	(10,377)	-	-	62,211,748	MDPTPP02969190	MDPTPP02969194	<i>Not Produced</i>
10/7/1997	W/H TAX DIV PEP	(3,646)	-	(3,646)	-	-	62,208,102	MDPTPP02969190	MDPTPP02969194	<i>Not Produced</i>
10/8/1997	CHECK WIRE	3,500,000	3,500,000	-	-	-	65,708,102	MDPTPP02969190	MDPTPP02969194	<i>Not Produced</i>
10/10/1997	W/H TAX DIV SLB	(1,769)	-	(1,769)	-	-	65,706,334	MDPTPP02969190	MDPTPP02969194	<i>Not Produced</i>
10/14/1997	W/H TAX DIV WMT	(2,901)	-	(2,901)	-	-	65,703,433	MDPTPP02969190	MDPTPP02969194	<i>Not Produced</i>
10/15/1997	W/H TAX DIV HWP	(2,641)	-	(2,641)	-	-	65,700,791	MDPTPP02969190	MDPTPP02969194	<i>Not Produced</i>
10/15/1997	W/H TAX DIV C	(5,241)	-	(5,241)	-	-	65,695,550	MDPTPP02969190	MDPTPP02969194	<i>Not Produced</i>
10/22/1997	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(32)	-	(32)	-	-	65,695,518	MDPTPP02969190	MDPTPP02969194	<i>Not Produced</i>
10/27/1997	W/H TAX DIV GE	(15,855)	-	(15,855)	-	-	65,679,663	MDPTPP02969190	MDPTPP02969194	<i>Not Produced</i>
11/3/1997	W/H TAX DIV AIT	(5,939)	-	(5,939)	-	-	65,673,724	MDPTPP02969196	MDPTPP02969201	<i>Not Produced</i>
11/3/1997	W/H TAX DIV BMY	(7,191)	-	(7,191)	-	-	65,666,532	MDPTPP02969196	MDPTPP02969201	<i>Not Produced</i>
11/3/1997	W/H TAX DIV BEL	(11,334)	-	(11,334)	-	-	65,655,198	MDPTPP02969196	MDPTPP02969201	<i>Not Produced</i>
11/3/1997	W/H TAX DIV T	(10,117)	-	(10,117)	-	-	65,645,081	MDPTPP02969196	MDPTPP02969201	<i>Not Produced</i>
11/10/1997	W/H TAX DIV AXP	(2,011)	-	(2,011)	-	-	65,643,070	MDPTPP02969196	MDPTPP02969201	<i>Not Produced</i>
11/20/1997	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(16)	-	(16)	-	-	65,643,054	MDPTPP02969196	MDPTPP02969201	<i>Not Produced</i>
11/21/1997	W/H TAX DIV DIS	(1,672)	-	(1,672)	-	-	65,641,382	MDPTPP02969196	MDPTPP02969201	<i>Not Produced</i>

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12/12/1997	W/H TAX DIV MCD	(852)	-	(852)	-	-	65,640,530	MDPTPP02969204	MDPTPP02969208	<i>Not Produced</i>
12/15/1997	W/H TAX DIV KO	(5,205)	-	(5,205)	-	-	65,635,325	MDPTPP02969204	MDPTPP02969208	<i>Not Produced</i>
12/17/1997	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(73)	-	(73)	-	-	65,635,253	MDPTPP02969204	MDPTPP02969208	<i>Not Produced</i>
12/19/1997	W/H TAX DIV AIG	(775)	-	(775)	-	-	65,634,478	MDPTPP02969204	MDPTPP02969208	<i>Not Produced</i>
12/24/1997	W/H TAX DIV NB	(4,081)	-	(4,081)	-	-	65,630,397	MDPTPP02969204	MDPTPP02969208	<i>Not Produced</i>
1/2/1998	W/H TAX DIV MRK	(8,179)	-	(8,179)	-	-	65,622,217	MDPTPP02969210	MDPTPP02969216	<i>Not Produced</i>
1/2/1998	W/H TAX DIV PEP	(2,892)	-	(2,892)	-	-	65,619,326	MDPTPP02969210	MDPTPP02969216	<i>Not Produced</i>
1/8/1998	CHECK WIRE	20,000,000	20,000,000	-	-	-	85,619,326	MDPTPP02969210	MDPTPP02969216	<i>Not Produced</i>
1/9/1998	TRANS FROM 1A005830 (IA0058)	1,000,000	-	-	1,000,000	-	86,619,326	MDPTPP02969210	MDPTPP02969216	<i>Not Produced</i>
1/15/1998	W/H TAX DIV C	(3,966)	-	(3,966)	-	-	86,615,360	MDPTPP02969210	MDPTPP02969216	<i>Not Produced</i>
1/20/1998	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(3)	-	(3)	-	-	86,615,357	MDPTPP02969210	MDPTPP02969216	<i>Not Produced</i>
2/19/1998	W/H TAX DIV CCI	(4,146)	-	(4,146)	-	-	86,611,211	MDPTPP02969218	MDPTPP02969223	<i>Not Produced</i>
2/24/1998	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(39)	-	(39)	-	-	86,611,172	MDPTPP02969218	MDPTPP02969223	<i>Not Produced</i>
2/25/1998	W/H TAX DIV MER	(1,081)	-	(1,081)	-	-	86,610,091	MDPTPP02969218	MDPTPP02969223	<i>Not Produced</i>
3/2/1998	W/H TAX DIV INTC	(795)	-	(795)	-	-	86,609,295	MDPTPP02969225	MDPTPP02969233	<i>Not Produced</i>
3/2/1998	W/H TAX DIV F	(8,109)	-	(8,109)	-	-	86,601,187	MDPTPP02969225	MDPTPP02969233	<i>Not Produced</i>
3/6/1998	W/H TAX DIV BA	(3,131)	-	(3,131)	-	-	86,598,055	MDPTPP02969225	MDPTPP02969233	<i>Not Produced</i>
3/10/1998	W/H TAX DIV XON	(16,201)	-	(16,201)	-	-	86,581,854	MDPTPP02969225	MDPTPP02969233	<i>Not Produced</i>
3/10/1998	W/H TAX DIV GM	(8,077)	-	(8,077)	-	-	86,573,778	MDPTPP02969225	MDPTPP02969233	<i>Not Produced</i>
3/10/1998	W/H TAX DIV IBM	(3,064)	-	(3,064)	-	-	86,570,713	MDPTPP02969225	MDPTPP02969233	<i>Not Produced</i>
3/10/1998	W/H TAX DIV AN	(8,388)	-	(8,388)	-	-	86,562,326	MDPTPP02969225	MDPTPP02969233	<i>Not Produced</i>
3/10/1998	W/H TAX DIV JNJ	(6,561)	-	(6,561)	-	-	86,555,765	MDPTPP02969225	MDPTPP02969233	<i>Not Produced</i>
3/10/1998	W/H TAX DIV MOB	(7,192)	-	(7,192)	-	-	86,548,573	MDPTPP02969225	MDPTPP02969233	<i>Not Produced</i>
3/11/1998	W/H TAX DIV BAC	(5,394)	-	(5,394)	-	-	86,543,180	MDPTPP02969225	MDPTPP02969233	<i>Not Produced</i>
3/12/1998	W/H TAX DIV MMM	(4,784)	-	(4,784)	-	-	86,538,396	MDPTPP02969225	MDPTPP02969233	<i>Not Produced</i>
3/13/1998	W/H TAX DIV ARC	(3,853)	-	(3,853)	-	-	86,534,543	MDPTPP02969225	MDPTPP02969233	<i>Not Produced</i>
3/16/1998	W/H TAX DIV DD	(7,828)	-	(7,828)	-	-	86,526,715	MDPTPP02969225	MDPTPP02969233	<i>Not Produced</i>
3/17/1998	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(20)	-	(20)	-	-	86,526,695	MDPTPP02969225	MDPTPP02969233	<i>Not Produced</i>
4/3/1998	W/H TAX DIV SLB	(2,097)	-	(2,097)	-	-	86,524,598	MDPTPP02969236	MDPTPP02969240	<i>Not Produced</i>
4/6/1998	W/H TAX DIV WMT	(1,817)	-	(1,817)	-	-	86,522,781	MDPTPP02969236	MDPTPP02969240	<i>Not Produced</i>
4/13/1998	CHECK WIRE	(26,000,000)	-	(26,000,000)	-	-	60,522,781	MDPTPP02969236	MDPTPP02969240	<i>Not Produced</i>
4/15/1998	W/H TAX DIV HWP	(2,956)	-	(2,956)	-	-	60,519,825	MDPTPP02969236	MDPTPP02969240	<i>Not Produced</i>
4/22/1998	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(9)	-	(9)	-	-	60,519,816	MDPTPP02969236	MDPTPP02969240	<i>Not Produced</i>
5/1/1998	W/H TAX DIV T	(11,110)	-	(11,110)	-	-	60,508,706	MDPTPP02969242	MDPTPP02969252	<i>Not Produced</i>
5/1/1998	W/H TAX DIV BEL	(12,302)	-	(12,302)	-	-	60,496,404	MDPTPP02969242	MDPTPP02969252	<i>Not Produced</i>
5/1/1998	W/H TAX DIV BMY	(8,011)	-	(8,011)	-	-	60,488,393	MDPTPP02969242	MDPTPP02969252	<i>Not Produced</i>
5/1/1998	W/H TAX DIV AIT	(7,019)	-	(7,019)	-	-	60,481,374	MDPTPP02969242	MDPTPP02969252	<i>Not Produced</i>
5/8/1998	W/H TAX DIV AXP	(2,183)	-	(2,183)	-	-	60,479,191	MDPTPP02969242	MDPTPP02969252	<i>Not Produced</i>
5/19/1998	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(22)	-	(22)	-	-	60,479,170	MDPTPP02969242	MDPTPP02969252	<i>Not Produced</i>
5/22/1998	W/H TAX DIV DIS	(2,157)	-	(2,157)	-	-	60,477,013	MDPTPP02969242	MDPTPP02969252	<i>Not Produced</i>
6/5/1998	W/H TAX DIV BA	(2,460)	-	(2,460)	-	-	60,474,553	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
6/9/1998	W/H TAX DIV JNJ	(5,856)	-	(5,856)	-	-	60,468,697	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
6/10/1998	W/H TAX DIV XON	(11,885)	-	(11,885)	-	-	60,456,812	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
6/10/1998	W/H TAX DIV IBM	(1,277)	-	(1,277)	-	-	60,455,535	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
6/10/1998	W/H TAX DIV MOB	(2,574)	-	(2,574)	-	-	60,452,962	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
6/10/1998	W/H TAX DIV GM	(4,282)	-	(4,282)	-	-	60,448,679	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
6/10/1998	W/H TAX DIV AN	(8,642)	-	(8,642)	-	-	60,440,038	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
6/11/1998	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(3)	-	(3)	-	-	60,440,035	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
6/11/1998	W/H TAX DIV BAC	(4,262)	-	(4,262)	-	-	60,435,773	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
6/12/1998	W/H TAX DIV MMM	(3,758)	-	(3,758)	-	-	60,432,015	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
6/12/1998	W/H TAX DIV DD	(7,175)	-	(7,175)	-	-	60,424,840	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
6/12/1998	W/H TAX DIV MCD	(1,085)	-	(1,085)	-	-	60,423,755	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
6/19/1998	W/H TAX DIV AIG	(954)	-	(954)	-	-	60,422,801	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
6/26/1998	W/H TAX DIV NB	(6,318)	-	(6,318)	-	-	60,416,483	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
6/30/1998	W/H TAX DIV NT	(561)	-	(561)	-	-	60,415,922	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
6/30/1998	W/H TAX DIV PEP	(3,433)	-	(3,433)	-	-	60,412,489	MDPTPP02969255	MDPTPP02969260	<i>Not Produced</i>
7/1/1998	AMOCO CORP CANCEL W/H	8,642	-	8,642	-	-	60,421,131	MDPTPP02969262	MDPTPP02969267	<i>Not Produced</i>
7/1/1998	W/H TAX DIV MRK	(9,394)	-	(9,394)	-	-	60,411,736	MDPTPP02969262	MDPTPP02969267	<i>Not Produced</i>
7/1/1998	W/H TAX DIV KO	(6,553)	-	(6,553)	-	-	60,405,183	MDPTPP02969262	MDPTPP02969267	<i>Not Produced</i>
7/1/1998	AMOCO CORP W/H TAX DIV	(4,321)	-	(4,321)	-	-	60,400,862	MDPTPP02969262	MDPTPP02969267	<i>Not Produced</i>
7/8/1998	CHECK WIRE	10,000,000	10,000,000	-	-	-	70,400,862	MDPTPP02969262	MDPTPP02969267	<i>Not Produced</i>
7/10/1998	W/H TAX DIV SLB	(1,650)	-	(1,650)	-	-	70,399,212	MDPTPP02969262	MDPTPP02969267	<i>Not Produced</i>
7/13/1998	W/H TAX DIV WMT	(3,057)	-	(3,057)	-	-	70,396,155	MDPTPP02969262	MDPTPP02969267	<i>Not Produced</i>
7/15/1998	W/H TAX DIV HWP	(2,973)	-	(2,973)	-	-	70,393,182	MDPTPP02969262	MDPTPP02969267	<i>Not Produced</i>
7/15/1998	W/H TAX DIV C	(4,567)	-	(4,567)	-	-	70,388,615	MDPTPP02969262	MDPTPP02969267	<i>Not Produced</i>
7/22/1998	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(33)	-	(33)	-	-	70,388,581	MDPTPP02969262	MDPTPP02969267	<i>Not Produced</i>
7/27/1998	W/H TAX DIV GE	(17,214)	-	(17,214)	-	-	70,371,367	MDPTPP02969262	MDPTPP02969267	<i>Not Produced</i>

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	End Dates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: Bates Ref
8/3/1998	W/H TAX DIV BMY	(6,866)	-	(6,866)	-	-	70,364,502	MDPTPP02969269	MDPTPP02969276	<i>Not Produced</i>
8/3/1998	W/H TAX DIV AIT	(5,773)	-	(5,773)	-	-	70,358,729	MDPTPP02969269	MDPTPP02969276	<i>Not Produced</i>
8/3/1998	W/H TAX DIV T	(9,360)	-	(9,360)	-	-	70,349,369	MDPTPP02969269	MDPTPP02969276	<i>Not Produced</i>
8/3/1998	W/H TAX DIV BEL	(10,543)	-	(10,543)	-	-	70,338,826	MDPTPP02969269	MDPTPP02969276	<i>Not Produced</i>
8/5/1998	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(2)	-	(2)	-	-	70,338,824	MDPTPP02969269	MDPTPP02969276	<i>Not Produced</i>
8/10/1998	W/H TAX DIV AXP	(1,907)	-	(1,907)	-	-	70,336,916	MDPTPP02969269	MDPTPP02969276	<i>Not Produced</i>
9/4/1998	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(3)	-	(3)	-	-	70,336,914	MDPTPP02969279	MDPTPP02969290	<i>Not Produced</i>
9/11/1998	W/H TAX DIV MCD	(897)	-	(897)	-	-	70,336,016	MDPTPP02969279	MDPTPP02969290	<i>Not Produced</i>
9/30/1998	W/H TAX DIV PEP	(958)	-	(958)	-	-	70,335,058	MDPTPP02969279	MDPTPP02969290	<i>Not Produced</i>
10/15/1998	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(6)	-	(6)	-	-	70,335,052	MDPTPP02969293	MDPTPP02969301	<i>Not Produced</i>
11/23/1998	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(8)	-	(8)	-	-	70,335,044	MDPTPP02969304	MDPTPP02969311	<i>Not Produced</i>
12/1/1998	W/H TAX DIV MCD	(572)	-	(572)	-	-	70,334,472	MDPTPP02969314	MDPTPP02969322	<i>Not Produced</i>
12/15/1998	W/H TAX DIV KO	(3,377)	-	(3,377)	-	-	70,331,095	MDPTPP02969314	MDPTPP02969322	<i>Not Produced</i>
12/18/1998	W/H TAX DIV AIG	(533)	-	(533)	-	-	70,330,561	MDPTPP02969314	MDPTPP02969322	<i>Not Produced</i>
12/22/1998	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(8)	-	(8)	-	-	70,330,553	MDPTPP02969314	MDPTPP02969322	<i>Not Produced</i>
12/23/1998	W/H TAX DIV BAC	(7,144)	-	(7,144)	-	-	70,323,409	MDPTPP02969314	MDPTPP02969322	<i>Not Produced</i>
1/4/1999	W/H TAX DIV MRK	(5,988)	-	(5,988)	-	-	70,317,421	MDPTPP02969325	MDPTPP02969333	<i>Not Produced</i>
1/4/1999	W/H TAX DIV PEP	(1,754)	-	(1,754)	-	-	70,315,667	MDPTPP02969325	MDPTPP02969333	<i>Not Produced</i>
1/4/1999	W/H TAX DIV ONE	(4,022)	-	(4,022)	-	-	70,311,645	MDPTPP02969325	MDPTPP02969333	<i>Not Produced</i>
1/11/1999	W/H TAX DIV WMT	(1,558)	-	(1,558)	-	-	70,310,086	MDPTPP02969325	MDPTPP02969333	<i>Not Produced</i>
1/22/1999	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(2)	-	(2)	-	-	70,310,084	MDPTPP02969325	MDPTPP02969333	<i>Not Produced</i>
2/16/1999	W/H TAX DIV PG	(4,841)	-	(4,841)	-	-	70,305,243	MDPTPP02969335	MDPTPP02969341	<i>Not Produced</i>
2/16/1999	W/H TAX DIV TXN	(580)	-	(580)	-	-	70,304,663	MDPTPP02969335	MDPTPP02969341	<i>Not Produced</i>
2/24/1999	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(14)	-	(14)	-	-	70,304,649	MDPTPP02969335	MDPTPP02969341	<i>Not Produced</i>
2/26/1999	W/H TAX DIV C	(6,840)	-	(6,840)	-	-	70,297,810	MDPTPP02969335	MDPTPP02969341	<i>Not Produced</i>
3/1/1999	W/H TAX DIV INTC	(1,118)	-	(1,118)	-	-	70,296,692	MDPTPP02969343	MDPTPP02969355	<i>Not Produced</i>
3/1/1999	W/H TAX DIV F	(9,335)	-	(9,335)	-	-	70,287,357	MDPTPP02969343	MDPTPP02969355	<i>Not Produced</i>
3/1/1999	W/H TAX DIV WFC	(4,983)	-	(4,983)	-	-	70,282,374	MDPTPP02969343	MDPTPP02969355	<i>Not Produced</i>
3/3/1999	W/H TAX DIV BA	(2,253)	-	(2,253)	-	-	70,280,121	MDPTPP02969343	MDPTPP02969355	<i>Not Produced</i>
3/4/1999	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(16)	-	(16)	-	-	70,280,105	MDPTPP02969343	MDPTPP02969355	<i>Not Produced</i>
3/9/1999	W/H TAX DIV JNJ	(5,531)	-	(5,531)	-	-	70,274,574	MDPTPP02969343	MDPTPP02969355	<i>Not Produced</i>
3/10/1999	W/H TAX DIV IBM	(3,579)	-	(3,579)	-	-	70,270,995	MDPTPP02969343	MDPTPP02969355	<i>Not Produced</i>
3/10/1999	W/H TAX DIV XON	(10,715)	-	(10,715)	-	-	70,260,280	MDPTPP02969343	MDPTPP02969355	<i>Not Produced</i>
3/10/1999	W/H TAX DIV GM	(5,531)	-	(5,531)	-	-	70,254,750	MDPTPP02969343	MDPTPP02969355	<i>Not Produced</i>
3/15/1999	W/H TAX DIV DD	(6,548)	-	(6,548)	-	-	70,248,201	MDPTPP02969343	MDPTPP02969355	<i>Not Produced</i>
3/31/1999	W/H TAX DIV PEP	(3,070)	-	(3,070)	-	-	70,245,131	MDPTPP02969343	MDPTPP02969355	<i>Not Produced</i>
3/31/1999	W/H TAX DIV MCD	(1,029)	-	(1,029)	-	-	70,244,102	MDPTPP02969343	MDPTPP02969355	<i>Not Produced</i>
4/1/1999	W/H TAX DIV ONE	(8,018)	-	(8,018)	-	-	70,236,085	MDPTPP02969358	MDPTPP02969365	<i>Not Produced</i>
4/1/1999	W/H TAX DIV KO	(6,351)	-	(6,351)	-	-	70,229,734	MDPTPP02969358	MDPTPP02969365	<i>Not Produced</i>
4/5/1999	CHECK WIRE	11,314,600	11,314,600	-	-	-	81,544,334	MDPTPP02969358	MDPTPP02969365	<i>Not Produced</i>
4/12/1999	CHECK WIRE	18,600,000	18,600,000	-	-	-	100,144,334	MDPTPP02969358	MDPTPP02969365	<i>Not Produced</i>
4/14/1999	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(9)	-	(9)	-	-	100,144,325	MDPTPP02969358	MDPTPP02969365	<i>Not Produced</i>
4/14/1999	TRANS TO 1A005830 (IA0058)	(1,950,000)	-	-	-	(1,950,000)	98,194,325	MDPTPP02969358	MDPTPP02969365	<i>Not Produced</i>
4/19/1999	W/H TAX DIV WMT	(3,668)	-	(3,668)	-	-	98,190,657	MDPTPP02969358	MDPTPP02969365	<i>Not Produced</i>
4/26/1999	W/H TAX DIV GE	(3,250)	-	(3,250)	-	-	98,187,407	MDPTPP02969358	MDPTPP02969365	<i>Not Produced</i>
5/5/1999	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(16)	-	(16)	-	-	98,187,390	MDPTPP02969367	MDPTPP02969377	<i>Not Produced</i>
5/14/1999	W/H TAX DIV PG	(1,076)	-	(1,076)	-	-	98,186,315	MDPTPP02969367	MDPTPP02969377	<i>Not Produced</i>
5/24/1999	W/H TAX DIV TXN	(92)	-	(92)	-	-	98,186,223	MDPTPP02969367	MDPTPP02969377	<i>Not Produced</i>
5/28/1999	W/H TAX DIV C	(1,359)	-	(1,359)	-	-	98,184,865	MDPTPP02969367	MDPTPP02969377	<i>Not Produced</i>
6/1/1999	W/H TAX DIV WFC	(2,892)	-	(2,892)	-	-	98,181,973	MDPTPP02969380	MDPTPP02969386	<i>Not Produced</i>
6/1/1999	W/H TAX DIV F	(1,571)	-	(1,571)	-	-	98,180,402	MDPTPP02969380	MDPTPP02969386	<i>Not Produced</i>
6/1/1999	W/H TAX DIV INTC	(902)	-	(902)	-	-	98,179,500	MDPTPP02969380	MDPTPP02969386	<i>Not Produced</i>
6/1/1999	W/H TAX DIV LU	(288)	-	(288)	-	-	98,179,213	MDPTPP02969380	MDPTPP02969386	<i>Not Produced</i>
6/4/1999	W/H TAX DIV BA	(2,342)	-	(2,342)	-	-	98,176,871	MDPTPP02969380	MDPTPP02969386	<i>Not Produced</i>
6/8/1999	W/H TAX DIV JNJ	(6,410)	-	(6,410)	-	-	98,170,460	MDPTPP02969380	MDPTPP02969386	<i>Not Produced</i>
6/10/1999	W/H TAX DIV XON	(17,213)	-	(17,213)	-	-	98,153,247	MDPTPP02969380	MDPTPP02969386	<i>Not Produced</i>
6/10/1999	W/H TAX DIV IBM	(1,959)	-	(1,959)	-	-	98,151,288	MDPTPP02969380	MDPTPP02969386	<i>Not Produced</i>
6/10/1999	W/H TAX DIV GM	(5,639)	-	(5,639)	-	-	98,145,649	MDPTPP02969380	MDPTPP02969386	<i>Not Produced</i>
6/10/1999	W/H TAX DIV MOB	(7,774)	-	(7,774)	-	-	98,137,875	MDPTPP02969380	MDPTPP02969386	<i>Not Produced</i>
6/14/1999	W/H TAX DIV DD	(7,055)	-	(7,055)	-	-	98,130,819	MDPTPP02969380	MDPTPP02969386	<i>Not Produced</i>
6/16/1999	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(12)	-	(12)	-	-	98,130,807	MDPTPP02969380	MDPTPP02969386	<i>Not Produced</i>
7/12/1999	W/H TAX DIV WMT	(1,999)	-	(1,999)	-	-	98,128,808	MDPTPP02969388	MDPTPP02969396	<i>Not Produced</i>
7/12/1999	TRANS FROM 1A005830 (IA0058)	6,400,000	-	-	6,400,000	-	104,528,808	MDPTPP02969388	MDPTPP02969396	<i>Not Produced</i>
7/14/1999	W/H TAX DIV HWP	(1,462)	-	(1,462)	-	-	104,527,346	MDPTPP02969388	MDPTPP02969396	<i>Not Produced</i>
7/21/1999	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(13)	-	(13)	-	-	104,527,333	MDPTPP02969388	MDPTPP02969396	<i>Not Produced</i>
7/26/1999	W/H TAX DIV GE	(10,596)	-	(10,596)	-	-	104,516,737	MDPTPP02969388	MDPTPP02969396	<i>Not Produced</i>
8/2/1999	W/H TAX DIV BMY	(3,807)	-	(3,807)	-	-	104,512,930	MDPTPP02969398	MDPTPP02969406	<i>Not Produced</i>

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8/2/1999	W/H TAX DIV AIT	(3,083)	-	(3,083)	-	-	104,509,847	MDPTPP02969398	MDPTPP02969406	<i>Not Produced</i>
8/2/1999	W/H TAX DIV T	(6,283)	-	(6,283)	-	-	104,503,564	MDPTPP02969398	MDPTPP02969406	<i>Not Produced</i>
8/2/1999	W/H TAX DIV BEL	(5,498)	-	(5,498)	-	-	104,498,066	MDPTPP02969398	MDPTPP02969406	<i>Not Produced</i>
8/10/1999	W/H TAX DIV AXP	(900)	-	(900)	-	-	104,497,167	MDPTPP02969398	MDPTPP02969406	<i>Not Produced</i>
8/16/1999	W/H TAX DIV TXN	(125)	-	(125)	-	-	104,497,041	MDPTPP02969398	MDPTPP02969406	<i>Not Produced</i>
8/24/1999	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(89)	-	(89)	-	-	104,496,952	MDPTPP02969398	MDPTPP02969406	<i>Not Produced</i>
8/27/1999	W/H TAX DIV C	(1,791)	-	(1,791)	-	-	104,495,161	MDPTPP02969398	MDPTPP02969406	<i>Not Produced</i>
9/1/1999	W/H TAX DIV LU	(236)	-	(236)	-	-	104,494,925	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/1/1999	W/H TAX DIV WFC	(1,279)	-	(1,279)	-	-	104,493,646	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/1/1999	W/H TAX DIV INTC	(399)	-	(399)	-	-	104,493,247	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/1/1999	W/H TAX DIV F	(2,150)	-	(2,150)	-	-	104,491,097	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/3/1999	W/H TAX DIV BA	(517)	-	(517)	-	-	104,490,581	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/7/1999	W/H TAX DIV JNJ	(2,948)	-	(2,948)	-	-	104,487,633	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/10/1999	W/H TAX DIV XON	(3,833)	-	(3,833)	-	-	104,483,800	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/10/1999	W/H TAX DIV IBM	(827)	-	(827)	-	-	104,482,974	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/10/1999	W/H TAX DIV MOB	(1,683)	-	(1,683)	-	-	104,481,291	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/10/1999	W/H TAX DIV GM	(1,230)	-	(1,230)	-	-	104,480,061	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/13/1999	W/H TAX DIV DD	(1,550)	-	(1,550)	-	-	104,478,511	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/13/1999	W/H TAX DIV MMM	(1,684)	-	(1,684)	-	-	104,476,827	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/15/1999	W/H TAX DIV MCD	(1,159)	-	(1,159)	-	-	104,475,668	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/17/1999	W/H TAX DIV AIG	(1,381)	-	(1,381)	-	-	104,474,287	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/24/1999	W/H TAX DIV BAC	(18,871)	-	(18,871)	-	-	104,460,416	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/30/1999	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(57)	-	(57)	-	-	104,460,359	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
9/30/1999	W/H TAX DIV PEP	(3,515)	-	(3,515)	-	-	104,456,844	MDPTPP02969409	MDPTPP02969414	<i>Not Produced</i>
10/1/1999	W/H TAX DIV KO	(7,026)	-	(7,026)	-	-	104,449,818	MDPTPP02969417	MDPTPP02969422	<i>Not Produced</i>
10/1/1999	W/H TAX DIV MRK	(12,334)	-	(12,334)	-	-	104,437,484	MDPTPP02969417	MDPTPP02969422	<i>Not Produced</i>
10/1/1999	W/H TAX DIV ONE	(8,515)	-	(8,515)	-	-	104,428,969	MDPTPP02969417	MDPTPP02969422	<i>Not Produced</i>
10/12/1999	W/H TAX DIV WMT	(3,938)	-	(3,938)	-	-	104,425,031	MDPTPP02969417	MDPTPP02969422	<i>Not Produced</i>
10/13/1999	W/H TAX DIV HWP	(2,898)	-	(2,898)	-	-	104,422,132	MDPTPP02969417	MDPTPP02969422	<i>Not Produced</i>
10/20/1999	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(3)	-	(3)	-	-	104,422,129	MDPTPP02969417	MDPTPP02969422	<i>Not Produced</i>
10/25/1999	W/H TAX DIV GE	(20,386)	-	(20,386)	-	-	104,401,743	MDPTPP02969417	MDPTPP02969422	<i>Not Produced</i>
11/1/1999	W/H TAX DIV AIT	(6,111)	-	(6,111)	-	-	104,395,632	MDPTPP02969424	MDPTPP02969443	<i>Not Produced</i>
11/1/1999	W/H TAX DIV BEL	(10,560)	-	(10,560)	-	-	104,385,073	MDPTPP02969424	MDPTPP02969443	<i>Not Produced</i>
11/1/1999	W/H TAX DIV T	(12,316)	-	(12,316)	-	-	104,372,757	MDPTPP02969424	MDPTPP02969443	<i>Not Produced</i>
11/1/1999	W/H TAX DIV BMY	(7,599)	-	(7,599)	-	-	104,365,158	MDPTPP02969424	MDPTPP02969443	<i>Not Produced</i>
11/10/1999	W/H TAX DIV AXP	(1,783)	-	(1,783)	-	-	104,363,374	MDPTPP02969424	MDPTPP02969443	<i>Not Produced</i>
11/17/1999	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(5)	-	(5)	-	-	104,363,369	MDPTPP02969424	MDPTPP02969443	<i>Not Produced</i>
12/3/1999	W/H TAX DIV BA	(893)	-	(893)	-	-	104,362,477	MDPTPP02969435	MDPTPP02969440	<i>Not Produced</i>
12/7/1999	W/H TAX DIV JNJ	(2,550)	-	(2,550)	-	-	104,359,926	MDPTPP02969435	MDPTPP02969440	<i>Not Produced</i>
12/10/1999	W/H TAX DIV IBM	(1,530)	-	(1,530)	-	-	104,358,396	MDPTPP02969435	MDPTPP02969440	<i>Not Produced</i>
12/10/1999	W/H TAX DIV MOB	(3,115)	-	(3,115)	-	-	104,355,281	MDPTPP02969435	MDPTPP02969440	<i>Not Produced</i>
12/10/1999	W/H TAX DIV GM	(2,277)	-	(2,277)	-	-	104,353,004	MDPTPP02969435	MDPTPP02969440	<i>Not Produced</i>
12/10/1999	W/H TAX DIV XON	(7,414)	-	(7,414)	-	-	104,345,590	MDPTPP02969435	MDPTPP02969440	<i>Not Produced</i>
12/13/1999	W/H TAX DIV MMM	(3,837)	-	(3,837)	-	-	104,341,753	MDPTPP02969435	MDPTPP02969440	<i>Not Produced</i>
12/14/1999	W/H TAX DIV DD	(2,391)	-	(2,391)	-	-	104,339,362	MDPTPP02969435	MDPTPP02969440	<i>Not Produced</i>
12/17/1999	W/H TAX DIV DIS	(2,869)	-	(2,869)	-	-	104,336,493	MDPTPP02969435	MDPTPP02969440	<i>Not Produced</i>
12/31/1999	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(120)	-	(120)	-	-	104,336,373	MDPTPP02969435	MDPTPP02969440	<i>Not Produced</i>
1/10/2000	CHECK WIRE	14,300,000	14,300,000	-	-	-	118,636,373	MDPTPP02969442	MDPTPP02969451	GCC-NYAG 0259984
1/1/2000	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(4)	-	(4)	-	-	118,636,369	MDPTPP02969442	MDPTPP02969451	GCC-NYAG 025986
2/1/2000	W/H TAX DIV BEL	(3,894)	-	(3,894)	-	-	118,632,475	MDPTPP02969454	MDPTPP02969459	GCC-NYAG 025962
2/14/2000	W/H TAX DIV TXN	(588)	-	(588)	-	-	118,631,887	MDPTPP02969454	MDPTPP02969459	GCC-NYAG 025962
2/15/2000	W/H TAX DIV PG	(7,022)	-	(7,022)	-	-	118,624,864	MDPTPP02969454	MDPTPP02969459	GCC-NYAG 025962
2/24/2000	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(49)	-	(49)	-	-	118,624,815	MDPTPP02969454	MDPTPP02969459	GCC-NYAG 025964
2/25/2000	W/H TAX DIV C	(9,381)	-	(9,381)	-	-	118,615,434	MDPTPP02969454	MDPTPP02969459	GCC-NYAG 025966
3/1/2000	W/H TAX DIV LU	(1,043)	-	(1,043)	-	-	118,614,391	MDPTPP02969461	MDPTPP02969473	GCC-NYAG 025927
3/1/2000	W/H TAX DIV WFC	(6,195)	-	(6,195)	-	-	118,608,196	MDPTPP02969461	MDPTPP02969473	GCC-NYAG 025927
3/1/2000	W/H TAX DIV INTC	(1,736)	-	(1,736)	-	-	118,606,459	MDPTPP02969461	MDPTPP02969473	GCC-NYAG 025927
3/1/2000	W/H TAX DIV F	(10,566)	-	(10,566)	-	-	118,595,893	MDPTPP02969461	MDPTPP02969473	GCC-NYAG 025927
3/3/2000	W/H TAX DIV BA	(2,260)	-	(2,260)	-	-	118,593,633	MDPTPP02969461	MDPTPP02969473	GCC-NYAG 025927
3/7/2000	W/H TAX DIV JNJ	(6,779)	-	(6,779)	-	-	118,586,854	MDPTPP02969461	MDPTPP02969473	GCC-NYAG 025927
3/10/2000	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(32)	-	(32)	-	-	118,586,823	MDPTPP02969461	MDPTPP02969473	GCC-NYAG 0259932
3/10/2000	W/H TAX DIV GM	(5,517)	-	(5,517)	-	-	118,581,306	MDPTPP02969461	MDPTPP02969473	GCC-NYAG 0259932
3/10/2000	W/H TAX DIV XOM	(26,353)	-	(26,353)	-	-	118,554,953	MDPTPP02969461	MDPTPP02969473	GCC-NYAG 0259932
3/10/2000	W/H TAX DIV IBM	(3,650)	-	(3,650)	-	-	118,551,303	MDPTPP02969461	MDPTPP02969473	GCC-NYAG 0259932
3/14/2000	W/H TAX DIV DD	(6,379)	-	(6,379)	-	-	118,544,924	MDPTPP02969461	MDPTPP02969473	GCC-NYAG 0259934
3/23/2000	W/H TAX DIV HD	(535)	-	(535)	-	-	118,544,389	MDPTPP02969461	MDPTPP02969473	GCC-NYAG 0259938
3/31/2000	W/H TAX DIV PEP	(2,355)	-	(2,355)	-	-	118,542,034	MDPTPP02969461	MDPTPP02969473	GCC-NYAG 0259938

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4/3/2000	W/H TAX DIV KO	(7,361)	-	(7,361)	-	-	118,534,674	MDPTPP02969476	MDPTPP02969482	GCC-NYAG 0259905
4/6/2000	CHECK WIRE	8,825,000	8,825,000	-	-	-	127,359,674	MDPTPP02969476	MDPTPP02969482	GCC-NYAG 0259905
4/10/2000	W/H TAX DIV WMT	(4,755)	-	(4,755)	-	-	127,354,918	MDPTPP02969476	MDPTPP02969482	GCC-NYAG 0259905
4/25/2000	W/H TAX DIV GE	(7,982)	-	(7,982)	-	-	127,346,936	MDPTPP02969476	MDPTPP02969482	GCC-NYAG 0259910
4/28/2000	W/H TAX DIV MWD	(1,012)	-	(1,012)	-	-	127,345,924	MDPTPP02969476	MDPTPP02969482	GCC-NYAG 0259910
4/28/2000	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(56)	-	(56)	-	-	127,345,869	MDPTPP02969476	MDPTPP02969482	GCC-NYAG 0259910
5/12/2000	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(8)	-	(8)	-	-	127,345,861	MDPTPP02969484	MDPTPP02969495	GCC-NYAG 0259874
6/1/2000	W/H TAX DIV INTC	(778)	-	(778)	-	-	127,345,083	MDPTPP02969498	MDPTPP02969506	GCC-NYAG 0259843
6/1/2000	W/H TAX DIV WFC	(2,854)	-	(2,854)	-	-	127,342,229	MDPTPP02969498	MDPTPP02969506	GCC-NYAG 0259843
6/12/2000	W/H TAX DIV GM	(2,538)	-	(2,538)	-	-	127,339,691	MDPTPP02969498	MDPTPP02969506	GCC-NYAG 0259843
6/12/2000	W/H TAX DIV DD	(6,531)	-	(6,531)	-	-	127,333,160	MDPTPP02969498	MDPTPP02969506	GCC-NYAG 0259843
6/12/2000	W/H TAX DIV XOM	(27,699)	-	(27,699)	-	-	127,305,461	MDPTPP02969498	MDPTPP02969506	GCC-NYAG 0259843
6/12/2000	W/H TAX DIV IBM	(1,833)	-	(1,833)	-	-	127,303,628	MDPTPP02969498	MDPTPP02969506	GCC-NYAG 0259843
6/13/2000	W/H TAX DIV JNJ	(4,363)	-	(4,363)	-	-	127,299,265	MDPTPP02969498	MDPTPP02969506	GCC-NYAG 0259843
6/21/2000	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(6)	-	(6)	-	-	127,299,259	MDPTPP02969498	MDPTPP02969506	GCC-NYAG 0259848
7/10/2000	W/H TAX DIV WMT	(1,427)	-	(1,427)	-	-	127,297,831	MDPTPP02969508	MDPTPP02969515	GCC-NYAG 0259820
7/18/2000	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(13)	-	(13)	-	-	127,297,818	MDPTPP02969508	MDPTPP02969515	GCC-NYAG 0259828
8/2/2000	CHECK WIRE	5,800,000	5,800,000	-	-	-	133,097,818	MDPTPP02969518	MDPTPP02969525	GCC-NYAG 0259780
8/15/2000	W/H TAX DIV PG	(3,912)	-	(3,912)	-	-	133,093,906	MDPTPP02969518	MDPTPP02969525	GCC-NYAG 0259784
8/15/2000	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(17)	-	(17)	-	-	133,093,889	MDPTPP02969518	MDPTPP02969525	GCC-NYAG 0259784
8/21/2000	W/H TAX DIV TXN	(554)	-	(554)	-	-	133,093,335	MDPTPP02969518	MDPTPP02969525	GCC-NYAG 0259786
8/24/2000	W/H TAX DIV MER	(1,987)	-	(1,987)	-	-	133,091,348	MDPTPP02969518	MDPTPP02969525	GCC-NYAG 0259786
8/25/2000	W/H TAX DIV C	(10,201)	-	(10,201)	-	-	133,081,147	MDPTPP02969518	MDPTPP02969525	GCC-NYAG 0259786
9/1/2000	W/H TAX DIV INTC	(2,211)	-	(2,211)	-	-	133,078,936	MDPTPP02969527	MDPTPP02969535	GCC-NYAG 0259718
9/1/2000	W/H TAX DIV WFC	(5,875)	-	(5,875)	-	-	133,073,061	MDPTPP02969527	MDPTPP02969535	GCC-NYAG 0259718
9/1/2000	W/H TAX DIV LU	(1,130)	-	(1,130)	-	-	133,071,931	MDPTPP02969527	MDPTPP02969535	GCC-NYAG 0259718
9/11/2000	W/H TAX DIV XOM	(14,127)	-	(14,127)	-	-	133,057,805	MDPTPP02969527	MDPTPP02969535	GCC-NYAG 0259718
9/11/2000	W/H TAX DIV IBM	(3,875)	-	(3,875)	-	-	133,053,930	MDPTPP02969527	MDPTPP02969535	GCC-NYAG 0259718
9/15/2000	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(24)	-	(24)	-	-	133,053,906	MDPTPP02969527	MDPTPP02969535	GCC-NYAG 0259723
10/2/2000	W/H TAX DIV KO	(4,159)	-	(4,159)	-	-	133,049,747	MDPTPP02969538	MDPTPP02969551	GCC-NYAG 0259645
10/5/2000	W/H TAX DIV AV	(2)	-	(2)	-	-	133,049,744	MDPTPP02969538	MDPTPP02969551	GCC-NYAG 0259645
10/10/2000	W/H TAX DIV WMT	(2,664)	-	(2,664)	-	-	133,047,081	MDPTPP02969538	MDPTPP02969551	GCC-NYAG 0259645
10/11/2000	W/H TAX DIV HWP	(2,764)	-	(2,764)	-	-	133,044,317	MDPTPP02969538	MDPTPP02969551	GCC-NYAG 0259645
10/18/2000	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(22)	-	(22)	-	-	133,044,295	MDPTPP02969538	MDPTPP02969551	GCC-NYAG 0259652
10/25/2000	W/H TAX DIV GE	(23,459)	-	(23,459)	-	-	133,020,836	MDPTPP02969538	MDPTPP02969551	GCC-NYAG 0259656
10/27/2000	W/H TAX DIV MWD	(3,964)	-	(3,964)	-	-	133,016,872	MDPTPP02969538	MDPTPP02969551	GCC-NYAG 0259657
10/31/2000	TRANS FROM 1A005830 (IA0058)	11,900,000	-	-	11,900,000	-	144,916,872	MDPTPP02969538	MDPTPP02969551	GCC-NYAG 0259657
11/1/2000	W/H TAX DIV BMY	(8,420)	-	(8,420)	-	-	144,908,451	MDPTPP02969533	MDPTPP02969559	GCC-NYAG 0259598
11/1/2000	W/H TAX DIV VZ	(18,201)	-	(18,201)	-	-	144,890,250	MDPTPP02969533	MDPTPP02969559	GCC-NYAG 0259598
11/1/2000	W/H TAX DIV T	(14,522)	-	(14,522)	-	-	144,875,728	MDPTPP02969533	MDPTPP02969559	GCC-NYAG 0259598
11/1/2000	W/H TAX DIV PHA	(2,662)	-	(2,662)	-	-	144,873,066	MDPTPP02969533	MDPTPP02969559	GCC-NYAG 0259598
11/10/2000	W/H TAX DIV AXP	(1,847)	-	(1,847)	-	-	144,871,218	MDPTPP02969533	MDPTPP02969559	GCC-NYAG 0259598
12/12/2000	W/H TAX DIV JNJ	(1,680)	-	(1,680)	-	-	144,869,539	MDPTPP02969561	MDPTPP02969569	GCC-NYAG 0259542
12/18/2000	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(196)	-	(196)	-	-	144,869,343	MDPTPP02969561	MDPTPP02969569	GCC-NYAG 0259545
1/8/2001	CHECK WIRE	16,000,000	16,000,000	-	-	-	160,869,343	MDPTPP02969571	MDPTPP02969579	GCC-NYAG 0259487
1/8/2001	TRANS FROM 1A005830 (IA0058)	1,400,000	-	-	1,400,000	-	162,269,343	MDPTPP02969571	MDPTPP02969579	GCC-NYAG 0259487
1/18/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(6)	-	(6)	-	-	162,269,337	MDPTPP02969571	MDPTPP02969579	GCC-NYAG 0259488
1/30/2001	W/H TAX DIV MWD	(2,183)	-	(2,183)	-	-	162,267,154	MDPTPP02969571	MDPTPP02969579	GCC-NYAG 0259492
2/1/2001	W/H TAX DIV PHA	(1,383)	-	(1,383)	-	-	162,265,770	MDPTPP02969582	MDPTPP02969590	GCC-NYAG 0259436
2/1/2001	W/H TAX DIV VZ	(9,136)	-	(9,136)	-	-	162,256,634	MDPTPP02969582	MDPTPP02969590	GCC-NYAG 0259436
2/12/2001	W/H TAX DIV TXN	(806)	-	(806)	-	-	162,255,829	MDPTPP02969582	MDPTPP02969590	GCC-NYAG 0259436
2/15/2001	W/H TAX DIV PG	(6,133)	-	(6,133)	-	-	162,249,696	MDPTPP02969582	MDPTPP02969590	GCC-NYAG 0259436
2/22/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(7)	-	(7)	-	-	162,249,689	MDPTPP02969582	MDPTPP02969590	GCC-NYAG 0259441
2/23/2001	W/H TAX DIV C	(15,041)	-	(15,041)	-	-	162,234,648	MDPTPP02969582	MDPTPP02969590	GCC-NYAG 0259443
3/1/2001	W/H TAX DIV LU	(851)	-	(851)	-	-	162,233,796	MDPTPP02969592	MDPTPP02969601	GCC-NYAG 0259376
3/1/2001	W/H TAX DIV INTC	(2,982)	-	(2,982)	-	-	162,230,814	MDPTPP02969592	MDPTPP02969601	GCC-NYAG 0259376
3/1/2001	W/H TAX DIV WFC	(8,621)	-	(8,621)	-	-	162,222,193	MDPTPP02969592	MDPTPP02969601	GCC-NYAG 0259376
3/8/2001	W/H TAX DIV PFE	(15,444)	-	(15,444)	-	-	162,206,750	MDPTPP02969592	MDPTPP02969601	GCC-NYAG 0259379
3/9/2001	W/H TAX DIV XOM	(32,186)	-	(32,186)	-	-	162,174,564	MDPTPP02969592	MDPTPP02969601	GCC-NYAG 0259379
3/12/2001	W/H TAX DIV IBM	(5,086)	-	(5,086)	-	-	162,169,478	MDPTPP02969592	MDPTPP02969601	GCC-NYAG 0259379
3/13/2001	W/H TAX DIV JNJ	(4,115)	-	(4,115)	-	-	162,165,363	MDPTPP02969592	MDPTPP02969601	GCC-NYAG 0259379
3/19/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(71)	-	(71)	-	-	162,165,292	MDPTPP02969592	MDPTPP02969601	GCC-NYAG 0259381
3/22/2001	W/H TAX DIV HD	(424)	-	(424)	-	-	162,164,868	MDPTPP02969592	MDPTPP02969601	GCC-NYAG 0259382
3/30/2001	W/H TAX DIV PEP	(972)	-	(972)	-	-	162,163,897	MDPTPP02969592	MDPTPP02969601	GCC-NYAG 0259382
4/2/2001	W/H TAX DIV KO	(2,039)	-	(2,039)	-	-	162,161,858	MDPTPP02969604	MDPTPP02969612	GCC-NYAG 0259320
4/2/2001	W/H TAX DIV MRK	(3,479)	-	(3,479)	-	-	162,158,379	MDPTPP02969604	MDPTPP02969612	GCC-NYAG 0259320
4/9/2001	W/H TAX DIV WMT	(5,550)	-	(5,550)	-	-	162,152,829	MDPTPP02969604	MDPTPP02969612	GCC-NYAG 0259320

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Amount as Reported in Customer Statement	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: Bates Ref
4/11/2001	W/H TAX DIV HWP	(2,909)	-	(2,909)	-	-	162,149,920	MDPTPP02969604	MDPTPP02969612	GCC-NYAG 0259320
4/12/2001	CHECK WIRE	24,000,000	24,000,000	-	-	-	186,149,920	MDPTPP02969604	MDPTPP02969612	GCC-NYAG 0259320
4/12/2001	TRANS FROM 1A005830 (IA0058)	700,000	-	-	700,000	-	186,849,920	MDPTPP02969604	MDPTPP02969612	GCC-NYAG 0259320
4/24/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(3)	-	(3)	-	-	186,849,917	MDPTPP02969604	MDPTPP02969612	GCC-NYAG 0259323
4/27/2001	W/H TAX DIV MWD	(4,542)	-	(4,542)	-	-	186,845,375	MDPTPP02969604	MDPTPP02969612	GCC-NYAG 0259327
4/30/2001	W/H TAX DIV JPM	(11,422)	-	(11,422)	-	-	186,833,953	MDPTPP02969604	MDPTPP02969612	GCC-NYAG 0259327
5/1/2001	W/H TAX DIV BMY	(9,518)	-	(9,518)	-	-	186,824,435	MDPTPP02969614	MDPTPP02969617	GCC-NYAG 0259287
5/1/2001	W/H TAX DIV VZ	(18,656)	-	(18,656)	-	-	186,805,778	MDPTPP02969614	MDPTPP02969617	GCC-NYAG 0259287
5/1/2001	W/H TAX DIV T	(2,520)	-	(2,520)	-	-	186,803,259	MDPTPP02969614	MDPTPP02969617	GCC-NYAG 0259287
5/1/2001	W/H TAX DIV PHA	(2,781)	-	(2,781)	-	-	186,800,478	MDPTPP02969614	MDPTPP02969617	GCC-NYAG 0259287
5/2/2001	W/H TAX DIV TYC	(398)	-	(398)	-	-	186,800,080	MDPTPP02969614	MDPTPP02969617	GCC-NYAG 0259287
5/10/2001	W/H TAX DIV AXP	(1,883)	-	(1,883)	-	-	186,798,197	MDPTPP02969614	MDPTPP02969617	GCC-NYAG 0259287
5/15/2001	W/H TAX DIV PG	(8,110)	-	(8,110)	-	-	186,790,088	MDPTPP02969614	MDPTPP02969617	GCC-NYAG 0259287
6/20/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(132)	-	(132)	-	-	186,789,955	MDPTPP02969619	MDPTPP02969627	GCC-NYAG 0259234
7/9/2001	W/H TAX DIV WMT	(4,929)	-	(4,929)	-	-	186,785,027	MDPTPP02969629	MDPTPP02969640	GCC-NYAG 0259168
7/11/2001	W/H TAX DIV XOM	(479)	-	(479)	-	-	186,784,547	MDPTPP02969629	MDPTPP02969640	GCC-NYAG 0259168
7/11/2001	W/H TAX DIV HWP	(1,070)	-	(1,070)	-	-	186,783,478	MDPTPP02969629	MDPTPP02969640	GCC-NYAG 0259168
7/23/2001	W/H TAX DIV MWD	(6,841)	-	(6,841)	-	-	186,776,637	MDPTPP02969629	MDPTPP02969640	GCC-NYAG 0259175
7/25/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(11)	-	(11)	-	-	186,776,626	MDPTPP02969629	MDPTPP02969640	GCC-NYAG 0259177
7/25/2001	W/H TAX DIV GE	(41,464)	-	(41,464)	-	-	186,735,163	MDPTPP02969629	MDPTPP02969640	GCC-NYAG 0259178
7/25/2001	TRANS FROM 1A005830 (IA0058)	13,100,000	-	-	13,100,000	-	199,835,163	MDPTPP02969629	MDPTPP02969640	GCC-NYAG 0259177
7/31/2001	W/H TAX DIV JPM	(17,756)	-	(17,756)	-	-	199,817,406	MDPTPP02969629	MDPTPP02969640	GCC-NYAG 0259178
8/1/2001	W/H TAX DIV VZ	(27,053)	-	(27,053)	-	-	199,790,353	MDPTPP02969643	MDPTPP02969652	GCC-NYAG 0259113
8/1/2001	W/H TAX DIV BMY	(13,640)	-	(13,640)	-	-	199,776,713	MDPTPP02969643	MDPTPP02969652	GCC-NYAG 0259113
8/1/2001	W/H TAX DIV PHA	(4,634)	-	(4,634)	-	-	199,772,079	MDPTPP02969643	MDPTPP02969652	GCC-NYAG 0259113
8/1/2001	W/H TAX DIV TYC	(611)	-	(611)	-	-	199,771,468	MDPTPP02969643	MDPTPP02969652	GCC-NYAG 0259113
8/10/2001	W/H TAX DIV AXP	(2,811)	-	(2,811)	-	-	199,768,657	MDPTPP02969643	MDPTPP02969652	GCC-NYAG 0259113
8/15/2001	W/H TAX DIV PG	(5,888)	-	(5,888)	-	-	199,762,769	MDPTPP02969643	MDPTPP02969652	GCC-NYAG 0259113
8/24/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(17)	-	(17)	-	-	199,762,752	MDPTPP02969643	MDPTPP02969652	GCC-NYAG 0259115
9/13/2001	W/H TAX DIV HD	(2,673)	-	(2,673)	-	-	199,760,079	MDPTPP02969655	MDPTPP02969659	GCC-NYAG 0259069
9/28/2001	W/H TAX DIV PEP	(7,377)	-	(7,377)	-	-	199,752,702	MDPTPP02969662	MDPTPP02969659	GCC-NYAG 0259070
9/28/2001	W/H TAX DIV BAC	(25,506)	-	(25,506)	-	-	199,727,196	MDPTPP02969655	MDPTPP02969659	GCC-NYAG 0259070
10/1/2001	W/H TAX DIV MRK	(23,115)	-	(23,115)	-	-	199,704,081	MDPTPP02969662	MDPTPP02969667	GCC-NYAG 0259034
10/1/2001	W/H TAX DIV KO	(12,707)	-	(12,707)	-	-	199,691,374	MDPTPP02969662	MDPTPP02969667	GCC-NYAG 0259034
10/9/2001	W/H TAX DIV WMT	(8,982)	-	(8,982)	-	-	199,682,392	MDPTPP02969662	MDPTPP02969667	GCC-NYAG 0259034
10/10/2001	W/H TAX DIV HWP	(4,498)	-	(4,498)	-	-	199,677,894	MDPTPP02969662	MDPTPP02969667	GCC-NYAG 0259034
10/15/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(19)	-	(19)	-	-	199,677,875	MDPTPP02969662	MDPTPP02969667	GCC-NYAG 0259034
10/15/2001	TRANS TO 1G032130 (1G0321)	(7,300,000)	-	-	-	(7,300,000)	192,377,875	MDPTPP02969662	MDPTPP02969667	GCC-NYAG 0259034
10/15/2001	TRANS TO 1FR07030 (1FR070)	(7,100,000)	-	-	-	(7,100,000)	185,277,875	MDPTPP02969662	MDPTPP02969667	GCC-NYAG 0259034
10/25/2001	W/H TAX DIV GE	(45,922)	-	(45,922)	-	-	185,231,952	MDPTPP02969662	MDPTPP02969667	GCC-NYAG 0259035
10/26/2001	W/H TAX DIV MWD	(7,514)	-	(7,514)	-	-	185,224,438	MDPTPP02969662	MDPTPP02969667	GCC-NYAG 0259035
10/31/2001	W/H TAX DIV JPM	(19,357)	-	(19,357)	-	-	185,205,081	MDPTPP02969662	MDPTPP02969667	GCC-NYAG 0259036
11/1/2001	W/H TAX DIV TYC	(703)	-	(703)	-	-	185,204,379	MDPTPP02969662	MDPTPP02969677	GCC-NYAG 0259883
11/1/2001	W/H TAX DIV PHA	(4,919)	-	(4,919)	-	-	185,199,460	MDPTPP02969669	MDPTPP02969677	GCC-NYAG 0259883
11/1/2001	W/H TAX DIV VZ	(29,810)	-	(29,810)	-	-	185,169,650	MDPTPP02969669	MDPTPP02969677	GCC-NYAG 0259883
11/1/2001	W/H TAX DIV BMY	(15,440)	-	(15,440)	-	-	185,154,210	MDPTPP02969669	MDPTPP02969677	GCC-NYAG 0259883
11/1/2001	W/H TAX DIV T	(3,731)	-	(3,731)	-	-	185,150,479	MDPTPP02969669	MDPTPP02969677	GCC-NYAG 0259883
11/9/2001	W/H TAX DIV AXP	(3,040)	-	(3,040)	-	-	185,147,439	MDPTPP02969669	MDPTPP02969677	GCC-NYAG 0259883
11/15/2001	W/H TAX DIV PG	(13,846)	-	(13,846)	-	-	185,133,593	MDPTPP02969669	MDPTPP02969677	GCC-NYAG 0259883
11/19/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(9)	-	(9)	-	-	185,133,584	MDPTPP02969669	MDPTPP02969677	GCC-NYAG 0259887
11/19/2001	W/H TAX DIV TXN	(1,081)	-	(1,081)	-	-	185,132,503	MDPTPP02969669	MDPTPP02969677	GCC-NYAG 0259887
11/21/2001	W/H TAX DIV C	(23,206)	-	(23,206)	-	-	185,109,297	MDPTPP02969669	MDPTPP02969677	GCC-NYAG 0259889
12/3/2001	W/H TAX DIV MCD	(8,027)	-	(8,027)	-	-	185,101,271	MDPTPP02969679	MDPTPP02969687	BDO_T_0012097
12/3/2001	W/H TAX DIV INTC	(3,934)	-	(3,934)	-	-	185,097,337	MDPTPP02969679	MDPTPP02969687	BDO_T_0012097
12/3/2001	W/H TAX DIV WFC	(12,841)	-	(12,841)	-	-	185,084,496	MDPTPP02969679	MDPTPP02969687	BDO_T_0012097
12/6/2001	W/H TAX DIV PFE	(13,118)	-	(13,118)	-	-	185,071,377	MDPTPP02969679	MDPTPP02969687	BDO_T_0012097
12/10/2001	W/H TAX DIV IBM	(7,014)	-	(7,014)	-	-	185,064,363	MDPTPP02969679	MDPTPP02969687	BDO_T_0012097
12/10/2001	W/H TAX DIV XOM	(45,944)	-	(45,944)	-	-	185,018,419	MDPTPP02969679	MDPTPP02969687	BDO_T_0012097
12/14/2001	W/H TAX DIV DD	(10,145)	-	(10,145)	-	-	185,008,275	MDPTPP02969679	MDPTPP02969687	BDO_T_0012099
12/31/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	(1)	-	-	185,008,274	MDPTPP02969679	MDPTPP02969687	BDO_T_0012101
1/7/2002	W/H TAX DIV WMT	(1,605)	-	(1,605)	-	-	185,006,668	MDPTPP02969689	MDPTPP02969700	GCC-NYAG 0258916
1/10/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	(1)	-	-	185,006,667	MDPTPP02969689	MDPTPP02969700	GCC-NYAG 0258921
1/23/2002	TRANS TO 1A005830 (IA0058)	(2,500,000)	-	-	-	(2,500,000)	182,506,667	MDPTPP02969689	MDPTPP02969700	GCC-NYAG 0258924
1/25/2002	W/H TAX DIV MWD	(5,070)	-	(5,070)	-	-	182,501,598	MDPTPP02969689	MDPTPP02969700	GCC-NYAG 0258924
2/1/2002	W/H TAX DIV VZ	(20,629)	-	(20,629)	-	-	182,480,968	MDPTPP02969703	MDPTPP02969718	GCC-NYAG 0258839
2/1/2002	W/H TAX DIV PHA	(3,434)	-	(3,434)	-	-	182,477,534	MDPTPP02969703	MDPTPP02969718	GCC-NYAG 0258839
2/1/2002	W/H TAX DIV SBC	(17,077)	-	(17,077)	-	-	182,460,458	MDPTPP02969703	MDPTPP02969718	GCC-NYAG 0258839

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2/11/2002	W/H TAX DIV TXN	(994)	-	(994)	-	-	182,459,464	MDPTPP02969703	MDPTPP02969718	GCC-NYAG 0258839
2/15/2002	W/H TAX DIV PG	(13,186)	-	(13,186)	-	-	182,446,278	MDPTPP02969703	MDPTPP02969718	GCC-NYAG 0258839
2/21/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(12)	-	(12)	-	-	182,446,266	MDPTPP02969703	MDPTPP02969718	GCC-NYAG 0258846
2/22/2002	W/H TAX DIV C	(21,991)	-	(21,991)	-	-	182,424,275	MDPTPP02969703	MDPTPP02969718	GCC-NYAG 0258848
3/1/2002	W/H TAX DIV INT	(3,710)	-	(3,710)	-	-	182,420,564	MDPTPP02969721	MDPTPP02969731	GCC-NYAG 0258780
3/1/2002	W/H TAX DIV WFC	(12,029)	-	(12,029)	-	-	182,408,535	MDPTPP02969721	MDPTPP02969731	GCC-NYAG 0258780
3/6/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(2)	-	(2)	-	-	182,408,533	MDPTPP02969721	MDPTPP02969731	GCC-NYAG 0258785
3/7/2002	W/H TAX DIV PFE	(22,055)	-	(22,055)	-	-	182,386,478	MDPTPP02969721	MDPTPP02969731	GCC-NYAG 0258786
3/11/2002	W/H TAX DIV BUD	(4,742)	-	(4,742)	-	-	182,381,736	MDPTPP02969721	MDPTPP02969731	GCC-NYAG 0258786
3/11/2002	W/H TAX DIV XOM	(42,472)	-	(42,472)	-	-	182,339,264	MDPTPP02969721	MDPTPP02969731	GCC-NYAG 0258786
3/11/2002	W/H TAX DIV IBM	(6,477)	-	(6,477)	-	-	182,332,786	MDPTPP02969721	MDPTPP02969731	GCC-NYAG 0258786
3/12/2002	W/H TAX DIV JNJ	(9,237)	-	(9,237)	-	-	182,323,550	MDPTPP02969721	MDPTPP02969731	GCC-NYAG 0258786
3/14/2002	W/H TAX DIV DD	(9,716)	-	(9,716)	-	-	182,313,834	MDPTPP02969721	MDPTPP02969731	GCC-NYAG 0258786
3/15/2002	W/H TAX DIV AIG	(1,339)	-	(1,339)	-	-	182,312,495	MDPTPP02969721	MDPTPP02969731	GCC-NYAG 0258786
3/22/2002	W/H TAX DIV BAC	(11,686)	-	(11,686)	-	-	182,300,810	MDPTPP02969721	MDPTPP02969731	GCC-NYAG 0258787
3/28/2002	W/H TAX DIV HD	(3,277)	-	(3,277)	-	-	182,297,533	MDPTPP02969721	MDPTPP02969731	GCC-NYAG 0258787
4/1/2002	W/H TAX DIV PEP	(7,114)	-	(7,114)	-	-	182,290,419	MDPTPP02969735	MDPTPP02969750	GCC-NYAG 0258787
4/1/2002	W/H TAX DIV MRK	(22,810)	-	(22,810)	-	-	182,267,609	MDPTPP02969735	MDPTPP02969750	GCC-NYAG 0258704
4/1/2002	W/H TAX DIV ONE	(3,833)	-	(3,833)	-	-	182,263,776	MDPTPP02969735	MDPTPP02969750	GCC-NYAG 0258704
4/1/2002	W/H TAX DIV KO	(14,228)	-	(14,228)	-	-	182,249,548	MDPTPP02969735	MDPTPP02969750	GCC-NYAG 0258704
4/10/2002	W/H TAX DIV MO	(35,570)	-	(35,570)	-	-	182,213,978	MDPTPP02969735	MDPTPP02969750	GCC-NYAG 0258704
4/18/2002	W/H TAX DIV WMT	(9,515)	-	(9,515)	-	-	182,204,463	MDPTPP02969735	MDPTPP02969750	GCC-NYAG 0258708
4/23/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(3)	-	(3)	-	-	182,204,460	MDPTPP02969735	MDPTPP02969750	GCC-NYAG 0258714
4/25/2002	W/H TAX DIV GE	(22,245)	-	(22,245)	-	-	182,182,215	MDPTPP02969735	MDPTPP02969750	GCC-NYAG 0258717
4/26/2002	W/H TAX DIV MDT	(1,975)	-	(1,975)	-	-	182,180,241	MDPTPP02969735	MDPTPP02969750	GCC-NYAG 0258717
4/26/2002	W/H TAX DIV MWD	(7,172)	-	(7,172)	-	-	182,173,069	MDPTPP02969735	MDPTPP02969750	GCC-NYAG 0258717
4/30/2002	W/H TAX DIV JPM	(19,183)	-	(19,183)	-	-	182,153,886	MDPTPP02969735	MDPTPP02969750	GCC-NYAG 0258717
5/1/2002	W/H TAX DIV TYC	(718)	-	(718)	-	-	182,153,167	MDPTPP02969752	MDPTPP02969769	GCC-NYAG 0258681
5/1/2002	W/H TAX DIV VZ	(29,954)	-	(29,954)	-	-	182,123,213	MDPTPP02969752	MDPTPP02969769	GCC-NYAG 0258681
5/1/2002	W/H TAX DIV SBC	(25,927)	-	(25,927)	-	-	182,097,286	MDPTPP02969752	MDPTPP02969769	GCC-NYAG 0258681
5/1/2002	W/H TAX DIV BMY	(15,504)	-	(15,504)	-	-	182,081,782	MDPTPP02969752	MDPTPP02969769	GCC-NYAG 0258681
5/1/2002	W/H TAX DIV PHA	(4,968)	-	(4,968)	-	-	182,076,815	MDPTPP02969752	MDPTPP02969769	GCC-NYAG 0258681
5/1/2002	W/H TAX DIV T	(3,772)	-	(3,772)	-	-	182,073,043	MDPTPP02969752	MDPTPP02969769	GCC-NYAG 0258681
5/6/2002	CHECK WIRE	21,000,000	21,000,000	-	-	-	203,073,043	MDPTPP02969752	MDPTPP02969769	GCC-NYAG 0258685
5/10/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(8)	-	(8)	-	-	203,073,036	MDPTPP02969752	MDPTPP02969769	GCC-NYAG 0258689
5/15/2002	W/H TAX DIV PG	(7,385)	-	(7,385)	-	-	203,065,650	MDPTPP02969752	MDPTPP02969769	GCC-NYAG 0258689
5/24/2002	W/H TAX DIV C	(14,599)	-	(14,599)	-	-	203,051,051	MDPTPP02969752	MDPTPP02969769	GCC-NYAG 0258696
6/3/2002	W/H TAX DIV WFC	(15,758)	-	(15,758)	-	-	203,035,293	MDPTPP02969772	MDPTPP02969779	GCC-NYAG 0258609
6/3/2002	W/H TAX DIV INT	(2,071)	-	(2,071)	-	-	203,033,222	MDPTPP02969772	MDPTPP02969779	GCC-NYAG 0258609
6/6/2002	W/H TAX DIV PFE	(27,456)	-	(27,456)	-	-	203,005,766	MDPTPP02969772	MDPTPP02969779	GCC-NYAG 0258609
6/10/2002	W/H TAX DIV IBM	(8,790)	-	(8,790)	-	-	202,996,977	MDPTPP02969772	MDPTPP02969779	GCC-NYAG 0258609
6/10/2002	W/H TAX DIV XOM	(52,425)	-	(52,425)	-	-	202,944,552	MDPTPP02969772	MDPTPP02969779	GCC-NYAG 0258609
6/10/2002	W/H TAX DIV BUD	(3,703)	-	(3,703)	-	-	202,940,849	MDPTPP02969772	MDPTPP02969779	GCC-NYAG 0258609
6/11/2002	W/H TAX DIV JNJ	(7,525)	-	(7,525)	-	-	202,933,324	MDPTPP02969772	MDPTPP02969779	GCC-NYAG 0258609
6/12/2002	W/H TAX DIV DD	(8,363)	-	(8,363)	-	-	202,924,961	MDPTPP02969772	MDPTPP02969779	GCC-NYAG 0258610
6/25/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(3)	-	(3)	-	-	202,924,958	MDPTPP02969772	MDPTPP02969779	GCC-NYAG 0258610
7/10/2002	W/H TAX DIV MO	(6,356)	-	(6,356)	-	-	202,918,603	MDPTPP02969781	MDPTPP02969796	GCC-NYAG 0258534
7/15/2002	W/H TAX DIV USB	(2,048)	-	(2,048)	-	-	202,916,555	MDPTPP02969781	MDPTPP02969796	GCC-NYAG 0258534
7/15/2002	TRANS FROM 1G032130 (1G0321)	400,000	-	-	400,000	-	203,316,555	MDPTPP02969781	MDPTPP02969796	GCC-NYAG 0258534
7/19/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(4)	-	(4)	-	-	203,316,551	MDPTPP02969781	MDPTPP02969796	GCC-NYAG 0258538
7/25/2002	W/H TAX DIV GE	(9,780)	-	(9,780)	-	-	203,306,771	MDPTPP02969781	MDPTPP02969796	GCC-NYAG 0258544
7/26/2002	W/H TAX DIV MDT	(400)	-	(400)	-	-	203,306,371	MDPTPP02969781	MDPTPP02969796	GCC-NYAG 0258544
7/26/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	(1)	-	-	203,306,370	MDPTPP02969781	MDPTPP02969796	GCC-NYAG 0258544
7/26/2002	W/H TAX DIV MWD	(1,365)	-	(1,365)	-	-	203,305,005	MDPTPP02969781	MDPTPP02969796	GCC-NYAG 0258544
7/31/2002	W/H TAX DIV JPM	(3,726)	-	(3,726)	-	-	203,301,279	MDPTPP02969781	MDPTPP02969796	GCC-NYAG 0258556
8/1/2002	W/H TAX DIV VZ	(5,625)	-	(5,625)	-	-	203,295,654	MDPTPP02969781	MDPTPP02969812	GCC-NYAG 0258398
8/1/2002	W/H TAX DIV SBC	(4,808)	-	(4,808)	-	-	203,290,846	MDPTPP02969781	MDPTPP02969812	GCC-NYAG 0258398
8/1/2002	W/H TAX DIV T	(771)	-	(771)	-	-	203,290,075	MDPTPP02969781	MDPTPP02969812	GCC-NYAG 0258396
8/1/2002	W/H TAX DIV BMY	(2,941)	-	(2,941)	-	-	203,287,135	MDPTPP02969799	MDPTPP02969812	GCC-NYAG 0258396
8/1/2002	W/H TAX DIV PHA	(925)	-	(925)	-	-	203,286,210	MDPTPP02969799	MDPTPP02969812	GCC-NYAG 0258396
8/9/2002	W/H TAX DIV AXP	(548)	-	(548)	-	-	203,285,662	MDPTPP02969799	MDPTPP02969812	GCC-NYAG 0258398
8/19/2002	W/H TAX DIV TXN	(1,558)	-	(1,558)	-	-	203,284,104	MDPTPP02969799	MDPTPP02969812	GCC-NYAG 0258402
8/19/2002	W/H TAX DIV MON	(2)	-	(2)	-	-	203,284,102	MDPTPP02969799	MDPTPP02969812	GCC-NYAG 0258402
8/23/2002	W/H TAX DIV C	(40,612)	-	(40,612)	-	-	203,243,489	MDPTPP02969799	MDPTPP02969812	GCC-NYAG 0258412
8/26/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(7)	-	(7)	-	-	203,243,482	MDPTPP02969799	MDPTPP02969812	GCC-NYAG 0258412
9/3/2002	W/H TAX DIV INT	(5,712)	-	(5,712)	-	-	203,237,770	MDPTPP02969815	MDPTPP02969819	GCC-NYAG 0258285
9/3/2002	W/H TAX DIV WFC	(20,533)	-	(20,533)	-	-	203,217,237	MDPTPP02969815	MDPTPP02969819	GCC-NYAG 0258285

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	
Date	Transaction Description as Reported in Customer Statement	Amount as Reported in Customer Statement	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: BATES REF	
9/5/2002	W/H TAX DIV G	(7,150)	-	(7,150)	-	-	203,210,087	MDPTPP02969815	MDPTPP02969819	GCC-NYAG 0258285	
9/5/2002	W/H TAX DIV PFE	(34,973)	-	(34,973)	-	-	203,175,114	MDPTPP02969815	MDPTPP02969819	GCC-NYAG 0258285	
9/6/2002	W/H TAX DIV BA	(6,006)	-	(6,006)	-	-	203,169,108	MDPTPP02969815	MDPTPP02969819	GCC-NYAG 0258285	
9/9/2002	W/H TAX DIV BUD	(7,150)	-	(7,150)	-	-	203,161,958	MDPTPP02969815	MDPTPP02969819	GCC-NYAG 0258285	
9/10/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(3)	-	(3)	-	-	203,161,955	MDPTPP02969815	MDPTPP02969819	GCC-NYAG 0258285	
9/10/2002	W/H TAX DIV XOM	(66,086)	-	(66,086)	-	-	203,095,869	MDPTPP02969815	MDPTPP02969819	GCC-NYAG 0258287	
9/10/2002	W/H TAX DIV IBM	(10,799)	-	(10,799)	-	-	203,085,069	MDPTPP02969815	MDPTPP02969819	GCC-NYAG 0258287	
9/10/2002	W/H TAX DIV JNJ	(8,536)	-	(8,536)	-	-	203,076,533	MDPTPP02969815	MDPTPP02969819	GCC-NYAG 0258287	
9/12/2002	W/H TAX DIV DD	(14,593)	-	(14,593)	-	-	203,061,940	MDPTPP02969815	MDPTPP02969819	GCC-NYAG 0258287	
10/8/2002	CHECK WIRE	18,000,000	18,000,000	-	-	-	221,061,940	MDPTPP02969821	MDPTPP02969832	GCC-NYAG 0258220	
10/8/2002	TRANS FROM 1A005830 (1A0058)	465,000	-	-	465,000	-	221,526,940	MDPTPP02969821	MDPTPP02969832	GCC-NYAG 0258220	
10/17/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(16)	-	(16)	-	-	221,526,924	MDPTPP02969821	MDPTPP02969832	GCC-NYAG 0258224	
11/15/2002	W/H TAX DIV CL	(2,517)	-	(2,517)	-	-	221,524,406	MDPTPP02969835	MDPTPP02969847	GCC-NYAG 0258107	
11/15/2002	W/H TAX DIV PG	(8,734)	-	(8,734)	-	-	221,515,672	MDPTPP02969835	MDPTPP02969847	GCC-NYAG 0258107	
11/18/2002	W/H TAX DIV TXN	(894)	-	(894)	-	-	221,514,779	MDPTPP02969835	MDPTPP02969847	GCC-NYAG 0258111	
11/22/2002	W/H TAX DIV C	(22,272)	-	(22,272)	-	-	221,492,507	MDPTPP02969835	MDPTPP02969847	GCC-NYAG 0258121	
11/25/2002	W/H TAX DIV GS	(1,399)	-	(1,399)	-	-	221,491,108	MDPTPP02969835	MDPTPP02969847	GCC-NYAG 0258121	
11/27/2002	W/H TAX DIV MER	(3,486)	-	(3,486)	-	-	221,487,622	MDPTPP02969835	MDPTPP02969847	GCC-NYAG 0258121	
1/2/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)	-	*	[5]	-	221,487,622	MDPTPP02969857	MDPTPP02969859	GCC-NYAG 0257812	
1/6/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(45)	[3]	-	*	[5]	-	221,487,622	MDPTPP02969849	MDPTPP02969855	GCC-NYAG 0257992
1/6/2003	W/H TAX DIV BA	(2,532)	[3]	-	*	[5]	-	221,487,622	MDPTPP02969849	MDPTPP02969855	GCC-NYAG 0257986
1/6/2003	W/H TAX DIV UTX	(2,027)	[3]	-	*	[5]	-	221,487,622	MDPTPP02969849	MDPTPP02969855	GCC-NYAG 0257988
1/6/2003	W/H TAX DIV G	(4,283)	[3]	-	*	[5]	-	221,487,622	MDPTPP02969849	MDPTPP02969855	GCC-NYAG 0257986
1/6/2003	W/H TAX DIV INTC	(3,328)	[3]	-	*	[5]	-	221,487,622	MDPTPP02969849	MDPTPP02969855	GCC-NYAG 0257986
1/6/2003	W/H TAX DIV HCA	(280)	[3]	-	*	[5]	-	221,487,622	MDPTPP02969849	MDPTPP02969855	GCC-NYAG 0257986
1/6/2003	W/H TAX DIV BUD	(4,231)	[3]	-	*	[5]	-	221,487,622	MDPTPP02969849	MDPTPP02969855	GCC-NYAG 0257986
1/6/2003	W/H TAX DIV XOM	(38,580)	[3]	-	*	[5]	-	221,487,622	MDPTPP02969849	MDPTPP02969855	GCC-NYAG 0257988
1/6/2003	W/H TAX DIV IBM	(6,308)	[3]	-	*	[5]	-	221,487,622	MDPTPP02969849	MDPTPP02969855	GCC-NYAG 0257988
1/6/2003	W/H TAX DIV JNJ	(4,085)	[3]	-	*	[5]	-	221,487,622	MDPTPP02969849	MDPTPP02969855	GCC-NYAG 0257988
1/6/2003	W/H TAX DIV DD	(6,194)	[3]	-	*	[5]	-	221,487,622	MDPTPP02969849	MDPTPP02969855	GCC-NYAG 0257988
1/6/2003	W/H TAX DIV WFC	(11,949)	[3]	-	*	[5]	-	221,487,622	MDPTPP02969849	MDPTPP02969855	GCC-NYAG 0257986
1/6/2003	W/H TAX DIV PFE	(14,200)	[3]	-	*	[5]	-	221,487,622	MDPTPP02969849	MDPTPP02969855	GCC-NYAG 0257986
1/8/2003	TRANS TO 1A005830 A/O 1/2/03 (1A0058)	(551,296,800)	[4]	-	-	-	(221,487,622)	-	MDPTPP02969857	MDPTPP02969859	GCC-NYAG 0257814
	Total:	\$ 242,764,111	\$ (39,488,580)	\$ 76,408,398	\$ (279,683,929)	\$ -	\$ -				

<sup>[1]</sup> Although BLMIS Customer Statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[2]</sup> Although BLMIS Customer Statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

<sup>[3]</sup> The information on Customer Statements and BLMIS records regarding payments to account holders provides the "issue date" of the check or wire; however, the funds change hands on the clearing date, which is subsequent to the date of issuance for check payments. At the direction of the Trustee's counsel, the Principal Balance Calculation uses the clearing date for checks which were issued before certain cut-off dates (90-day, one-year, two-year and six-year) before December 11, 2008, but cleared during those time periods.

<sup>[4]</sup> Although BLMIS Customer Statements reflect that a larger transfer was made out of the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the account was transferred out of the account on this date.

<sup>[5]</sup> The Principal Balance Calculation was adjusted at the direction of the Trustee's Counsel and pursuant to a Settlement Agreement with the IRS approved by Judge Lifland on December 21, 2011. According to Section 2 of the Settlement Agreement, "[T]he Trustee shall adjust the Foreign Accountholders' BLMIS account balance by crediting all Payments out of the accounts for alleged withholding payments made to the IRS to the extent of those payments." Amounts withheld from account holders and paid by BLMIS to the IRS on behalf of the customer during the six-year period prior to the filing date have been excluded from the Principal Balance Calculation as those amounts have subsequently been refunded by the IRS. (See Trustee's Motion for Entry of an Order Pursuant to Section 105(a) of the Bankruptcy Code and Rules 2002 and 9019 of the Federal Rules of Bankruptcy Procedure Approving an Agreement By and Between the Trustee and United States of America on Behalf of the Internal Revenue Service at Exhibit B ¶I, No. 08-1789-smb (Bankr. S.D.N.Y. Nov. 22, 2011), ECF No. 4544.)

## **Exhibit 4P**

## BLMIS ACCOUNT NO. 1A0058 - ASCOT PARTNERS LP

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	<u>Deposits</u>	<u>Withdrawals</u>	<u>Transfers In</u>	<u>Transfers Out</u>	<u>Balance</u>	<u>BLMIS SOURCE DOCUMENT:</u> <u>Beg Bates</u>	<u>End Bates</u>	<b>CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS:</b> <u>Bates Ref</u>
1/4/1993	TRANS FROM 1W004130 (1W0041)	3,155,660 [1]	-	-	3,000,000	-	3,000,000	MF00435941	MF00435942	Not Produced
1/4/1993	TRANS FROM 1B007930 (1B0079)	1,712,463 [1]	-	-	1,500,000	-	4,500,000	MF00435941	MF00435942	Not Produced
1/4/1993	TRANS FROM 1A004230 (1A0042)	25,759,317 [1]	-	-	21,543,295	-	26,043,295	MF00435941	MF00435942	Not Produced
1/4/1993	TRANS FROM 1H003730 (1H0037)	1,485,398 [1]	-	-	1,300,000	-	27,343,295	MF00435941	MF00435942	Not Produced
1/12/1993	CHECK WIRE	9,075,489	9,075,489	-	-	-	36,418,784	MF00435941	MF00435942	Not Produced
2/26/1993	TRANS FROM 1H003730 (1H0037)	789 [2]	-	-	-	-	36,418,784	MF00430536	MF00430537	Not Produced
2/26/1993	TRANS FROM 1W004130 (1W0041)	1,077 [2]	-	-	-	-	36,418,784	MF00430536	MF00430537	Not Produced
2/26/1993	TRANS FROM 1A004230 (1A0042)	14,276 [2]	-	-	-	-	36,418,784	MF00430536	MF00430537	Not Produced
2/26/1993	TRANS FROM 1B007930 (1B0079)	904 [2]	-	-	-	-	36,418,784	MF00430536	MF00430537	Not Produced
7/28/1993	CHECK WIRE	3,000,000	3,000,000	-	-	-	39,418,784	MF00396226	MF00396227	Not Produced
7/29/1993	TRANS FROM 1FN00530 (1FN005)	1,695,510	-	-	1,695,510	-	41,114,294	MF00396226	MF00396227	Not Produced
1/11/1994	CHECK WIRE	5,000,000	5,000,000	-	-	-	46,114,294	MF00311269	MF00311272	Not Produced
1/11/1994	TRANS FROM 1FN00530 (1FN005)	14,053,625	-	-	14,053,625	-	60,167,919	MF00311269	MF00311272	Not Produced
4/25/1994	TRANS FROM 1FN00530 (1FN005)	11,052,172	-	-	11,052,172	-	71,220,091	MF00284348	MF00284350	Not Produced
7/6/1994	CHECK WIRE	7,000,000	7,000,000	-	-	-	78,220,091	MF00229202	MF00229204	Not Produced
7/12/1994	TRANS TO 1FN00530 (1FN005)	(5,039,709)	-	-	-	(5,039,709)	73,180,382	MF00229202	MF00229204	Not Produced
10/13/1994	TRANS FROM 1FN00530 (1FN005)	5,961,000	-	-	5,961,000	-	79,141,382	MF00278562	MF00278565	Not Produced
7/24/1995	TRANS FROM 1FN005-30 (1FN005)	1,780,000	-	-	1,780,000	-	80,921,382	MF00213417	MF00213418	Not Produced
8/3/1995	TRANS FROM 1FN005-30 (1FN005)	4,000,000	-	-	4,000,000	-	84,921,382	MF00134134	MF00134136	Not Produced
10/2/1995	TRANS TO 1FN00530 (1FN005)	(500,000)	-	-	-	(500,000)	84,421,382	MF00113948	MF00113949	Not Produced
12/28/1995	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	78,421,382	MDPTPP00019128	MDPTPP00019133	Not Produced
1/23/1996	CHECK WIRE	6,000,000	6,000,000	-	-	-	84,421,382	MDPTPP00019135	MDPTPP00019142	Not Produced
1/26/1996	TRANS FROM 1FN00530 (1FN005)	304,000	-	-	304,000	-	84,725,382	MDPTPP00019135	MDPTPP00019142	Not Produced
4/16/1996	CHECK WIRE	4,500,000	4,500,000	-	-	-	89,225,382	MDPTPP00019164	MDPTPP00019169	Not Produced
4/16/1996	TRANS FROM 1FN00530 (1FN005)	500,000	-	-	500,000	-	89,725,382	MDPTPP00019164	MDPTPP00019169	Not Produced
7/5/1996	CHECK WIRE	15,661,000	15,661,000	-	-	-	105,386,382	MDPTPP00019191	MDPTPP00019196	Not Produced
7/24/1996	CHECK WIRE	39,000	39,000	-	-	-	105,425,382	MDPTPP00019191	MDPTPP00019196	Not Produced
12/30/1996	CHECK WIRE	(1,600,000)	-	(1,600,000)	-	-	103,825,382	MDPTPP00019228	MDPTPP00019232	Not Produced
1/7/1997	CHECK WIRE	(9,240,000)	-	(9,240,000)	-	-	94,585,382	MDPTPP00019234	MDPTPP00019241	Not Produced
1/10/1997	CHECK WIRE	(1,000,000)	-	(1,000,000)	-	-	93,585,382	MDPTPP00019234	MDPTPP00019241	Not Produced
4/8/1997	CHECK WIRE	8,500,000	8,500,000	-	-	-	102,085,382	MDPTPP00019260	MDPTPP00019266	Not Produced
7/8/1997	CHECK WIRE	1,400,000	1,400,000	-	-	-	103,485,382	MDPTPP00019282	MDPTPP00019287	Not Produced
7/10/1997	CHECK WIRE	1,000,000	1,000,000	-	-	-	104,485,382	MDPTPP00019282	MDPTPP00019287	Not Produced
10/8/1997	CHECK WIRE	(2,500,000)	-	(2,500,000)	-	-	101,985,382	MDPTPP00019304	MDPTPP00019308	Not Produced
12/30/1997	CHECK WIRE	(6,000,000)	-	(6,000,000)	-	-	95,985,382	MDPTPP00019318	MDPTPP00019322	Not Produced
1/7/1998	CHECK WIRE	(2,500,000)	-	(2,500,000)	-	-	93,485,382	MDPTPP00019324	MDPTPP00019330	Not Produced
1/9/1998	TRANS TO 1FN00530 (1FN005)	(1,000,000)	-	-	-	(1,000,000)	92,485,382	MDPTPP00019324	MDPTPP00019330	Not Produced
4/13/1998	CHECK WIRE	26,000,000	26,000,000	-	-	-	118,485,382	MDPTPP00019349	MDPTPP00019353	Not Produced
7/8/1998	CHECK WIRE	10,000,000	10,000,000	-	-	-	128,485,382	MDPTPP00019373	MDPTPP00019378	Not Produced
4/5/1999	CHECK WIRE	18,685,400	18,685,400	-	-	-	147,170,782	MDPTPP00019463	MDPTPP00019470	Not Produced
4/12/1999	CHECK WIRE	11,400,000	11,400,000	-	-	-	158,570,782	MDPTPP00019463	MDPTPP00019470	Not Produced
4/14/1999	TRANS FROM 1FN00530 (1FN005)	1,950,000	-	-	1,950,000	-	160,520,782	MDPTPP00019463	MDPTPP00019470	Not Produced
7/12/1999	TRANS TO 1FN00530 (1FN005)	(6,400,000)	-	-	-	(6,400,000)	154,120,782	MDPTPP00019493	MDPTPP00019500	Not Produced
1/10/2000	CHECK WIRE	700,000	700,000	-	-	-	154,820,782	MDPTPP00019545	MDPTPP00019554	GCC-NYAG 0260000
4/6/2000	CHECK WIRE	6,175,000	6,175,000	-	-	-	160,995,782	MDPTPP00019578	MDPTPP00019584	GCC-NYAG 0259915
8/2/2000	CHECK WIRE	4,200,000	4,200,000	-	-	-	165,195,782	MDPTPP00019620	MDPTPP00019627	GCC-NYAG 0259793
10/31/2000	TRANS TO 1FN00530 (1FN005)	(11,900,000)	-	-	(11,900,000)	153,295,782	MDPTPP00019640	MDPTPP00019652	GCC-NYAG 0259675	
1/8/2001	TRANS TO 1FN00530 (1FN005)	(1,400,000)	-	-	(1,400,000)	151,895,782	MDPTPP00019672	MDPTPP00019679	GCC-NYAG 0259499	
4/12/2001	TRANS TO 1FN00530 (1FN005)	(700,000)	-	-	(700,000)	151,195,782	MDPTPP00019703	MDPTPP00019711	GCC-NYAG 0259334	
7/25/2001	TRANS TO 1FR07030 (1FR070)	(1,600,000)	-	-	(1,600,000)	149,595,782	MDPTPP00019729	MDPTPP00019740	GCC-NYAG 0259193	
7/25/2001	TRANS TO 1FN00530 (1FN005)	(13,100,000)	-	-	(13,100,000)	136,495,782	MDPTPP00019729	MDPTPP00019740	GCC-NYAG 0259193	
7/25/2001	TRANS TO 1G032130 (1G0321)	(1,400,000)	-	-	(1,400,000)	135,095,782	MDPTPP00019729	MDPTPP00019740	GCC-NYAG 0259193	
10/15/2001	TRANS TO 1G032130 (1G0321)	(2,600,000)	-	-	(2,600,000)	132,49				

## BLMIS ACCOUNT NO. 1A0058 - ASCOT PARTNERS LP

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	<u>Deposits</u>	<u>Withdrawals</u>	<u>Transfers In</u>	<u>Transfers Out</u>	<u>Balance</u>	<u>BLMIS SOURCE DOCUMENT:</u> <u>Beg Bates</u>	<u>End Bates</u>	<u>CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS:</u> <u>Bates Ref</u>
7/15/2002	TRANS FROM 1G032130 (1G0321)	200,000	-	-	200,000	-	141,695,782	MDPTPP00019877	MDPTPP00019891	GCC-NYAG 0258430
7/15/2002	TRANS FROM 1FR07030 (1FR070)	6,700,000	-	-	6,700,000	-	148,395,782	MDPTPP00019877	MDPTPP00019891	GCC-NYAG 0258430
10/8/2002	TRANS TO 1FN00530 (1FN005)	(465,000)	-	-	-	(465,000)	147,930,782	MDPTPP00019915	MDPTPP00019926	GCC-NYAG 0258133
12/31/2002	TRANS TO 1G032130 (1G0321)	(4,400,000)	-	-	-	(4,400,000)	143,530,782	MDPTPP00019943	MDPTPP00019949	GCC-NYAG 0257932
1/8/2003	TRANS FROM 1FR07030 A/O 1/2/03 (1FR070)	6,500,000	-	-	6,500,000	-	150,030,782	MDPTPP00019951	MDPTPP00019965	GCC-NYAG 0257821
1/8/2003	TRANS FROM 1G032130 A/O 1/2/03 (1G0321)	19,500,000	-	-	19,500,000	-	169,530,782	MDPTPP00019951	MDPTPP00019965	GCC-NYAG 0257821
1/8/2003	TRANS FROM 1FN00530 A/O 1/2/03 (1FN005)	551,296,800 <sup>[1]</sup>	-	-	221,487,622	-	391,018,404	MDPTPP00019951	MDPTPP00019965	GCC-NYAG 0257821
4/15/2003	TRANS FROM 1G032130 (1G0321)	10,700,000	-	-	10,700,000	-	401,718,404	MDPTPP00020007	MDPTPP00020010	GCC-NYAG 0257525
4/15/2003	TRANS FROM 1FR07030 (1FR070)	11,300,000	-	-	11,300,000	-	413,018,404	MDPTPP00020007	MDPTPP00020010	GCC-NYAG 0257525
7/3/2003	CHECK WIRE	30,000,000	30,000,000	-	-	-	443,018,404	MDPTPP00020047	MDPTPP00020062	GCC-NYAG 0257182
7/3/2003	TRANS FROM 1FR07030 (1FR070)	8,000,000	-	-	8,000,000	-	451,018,404	MDPTPP00020047	MDPTPP00020062	GCC-NYAG 0257182
7/3/2003	TRANS FROM 1G032130 (1G0321)	13,000,000	-	-	13,000,000	-	464,018,404	MDPTPP00020047	MDPTPP00020062	GCC-NYAG 0257182
10/7/2003	CHECK WIRE	25,000,000	25,000,000	-	-	-	489,018,404	MDPTPP00020100	MDPTPP00020111	GCC-NYAG 0256860
10/7/2003	TRANS FROM 1FR07030 (1FR070)	12,000,000	-	-	12,000,000	-	501,018,404	MDPTPP00020100	MDPTPP00020111	GCC-NYAG 0256860
10/7/2003	TRANS FROM 1G032130 (1G0321)	6,000,000	-	-	6,000,000	-	507,018,404	MDPTPP00020100	MDPTPP00020111	GCC-NYAG 0256860
10/8/2003	CHECK WIRE	5,000,000	5,000,000	-	-	-	512,018,404	MDPTPP00020100	MDPTPP00020111	GCC-NYAG 0256860
12/2/2003	CHECK WIRE	(5,000,000)	-	(5,000,000)	-	-	507,018,404	MDPTPP00020128	MDPTPP00020145	GCC-NYAG 0256630
12/26/2003	CHECK WIRE	(12,000,000)	-	(12,000,000)	-	-	495,018,404	MDPTPP00020128	MDPTPP00020145	GCC-NYAG 0256656
1/5/2004	CHECK WIRE	17,000,000	17,000,000	-	-	-	512,018,404	MDPTPP00020147	MDPTPP00020159	GCC-NYAG 0256580
1/5/2004	TRANS FROM 1FR07030 (1FR070)	14,200,000	-	-	14,200,000	-	526,218,404	MDPTPP00020147	MDPTPP00020159	GCC-NYAG 0256580
1/5/2004	TRANS FROM 1G032130 (1G0321)	5,800,000	-	-	5,800,000	-	532,018,404	MDPTPP00020147	MDPTPP00020159	GCC-NYAG 0256580
7/14/2004	TRANS TO 1FR07030 (1FR070)	(19,400,000)	-	-	-	(19,400,000)	512,618,404	MDPTPP00020237	MDPTPP00020240	GCC-NYAG 0256324
7/14/2004	TRANS TO 1G032130 (1G0321)	(20,600,000)	-	-	-	(20,600,000)	492,018,404	MDPTPP00020237	MDPTPP00020240	GCC-NYAG 0256324
8/2/2004	TRANS FROM 1G032130 (1G0321)	5,000,000	-	-	5,000,000	-	497,018,404	MDPTPP00020242	MDPTPP00020255	GCC-NYAG 0256261
8/2/2004	TRANS FROM 1FR07030 (1FR070)	6,000,000	-	-	6,000,000	-	503,018,404	MDPTPP00020242	MDPTPP00020255	GCC-NYAG 0256261
8/3/2004	CHECK WIRE	15,000,000	15,000,000	-	-	-	518,018,404	MDPTPP00020242	MDPTPP00020255	GCC-NYAG 0256261
1/6/2005	CHECK WIRE	100,000,000	100,000,000	-	-	-	618,018,404	MDPTPP00020310	MDPTPP00020322	GCC-NYAG 0256021
4/5/2005	CHECK WIRE	30,000,000	30,000,000	-	-	-	648,018,404	MDPTPP00020347	MDPTPP00020351	GCC-NYAG 0255918
10/5/2005	CHECK WIRE	50,000,000	50,000,000	-	-	-	698,018,404	MDPTPP00020416	MDPTPP00020432	GCC-NYAG 0255638
12/23/2005	CHECK WIRE	(25,000,000)	-	(25,000,000)	-	-	673,018,404	MDPTPP00020444	MDPTPP00020460	GCC-NYAG 0255559
1/5/2006	TRANS TO 1G032130 A/O 1/3 (1G0321)	(59,000,000)	-	-	-	(59,000,000)	614,018,404	MDPTPP00020462	MDPTPP00020478	GCC-NYAG 0255487
1/5/2006	TRANS TO 1FR07030 A/O 1/3 (1FR070)	(38,000,000)	-	-	-	(38,000,000)	576,018,404	MDPTPP00020462	MDPTPP00020478	GCC-NYAG 0255487
1/6/2006	CHECK WIRE	(63,000,000)	-	(63,000,000)	-	-	513,018,404	MDPTPP00020462	MDPTPP00020478	GCC-NYAG 0255487
4/4/2006	CHECK WIRE	(76,000,000)	-	(76,000,000)	-	-	437,018,404	MDPTPP00020510	MDPTPP00020524	GCC-NYAG 0255376
7/7/2006	TRANS TO 1G032130 (1G0321)	(26,000,000)	-	-	-	(26,000,000)	411,018,404	MDPTPP00020552	MDPTPP00020564	GCC-NYAG 0255236
7/12/2006	CHECK WIRE	25,000,000	25,000,000	-	-	-	436,018,404	MDPTPP00020552	MDPTPP00020564	GCC-NYAG 0255237
12/29/2006	CHECK WIRE	(10,000,000)	-	(10,000,000)	-	-	426,018,404	MDPTPP00020612	MDPTPP00020628	GCC-NYAG 0255029
1/4/2007	TRANS TO 1G032130 (1G0321)	(26,500,000)	-	-	-	(26,500,000)	399,518,404	MDPTPP00020630	MDPTPP00020635	GCC-NYAG 0254998
1/4/2007	TRANS TO 1FR07030 (1FR070)	(18,500,000)	-	-	-	(18,500,000)	381,018,404	MDPTPP00020630	MDPTPP00020635	GCC-NYAG 0254998
7/6/2007	CHECK WIRE	80,000,000	80,000,000	-	-	-	461,018,404	MDPTPP00020710	MDPTPP00020714	GCC-NYAG 0254734
12/31/2007	CHECK WIRE	(175,000,000)	-	(175,000,000)	-	-	286,018,404	MDPTPP00020777	MDPTPP00020788	GCC-NYAG 0254989
4/2/2008	CHECK WIRE	25,000,000	25,000,000	-	-	-	311,018,404	MDPTPP00020817	MDPTPP00020826	GCC-NYAG 0254342
7/2/2008	CHECK WIRE	(50,000,000)	-	(50,000,000)	-	-	261,018,404	MDPTPP00020848	MDPTPP00020856	GCC-NYAG 0254238
10/1/2008	CHECK WIRE	(45,000,000)	-	(45,000,000)	-	-	216,018,404	MDPTPP00020882	MDPTPP00020887	GCC-NYAG 0254131
10/9/2008	CHECK WIRE	10,000,000	10,000,000	-	-	-	226,018,404	MDPTPP00020882	MDPTPP00020887	GCC-NYAG 0254131

Total: \$ 552,335,889    \$ (489,840,000)    \$ 422,027,224    \$ (258,504,709)    \$ 226,018,404

<sup>[1]</sup> Although BLMIS Customer Statements reflect that a larger transfer was made into the account on this date, a portion of the "transferred" funds consisted of fictitious profits which were never achieved and thus could not have been transferred. Accordingly, only the principal remaining in the originating account was transferred into this account on this date.

<sup>[2]</sup> Although BLMIS Customer Statements reflect that funds were transferred into this account on this date, these funds consisted entirely of fictitious profits which were never achieved and thus no funds were actually transferred into the account on this date. Accordingly, the account balance has remained unchanged.

## **Exhibit 4Q**

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Amount as Reported in Customer Statement	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Bates	BLMIS SOURCE DOCUMENT: End Bates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: Bates Ref
8/2/2000	CHECK WIRE	46,000,000	46,000,000	-	-	-	46,000,000	MDPTPP03181233	MDPTPP03181236	GCC-NYAG 0259805
8/15/2000	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(11)	-	(11)	-	-	45,999,989	MDPTPP03181233	MDPTPP03181236	GCC-NYAG 0259805
9/15/2000	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(16)	-	(16)	-	-	45,999,973	MDPTPP03181237	MDPTPP03181245	GCC-NYAG 0259751
10/2/2000	W/H TAX DIV KO	(565)	-	(565)	-	-	45,999,408	MDPTPP03181248	MDPTPP03181261	GCC-NYAG 0259681
10/5/2000	W/H TAX DIV AV	(1)	-	(1)	-	-	45,999,407	MDPTPP03181248	MDPTPP03181261	GCC-NYAG 0259681
10/10/2000	W/H TAX DIV WMT	(362)	-	(362)	-	-	45,999,046	MDPTPP03181248	MDPTPP03181261	GCC-NYAG 0259681
10/11/2000	W/H TAX DIV HWP	(375)	-	(375)	-	-	45,998,670	MDPTPP03181248	MDPTPP03181261	GCC-NYAG 0259681
10/18/2000	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(15)	-	(15)	-	-	45,998,655	MDPTPP03181248	MDPTPP03181261	GCC-NYAG 0259688
10/25/2000	W/H TAX DIV GE	(3,185)	-	(3,185)	-	-	45,995,470	MDPTPP03181248	MDPTPP03181261	GCC-NYAG 0259692
10/27/2000	W/H TAX DIV MWD	(538)	-	(538)	-	-	45,994,932	MDPTPP03181248	MDPTPP03181261	GCC-NYAG 0259693
11/1/2000	W/H TAX DIV BMY	(1,143)	-	(1,143)	-	-	45,993,789	MDPTPP03181263	MDPTPP03181269	GCC-NYAG 0259621
11/1/2000	W/H TAX DIV PHA	(361)	-	(361)	-	-	45,993,428	MDPTPP03181263	MDPTPP03181269	GCC-NYAG 0259621
11/1/2000	W/H TAX DIV VZ	(2,471)	-	(2,471)	-	-	45,990,957	MDPTPP03181263	MDPTPP03181269	GCC-NYAG 0259621
11/1/2000	W/H TAX DIV T	(1,971)	-	(1,971)	-	-	45,988,985	MDPTPP03181263	MDPTPP03181269	GCC-NYAG 0259621
11/10/2000	W/H TAX DIV AXP	(251)	-	(251)	-	-	45,988,735	MDPTPP03181263	MDPTPP03181269	GCC-NYAG 0259621
12/12/2000	W/H TAX DIV JNJ	(215)	-	(215)	-	-	45,988,519	MDPTPP03181271	MDPTPP03181279	GCC-NYAG 0259570
12/18/2000	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(60)	-	(60)	-	-	45,988,459	MDPTPP03181271	MDPTPP03181279	GCC-NYAG 0259573
1/8/2001	CHECK WIRE	38,200,000	38,200,000	-	-	-	84,188,459	MDPTPP03181281	MDPTPP03181289	GCC-NYAG 0259514
1/18/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(43)	-	(43)	-	-	84,188,416	MDPTPP03181281	MDPTPP03181289	GCC-NYAG 0259515
1/30/2001	W/H TAX DIV MWD	(286)	-	(286)	-	-	84,188,130	MDPTPP03181281	MDPTPP03181289	GCC-NYAG 0259519
2/1/2001	W/H TAX DIV VZ	(1,197)	-	(1,197)	-	-	84,186,934	MDPTPP03181292	MDPTPP03181300	GCC-NYAG 0259459
2/1/2001	W/H TAX DIV PHA	(181)	-	(181)	-	-	84,186,753	MDPTPP03181292	MDPTPP03181300	GCC-NYAG 0259459
2/12/2001	W/H TAX DIV TXN	(179)	-	(179)	-	-	84,186,573	MDPTPP03181292	MDPTPP03181300	GCC-NYAG 0259459
2/15/2001	W/H TAX DIV PG	(1,828)	-	(1,828)	-	-	84,184,745	MDPTPP03181292	MDPTPP03181300	GCC-NYAG 0259459
2/22/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(10)	-	(10)	-	-	84,184,735	MDPTPP03181292	MDPTPP03181300	GCC-NYAG 0259464
2/23/2001	W/H TAX DIV C	(3,457)	-	(3,457)	-	-	84,184,278	MDPTPP03181292	MDPTPP03181300	GCC-NYAG 0259466
3/1/2001	W/H TAX DIV INTC	(657)	-	(657)	-	-	84,180,621	MDPTPP03181302	MDPTPP03181311	GCC-NYAG 0259406
3/1/2001	W/H TAX DIV LU	(243)	-	(243)	-	-	84,180,377	MDPTPP03181302	MDPTPP03181311	GCC-NYAG 0259406
3/1/2001	W/H TAX DIV WFC	(1,965)	-	(1,965)	-	-	84,178,412	MDPTPP03181302	MDPTPP03181311	GCC-NYAG 0259406
3/8/2001	W/H TAX DIV PFE	(3,448)	-	(3,448)	-	-	84,174,964	MDPTPP03181302	MDPTPP03181311	GCC-NYAG 0259409
3/9/2001	W/H TAX DIV XOM	(7,275)	-	(7,275)	-	-	84,167,689	MDPTPP03181302	MDPTPP03181311	GCC-NYAG 0259409
3/12/2001	W/H TAX DIV IBM	(1,111)	-	(1,111)	-	-	84,166,577	MDPTPP03181302	MDPTPP03181311	GCC-NYAG 0259409
3/13/2001	W/H TAX DIV JNJ	(930)	-	(930)	-	-	84,165,648	MDPTPP03181302	MDPTPP03181311	GCC-NYAG 0259409
3/19/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(27)	-	(27)	-	-	84,165,620	MDPTPP03181302	MDPTPP03181311	GCC-NYAG 0259411
3/22/2001	W/H TAX DIV HD	(91)	-	(91)	-	-	84,165,529	MDPTPP03181302	MDPTPP03181311	GCC-NYAG 0259412
3/30/2001	W/H TAX DIV PEP	(208)	-	(208)	-	-	84,165,321	MDPTPP03181302	MDPTPP03181311	GCC-NYAG 0259412
4/2/2001	W/H TAX DIV KO	(437)	-	(437)	-	-	84,164,884	MDPTPP03181314	MDPTPP03181322	GCC-NYAG 0259348
4/2/2001	W/H TAX DIV MRK	(745)	-	(745)	-	-	84,164,139	MDPTPP03181314	MDPTPP03181322	GCC-NYAG 0259348
4/9/2001	W/H TAX DIV WMT	(1,188)	-	(1,188)	-	-	84,162,951	MDPTPP03181314	MDPTPP03181322	GCC-NYAG 0259348
4/11/2001	W/H TAX DIV HWP	(623)	-	(623)	-	-	84,162,328	MDPTPP03181314	MDPTPP03181322	GCC-NYAG 0259348
4/12/2001	CHECK WIRE	14,100,000	14,100,000	-	-	-	98,262,328	MDPTPP03181314	MDPTPP03181322	GCC-NYAG 0259348
4/24/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(19)	-	(19)	-	-	98,262,309	MDPTPP03181314	MDPTPP03181322	GCC-NYAG 0259351
4/27/2001	W/H TAX DIV MWD	(972)	-	(972)	-	-	98,261,337	MDPTPP03181314	MDPTPP03181322	GCC-NYAG 0259355
4/30/2001	W/H TAX DIV JPM	(2,445)	-	(2,445)	-	-	98,258,892	MDPTPP03181314	MDPTPP03181322	GCC-NYAG 0259355
5/1/2001	W/H TAX DIV T	(539)	-	(539)	-	-	98,258,352	MDPTPP03181324	MDPTPP03181327	GCC-NYAG 0259303
5/1/2001	W/H TAX DIV PHA	(595)	-	(595)	-	-	98,257,757	MDPTPP03181324	MDPTPP03181327	GCC-NYAG 0259303
5/1/2001	W/H TAX DIV VZ	(3,994)	-	(3,994)	-	-	98,253,763	MDPTPP03181324	MDPTPP03181327	GCC-NYAG 0259303
5/1/2001	W/H TAX DIV BMY	(2,038)	-	(2,038)	-	-	98,251,725	MDPTPP03181324	MDPTPP03181327	GCC-NYAG 0259303
5/2/2001	W/H TAX DIV TYC	(85)	-	(85)	-	-	98,251,640	MDPTPP03181324	MDPTPP03181327	GCC-NYAG 0259303
5/10/2001	W/H TAX DIV AXP	(403)	-	(403)	-	-	98,251,237	MDPTPP03181324	MDPTPP03181327	GCC-NYAG 0259303
5/15/2001	W/H TAX DIV PG	(1,736)	-	(1,736)	-	-	98,249,501	MDPTPP03181324	MDPTPP03181327	GCC-NYAG 0259303
6/20/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(115)	-	(115)	-	-	98,249,386	MDPTPP03181329	MDPTPP03181337	GCC-NYAG 0259261
7/9/2001	W/H TAX DIV WMT	(1,207)	-	(1,207)	-	-	98,248,180	MDPTPP03181339	MDPTPP03181350	GCC-NYAG 0259200
7/11/2001	W/H TAX DIV XOM	(117)	-	(117)	-	-	98,248,062	MDPTPP03181339	MDPTPP03181350	GCC-NYAG 0259200
7/11/2001	W/H TAX DIV HWP	(262)	-	(262)	-	-	98,247,800	MDPTPP03181339	MDPTPP03181350	GCC-NYAG 0259200
7/23/2001	W/H TAX DIV MWD	(1,676)	-	(1,676)	-	-	98,246,124	MDPTPP03181339	MDPTPP03181350	GCC-NYAG 0259206
7/25/2001	W/H TAX DIV GE	(10,160)	-	(10,160)	-	-	98,235,964	MDPTPP03181339	MDPTPP03181350	GCC-NYAG 0259209
7/25/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(5)	-	(5)	-	-	98,235,959	MDPTPP03181339	MDPTPP03181350	GCC-NYAG 0259208
7/25/2001	TRANS FROM 1A005830/(IA0058)	1,600,000	-	-	1,600,000	-	99,835,959	MDPTPP03181339	MDPTPP03181350	GCC-NYAG 0259208
7/31/2001	W/H TAX DIV JPM	(4,351)	-	(4,351)	-	-	99,831,608	MDPTPP03181339	MDPTPP03181350	GCC-NYAG 0259209
8/1/2001	W/H TAX DIV VZ	(6,629)	-	(6,629)	-	-	99,824,979	MDPTPP03181353	MDPTPP03181362	GCC-NYAG 0259141
8/1/2001	W/H TAX DIV TYC	(150)	-	(150)	-	-	99,824,829	MDPTPP03181353	MDPTPP03181362	GCC-NYAG 0259141
8/1/2001	W/H TAX DIV BMY	(3,342)	-	(3,342)	-	-	99,821,487	MDPTPP03181353	MDPTPP03181362	GCC-NYAG 0259141
8/1/2001	W/H TAX DIV PHA	(1,135)	-	(1,135)	-	-	99,820,352	MDPTPP03181353	MDPTPP03181362	GCC-NYAG 0259141
8/10/2001	W/H TAX DIV AXP	(689)	-	(689)	-	-	99,819,663	MDPTPP03181353	MDPTPP03181362	GCC-NYAG 0259141
8/15/2001	W/H TAX DIV PG	(1,443)	-	(1,443)	-	-	99,818,220	MDPTPP03181353	MDPTPP03181362	GCC-NYAG 0259141
8/24/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(41)	-	(41)	-	-	99,818,179	MDPTPP03181353	MDPTPP03181362	GCC-NYAG 0259143
9/13/2001	W/H TAX DIV HD	(645)	-	(645)	-	-	99,817,534	MDPTPP03181365	MDPTPP03181369	GCC-NYAG 0259093
9/28/2001	W/H TAX DIV BAC	(6,156)	-	(6,156)	-	-	99,811,378	MDPTPP03181365	MDPTPP03181369	GCC-NYAG 0259094
9/28/2001	W/H TAX DIV PEP	(1,780)	-	(1,780)	-	-	99,809,598	MDPTPP03181365	MDPTPP03181369	GCC-NYAG 0259094
10/1/2001	W/H TAX DIV MRK	(5,578)	-	(5,578)	-	-	99,804,019	MDPTPP03181372	MDPTPP03181377	GCC-NYAG 0259049
10/1/2001	W/H TAX DIV KO	(3,067)	-	(3,067)	-	-	99,800,953	MDPTPP03181372	MDPTPP03181377	GCC-NYAG 0259049

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Amount as Reported in Customer Statement	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: Bates Ref
10/9/2001	W/H TAX DIV WMT	(2,168)	-	(2,168)	-	-	99,798.785	MDPTPP03181372	MDPTPP03181377	GCC-NYAG 0259049
10/10/2001	W/H TAX DIV HWP	(1,085)	-	(1,085)	-	-	99,797.699	MDPTPP03181372	MDPTPP03181377	GCC-NYAG 0259049
10/15/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(119)	-	(119)	-	-	99,797.581	MDPTPP03181372	MDPTPP03181377	GCC-NYAG 0259049
10/15/2001	TRANS FROM 1FN00530 (1FN005)	7,100,000	-	-	7,100,000	-	106,897.581	MDPTPP03181372	MDPTPP03181377	GCC-NYAG 0259049
10/25/2001	W/H TAX DIV GE	(11,083)	-	(11,083)	-	-	106,886,498	MDPTPP03181372	MDPTPP03181377	GCC-NYAG 0259050
10/26/2001	W/H TAX DIV MWD	(1,813)	-	(1,813)	-	-	106,884,685	MDPTPP03181372	MDPTPP03181377	GCC-NYAG 0259050
10/31/2001	W/H TAX DIV JPM	(4,672)	-	(4,672)	-	-	106,880,013	MDPTPP03181372	MDPTPP03181377	GCC-NYAG 0259051
11/1/2001	W/H TAX DIV PHA	(1,187)	-	(1,187)	-	-	106,878,826	MDPTPP03181379	MDPTPP03181387	GCC-NYAG 0259009
11/1/2001	W/H TAX DIV VZ	(7,194)	-	(7,194)	-	-	106,871,632	MDPTPP03181379	MDPTPP03181387	GCC-NYAG 0259009
11/1/2001	W/H TAX DIV BMY	(3,726)	-	(3,726)	-	-	106,867,905	MDPTPP03181379	MDPTPP03181387	GCC-NYAG 0259009
11/1/2001	W/H TAX DIV TYC	(170)	-	(170)	-	-	106,867,736	MDPTPP03181379	MDPTPP03181387	GCC-NYAG 0259009
11/1/2001	W/H TAX DIV T	(900)	-	(900)	-	-	106,866,835	MDPTPP03181379	MDPTPP03181387	GCC-NYAG 0259009
11/9/2001	W/H TAX DIV AXP	(734)	-	(734)	-	-	106,866,102	MDPTPP03181379	MDPTPP03181387	GCC-NYAG 0259009
11/15/2001	W/H TAX DIV PG	(3,342)	-	(3,342)	-	-	106,862,760	MDPTPP03181379	MDPTPP03181387	GCC-NYAG 0259009
11/19/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(9)	-	(9)	-	-	106,862,751	MDPTPP03181379	MDPTPP03181387	GCC-NYAG 0259013
11/19/2001	W/H TAX DIV TXN	(261)	-	(261)	-	-	106,862,490	MDPTPP03181379	MDPTPP03181387	GCC-NYAG 0259013
11/21/2001	W/H TAX DIV C	(5,600)	-	(5,600)	-	-	106,856,890	MDPTPP03181379	MDPTPP03181387	GCC-NYAG 0259015
12/3/2001	W/H TAX DIV MCD	(1,936)	-	(1,936)	-	-	106,854,953	MDPTPP03181389	MDPTPP03181397	BDO_T_0011858
12/3/2001	W/H TAX DIV INTC	(949)	-	(949)	-	-	106,854,004	MDPTPP03181389	MDPTPP03181397	BDO_T_0011858
12/3/2001	W/H TAX DIV WFC	(3,099)	-	(3,099)	-	-	106,850,905	MDPTPP03181389	MDPTPP03181397	BDO_T_0011858
12/6/2001	W/H TAX DIV PFE	(3,166)	-	(3,166)	-	-	106,847,739	MDPTPP03181389	MDPTPP03181397	BDO_T_0011858
12/10/2001	W/H TAX DIV XOM	(11,088)	-	(11,088)	-	-	106,836,651	MDPTPP03181389	MDPTPP03181397	BDO_T_0011858
12/10/2001	W/H TAX DIV IBM	(1,693)	-	(1,693)	-	-	106,834,958	MDPTPP03181389	MDPTPP03181397	BDO_T_0011858
12/14/2001	W/H TAX DIV DIV	(2,447)	-	(2,447)	-	-	106,832,511	MDPTPP03181389	MDPTPP03181397	BDO_T_0011860
12/31/2001	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(2)	-	(2)	-	-	106,832,509	MDPTPP03181389	MDPTPP03181397	BDO_T_0011862
1/7/2002	W/H TAX DIV WMT	(425)	-	(425)	-	-	106,832,083	MDPTPP03181399	MDPTPP03181410	GCC-NYAG 0258946
1/10/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(4)	-	(4)	-	-	106,832,079	MDPTPP03181399	MDPTPP03181410	GCC-NYAG 0258949
1/23/2002	TRANS TO 1A005830 (1A0058)	(800,000)	-	-	(800,000)	-	106,032,079	MDPTPP03181399	MDPTPP03181410	GCC-NYAG 0258954
1/25/2002	W/H TAX DIV MWD	(1,352)	-	(1,352)	-	-	106,030,727	MDPTPP03181399	MDPTPP03181410	GCC-NYAG 0258954
2/1/2002	W/H TAX DIV SBC	(4,553)	-	(4,553)	-	-	106,026,174	MDPTPP03181413	MDPTPP03181428	GCC-NYAG 0258878
2/1/2002	W/H TAX DIV VZ	(5,500)	-	(5,500)	-	-	106,020,674	MDPTPP03181413	MDPTPP03181428	GCC-NYAG 0258878
2/1/2002	W/H TAX DIV PHA	(916)	-	(916)	-	-	106,019,758	MDPTPP03181413	MDPTPP03181428	GCC-NYAG 0258878
2/11/2002	W/H TAX DIV TXN	(263)	-	(263)	-	-	106,019,495	MDPTPP03181413	MDPTPP03181428	GCC-NYAG 0258878
2/15/2002	W/H TAX DIV PG	(3,490)	-	(3,490)	-	-	106,016,005	MDPTPP03181413	MDPTPP03181428	GCC-NYAG 0258878
2/21/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(11)	-	(11)	-	-	106,015,994	MDPTPP03181413	MDPTPP03181428	GCC-NYAG 0258884
2/22/2002	W/H TAX DIV C	(5,820)	-	(5,820)	-	-	106,010,174	MDPTPP03181413	MDPTPP03181428	GCC-NYAG 0258886
3/1/2002	W/H TAX DIV WFC	(3,184)	-	(3,184)	-	-	106,006,990	MDPTPP03181413	MDPTPP03181441	GCC-NYAG 0258809
3/1/2002	W/H TAX DIV INTC	(982)	-	(982)	-	-	106,006,008	MDPTPP03181413	MDPTPP03181441	GCC-NYAG 0258809
3/6/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(3)	-	(3)	-	-	106,006,005	MDPTPP03181413	MDPTPP03181441	GCC-NYAG 0258814
3/7/2002	W/H TAX DIV PFE	(5,837)	-	(5,837)	-	-	106,000,168	MDPTPP03181413	MDPTPP03181441	GCC-NYAG 0258815
3/11/2002	W/H TAX DIV IBM	(1,714)	-	(1,714)	-	-	105,998,453	MDPTPP03181431	MDPTPP03181441	GCC-NYAG 0258815
3/11/2002	W/H TAX DIV BUD	(1,255)	-	(1,255)	-	-	105,997,198	MDPTPP03181431	MDPTPP03181441	GCC-NYAG 0258815
3/11/2002	W/H TAX DIV XOM	(11,241)	-	(11,241)	-	-	105,985,957	MDPTPP03181431	MDPTPP03181441	GCC-NYAG 0258815
3/12/2002	W/H TAX DIV JNJ	(2,445)	-	(2,445)	-	-	105,983,513	MDPTPP03181431	MDPTPP03181441	GCC-NYAG 0258815
3/14/2002	W/H TAX DIV DD	(2,572)	-	(2,572)	-	-	105,980,941	MDPTPP03181431	MDPTPP03181441	GCC-NYAG 0258815
3/15/2002	W/H TAX DIV AIG	(353)	-	(353)	-	-	105,980,588	MDPTPP03181431	MDPTPP03181441	GCC-NYAG 0258815
3/22/2002	W/H TAX DIV BAC	(3,086)	-	(3,086)	-	-	105,977,502	MDPTPP03181431	MDPTPP03181441	GCC-NYAG 0258816
3/28/2002	W/H TAX DIV HD	(866)	-	(866)	-	-	105,976,636	MDPTPP03181431	MDPTPP03181441	GCC-NYAG 0258816
4/1/2002	W/H TAX DIV MRK	(6,031)	-	(6,031)	-	-	105,970,604	MDPTPP03181445	MDPTPP03181460	GCC-NYAG 0258742
4/1/2002	W/H TAX DIV ONE	(1,012)	-	(1,012)	-	-	105,969,592	MDPTPP03181445	MDPTPP03181460	GCC-NYAG 0258742
4/1/2002	W/H TAX DIV PEP	(1,881)	-	(1,881)	-	-	105,967,711	MDPTPP03181445	MDPTPP03181460	GCC-NYAG 0258742
4/1/2002	W/H TAX DIV KO	(3,762)	-	(3,762)	-	-	105,963,949	MDPTPP03181445	MDPTPP03181460	GCC-NYAG 0258742
4/10/2002	W/H TAX DIV MO	(9,405)	-	(9,405)	-	-	105,954,545	MDPTPP03181445	MDPTPP03181460	GCC-NYAG 0258742
4/18/2002	W/H TAX DIV WMT	(2,516)	-	(2,516)	-	-	105,952,029	MDPTPP03181445	MDPTPP03181460	GCC-NYAG 0258746
4/23/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(8)	-	(8)	-	-	105,952,021	MDPTPP03181445	MDPTPP03181460	GCC-NYAG 0258752
4/25/2002	W/H TAX DIV GE	(5,874)	-	(5,874)	-	-	105,946,147	MDPTPP03181445	MDPTPP03181460	GCC-NYAG 0258755
4/26/2002	W/H TAX DIV MDT	(522)	-	(522)	-	-	105,945,625	MDPTPP03181445	MDPTPP03181460	GCC-NYAG 0258755
4/26/2002	W/H TAX DIV MWD	(1,896)	-	(1,896)	-	-	105,943,729	MDPTPP03181445	MDPTPP03181460	GCC-NYAG 0258755
4/30/2002	W/H TAX DIV JPM	(5,072)	-	(5,072)	-	-	105,938,657	MDPTPP03181445	MDPTPP03181460	GCC-NYAG 0258755
5/1/2002	W/H TAX DIV PHA	(1,313)	-	(1,313)	-	-	105,937,343	MDPTPP03181462	MDPTPP03181479	GCC-NYAG 0258640
5/1/2002	W/H TAX DIV VZ	(7,920)	-	(7,920)	-	-	105,929,423	MDPTPP03181462	MDPTPP03181479	GCC-NYAG 0258640
5/1/2002	W/H TAX DIV BMY	(4,099)	-	(4,099)	-	-	105,925,324	MDPTPP03181462	MDPTPP03181479	GCC-NYAG 0258640
5/1/2002	W/H TAX DIV TYC	(190)	-	(190)	-	-	105,925,134	MDPTPP03181462	MDPTPP03181479	GCC-NYAG 0258640
5/1/2002	W/H TAX DIV SBC	(6,855)	-	(6,855)	-	-	105,918,279	MDPTPP03181462	MDPTPP03181479	GCC-NYAG 0258640
5/1/2002	W/H TAX DIV T	(997)	-	(997)	-	-	105,917,282	MDPTPP03181462	MDPTPP03181479	GCC-NYAG 0258640
5/10/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(7)	-	(7)	-	-	105,917,275	MDPTPP03181462	MDPTPP03181479	GCC-NYAG 0258648
5/15/2002	W/H TAX DIV PG	(1,952)	-	(1,952)	-	-	105,915,322	MDPTPP03181462	MDPTPP03181479	GCC-NYAG 0258648
5/24/2002	W/H TAX DIV C	(3,856)	-	(3,856)	-	-	105,911,467	MDPTPP03181462	MDPTPP03181479	GCC-NYAG 0258655
6/3/2002	W/H TAX DIV WFC	(3,988)	-	(3,988)	-	-	105,907,478	MDPTPP03181482	MDPTPP03181489	GCC-NYAG 0258588
6/3/2002	W/H TAX DIV INTC	(547)	-	(547)	-	-	105,906,932	MDPTPP03181482	MDPTPP03181489	GCC-NYAG 0258588
6/6/2002	W/H TAX DIV PFE	(6,945)	-	(6,945)	-	-	105,899,986	MDPTPP03181482	MDPTPP03181489	GCC-NYAG 0258588
6/10/2002	W/H TAX DIV IBM	(2,219)	-	(2,219)	-	-	105,897,767	MDPTPP03181482	MDPTPP03181489	GCC-NYAG 0258588

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	Beglates	End Dates	CUSTOMER STATEMENTS PRODUCED BY <u>THE DEFENDANTS/THIRD PARTIES:</u>
6/10/2002	W/H TAX DIV XOM	(13,268)	-	(13,268)	-	-	105,884,499	MDPTPP03181482	MDPTPP03181489	GCC-NYAG 0258588
6/10/2002	W/H TAX DIV BUD	(978)	-	(978)	-	-	105,883,521	MDPTPP03181482	MDPTPP03181489	GCC-NYAG 0258588
6/11/2002	W/H TAX DIV JNJ	(1,905)	-	(1,905)	-	-	105,881,616	MDPTPP03181482	MDPTPP03181489	GCC-NYAG 0258588
6/12/2002	W/H TAX DIV DD	(2,209)	-	(2,209)	-	-	105,879,407	MDPTPP03181482	MDPTPP03181489	GCC-NYAG 0258589
6/25/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(5)	-	(5)	-	-	105,879,402	MDPTPP03181482	MDPTPP03181489	GCC-NYAG 0258589
7/10/2002	W/H TAX DIV MO	(1,608)	-	(1,608)	-	-	105,877,794	MDPTPP03181491	MDPTPP03181505	GCC-NYAG 0258464
7/15/2002	W/H TAX DIV USB	(518)	-	(518)	-	-	105,877,276	MDPTPP03181491	MDPTPP03181505	GCC-NYAG 0258464
7/15/2002	TRANS TO 1A005830 (JA0058)	(6,700,000)	-	-	-	(6,700,000)	99,177,276	MDPTPP03181491	MDPTPP03181505	GCC-NYAG 0258464
7/19/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(2)	-	(2)	-	-	99,177,274	MDPTPP03181491	MDPTPP03181505	GCC-NYAG 0258468
7/25/2002	W/H TAX DIV GE	(2,474)	-	(2,474)	-	-	99,174,800	MDPTPP03181491	MDPTPP03181505	GCC-NYAG 0258472
7/26/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	(1)	-	-	99,174,799	MDPTPP03181491	MDPTPP03181505	GCC-NYAG 0258474
7/26/2002	W/H TAX DIV MDT	(101)	-	(101)	-	-	99,174,698	MDPTPP03181491	MDPTPP03181505	GCC-NYAG 0258474
7/26/2002	W/H TAX DIV MWD	(345)	-	(345)	-	-	99,174,352	MDPTPP03181491	MDPTPP03181505	GCC-NYAG 0258474
7/31/2002	W/H TAX DIV JPM	(942)	-	(942)	-	-	99,173,410	MDPTPP03181491	MDPTPP03181505	GCC-NYAG 0258486
8/1/2002	W/H TAX DIV T	(195)	-	(195)	-	-	99,173,215	MDPTPP03181508	MDPTPP03181521	GCC-NYAG 0258332
8/1/2002	W/H TAX DIV SBC	(1,216)	-	(1,216)	-	-	99,171,999	MDPTPP03181508	MDPTPP03181521	GCC-NYAG 0258334
8/1/2002	W/H TAX DIV VZ	(1,423)	-	(1,423)	-	-	99,170,576	MDPTPP03181508	MDPTPP03181521	GCC-NYAG 0258334
8/1/2002	W/H TAX DIV PHA	(234)	-	(234)	-	-	99,170,342	MDPTPP03181508	MDPTPP03181521	GCC-NYAG 0258332
8/1/2002	W/H TAX DIV BMY	(744)	-	(744)	-	-	99,169,598	MDPTPP03181508	MDPTPP03181521	GCC-NYAG 0258332
8/9/2002	W/H TAX DIV AXP	(139)	-	(139)	-	-	99,169,460	MDPTPP03181508	MDPTPP03181521	GCC-NYAG 0258334
8/19/2002	W/H TAX DIV MON	(2)	-	(2)	-	-	99,169,458	MDPTPP03181508	MDPTPP03181521	GCC-NYAG 0258338
8/19/2002	W/H TAX DIV TXN	(373)	-	(373)	-	-	99,169,085	MDPTPP03181508	MDPTPP03181521	GCC-NYAG 0258338
8/23/2002	W/H TAX DIV C	(9,726)	-	(9,726)	-	-	99,159,359	MDPTPP03181508	MDPTPP03181521	GCC-NYAG 0258348
8/26/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(4)	-	(4)	-	-	99,159,355	MDPTPP03181508	MDPTPP03181521	GCC-NYAG 0258348
9/3/2002	W/H TAX DIV INTC	(1,368)	-	(1,368)	-	-	99,157,987	MDPTPP03181524	MDPTPP03181528	GCC-NYAG 0258261
9/3/2002	W/H TAX DIV WFC	(4,917)	-	(4,917)	-	-	99,153,070	MDPTPP03181524	MDPTPP03181528	GCC-NYAG 0258261
9/5/2002	W/H TAX DIV PFE	(8,376)	-	(8,376)	-	-	99,144,694	MDPTPP03181524	MDPTPP03181528	GCC-NYAG 0258261
9/5/2002	W/H TAX DIV G	(1,712)	-	(1,712)	-	-	99,142,982	MDPTPP03181524	MDPTPP03181528	GCC-NYAG 0258261
9/6/2002	W/H TAX DIV BA	(1,438)	-	(1,438)	-	-	99,141,543	MDPTPP03181524	MDPTPP03181528	GCC-NYAG 0258261
9/9/2002	W/H TAX DIV BUD	(1,712)	-	(1,712)	-	-	99,139,831	MDPTPP03181524	MDPTPP03181528	GCC-NYAG 0258261
9/10/2002	W/H TAX DIV IBM	(2,586)	-	(2,586)	-	-	99,137,245	MDPTPP03181524	MDPTPP03181528	GCC-NYAG 0258263
9/10/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(8)	-	(8)	-	-	99,137,236	MDPTPP03181524	MDPTPP03181528	GCC-NYAG 0258261
9/10/2002	W/H TAX DIV XOM	(15,827)	-	(15,827)	-	-	99,121,410	MDPTPP03181524	MDPTPP03181528	GCC-NYAG 0258263
9/10/2002	W/H TAX DIV JNJ	(2,044)	-	(2,044)	-	-	99,119,366	MDPTPP03181524	MDPTPP03181528	GCC-NYAG 0258263
9/12/2002	W/H TAX DIV DD	(3,495)	-	(3,495)	-	-	99,115,871	MDPTPP03181524	MDPTPP03181528	GCC-NYAG 0258263
10/8/2002	TRANS TO 1G032130 (IG0321)	(500,000)	-	-	-	(500,000)	98,615,871	MDPTPP03181530	MDPTPP03181541	GCC-NYAG 0258162
10/17/2002	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(25)	-	(25)	-	-	98,615,846	MDPTPP03181530	MDPTPP03181541	GCC-NYAG 0258166
11/15/2002	W/H TAX DIV CL	(580)	-	(580)	-	-	98,615,266	MDPTPP03181544	MDPTPP03181556	GCC-NYAG 0258043
11/15/2002	W/H TAX DIV PG	(2,011)	-	(2,011)	-	-	98,613,255	MDPTPP03181544	MDPTPP03181556	GCC-NYAG 0258043
11/18/2002	W/H TAX DIV TXN	(206)	-	(206)	-	-	98,613,049	MDPTPP03181544	MDPTPP03181556	GCC-NYAG 0258047
11/22/2002	W/H TAX DIV C	(5,128)	-	(5,128)	-	-	98,607,921	MDPTPP03181544	MDPTPP03181556	GCC-NYAG 0258057
11/25/2002	W/H TAX DIV GS	(322)	-	(322)	-	-	98,607,599	MDPTPP03181544	MDPTPP03181556	GCC-NYAG 0258057
11/27/2002	W/H TAX DIV MER	(803)	-	(803)	-	-	98,606,797	MDPTPP03181544	MDPTPP03181556	GCC-NYAG 0258057
1/6/2003	W/H TAX DIV BUD	(974) [1]	-	* [2]	-	-	98,606,797	MDPTPP03181558	MDPTPP03181564	GCC-NYAG 0257945
1/6/2003	W/H TAX DIV PFE	(3,270) [1]	-	* [2]	-	-	98,606,797	MDPTPP03181558	MDPTPP03181564	GCC-NYAG 0257945
1/6/2003	W/H TAX DIV JNJ	(940) [1]	-	* [2]	-	-	98,606,797	MDPTPP03181558	MDPTPP03181564	GCC-NYAG 0257947
1/6/2003	W/H TAX DIV UTX	(467) [1]	-	* [2]	-	-	98,606,797	MDPTPP03181558	MDPTPP03181564	GCC-NYAG 0257947
1/6/2003	W/H TAX DIV DD	(1,426) [1]	-	* [2]	-	-	98,606,797	MDPTPP03181558	MDPTPP03181564	GCC-NYAG 0257947
1/6/2003	W/H TAX DIV IBM	(1,452) [1]	-	* [2]	-	-	98,606,797	MDPTPP03181558	MDPTPP03181564	GCC-NYAG 0257947
1/6/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(37) [1]	-	* [2]	-	-	98,606,797	MDPTPP03181558	MDPTPP03181564	GCC-NYAG 0257951
1/6/2003	W/H TAX DIV INTC	(766) [1]	-	* [2]	-	-	98,606,797	MDPTPP03181558	MDPTPP03181564	GCC-NYAG 0257945
1/6/2003	W/H TAX DIV WFC	(2,751) [1]	-	* [2]	-	-	98,606,797	MDPTPP03181558	MDPTPP03181564	GCC-NYAG 0257945
1/6/2003	W/H TAX DIV G	(986) [1]	-	* [2]	-	-	98,606,797	MDPTPP03181558	MDPTPP03181564	GCC-NYAG 0257945
1/6/2003	W/H TAX DIV HCA	(64) [1]	-	* [2]	-	-	98,606,797	MDPTPP03181558	MDPTPP03181564	GCC-NYAG 0257945
1/6/2003	W/H TAX DIV BA	(583) [1]	-	* [2]	-	-	98,606,797	MDPTPP03181558	MDPTPP03181564	GCC-NYAG 0257945
1/6/2003	W/H TAX DIV XOM	(8,883) [1]	-	* [2]	-	-	98,606,797	MDPTPP03181558	MDPTPP03181564	GCC-NYAG 0257947
1/8/2003	TRANS TO 1A005830 A/O 1/2/03 (JA0058)	(6,500,000)	-	-	-	(6,500,000)	92,106,797	MDPTPP03181566	MDPTPP03181579	GCC-NYAG 0257854
1/10/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(2)	-	* [2]	-	-	92,106,797	MDPTPP03181566	MDPTPP03181579	GCC-NYAG 0257862
1/31/2003	W/H TAX DIV MWD	(1,185)	-	* [2]	-	-	92,106,797	MDPTPP03181566	MDPTPP03181579	GCC-NYAG 0257872
2/3/2003	W/H TAX DIV PHA	(1,482)	-	* [2]	-	-	92,106,797	MDPTPP03181568	MDPTPP03181595	GCC-NYAG 0257749
2/3/2003	W/H TAX DIV VZ	(5,041)	-	* [2]	-	-	92,106,797	MDPTPP03181568	MDPTPP03181595	GCC-NYAG 0257749
2/3/2003	W/H TAX DIV SBC	(4,289)	-	* [2]	-	-	92,106,797	MDPTPP03181568	MDPTPP03181595	GCC-NYAG 0257749
2/10/2003	W/H TAX DIV TXN	(312)	-	* [2]	-	-	92,106,797	MDPTPP03181568	MDPTPP03181595	GCC-NYAG 0257749
2/14/2003	W/H TAX DIV CL	(847)	-	* [2]	-	-	92,106,797	MDPTPP03181568	MDPTPP03181595	GCC-NYAG 0257749
2/14/2003	W/H TAX DIV PFE	(7,871)	-	* [2]	-	-	92,106,797	MDPTPP03181568	MDPTPP03181595	GCC-NYAG 0257749
2/14/2003	W/H TAX DIV PG	(4,500)	-	* [2]	-	-	92,106,797	MDPTPP03181568	MDPTPP03181595	GCC-NYAG 0257749

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	End Dates	CUSTOMER STATEMENTS PRODUCED BY <u>THE DEFENDANTS/THIRD PARTIES:</u> Bates Ref
2/27/2003	W/H TAX DIV GS	(470)	-	* [2]	-	-	92,106,797	MDPTPP03181582	MDPTPP03181595	GCC-NYAG 0257771
2/28/2003	W/H TAX DIV C	(8,823)	-	* [2]	-	-	92,106,797	MDPTPP03181582	MDPTPP03181595	GCC-NYAG 0257771
2/28/2003	W/H TAX DIV MER	(1,163)	-	* [2]	-	-	92,106,797	MDPTPP03181582	MDPTPP03181595	GCC-NYAG 0257771
3/3/2003	W/H TAX DIV INTC	(1,136)	-	* [2]	-	-	92,106,797	MDPTPP03181597	MDPTPP03181618	GCC-NYAG 0257612
3/3/2003	W/H TAX DIV WFC	(4,347)	-	* [2]	-	-	92,106,797	MDPTPP03181597	MDPTPP03181618	GCC-NYAG 0257612
3/5/2003	W/H 1/31/03G	(1,463)	-	* [2]	-	-	92,106,797	MDPTPP03181597	MDPTPP03181618	GCC-NYAG 0257612
3/7/2003	W/H TAX DIV MSFT	(5,721)	-	* [2]	-	-	92,106,797	MDPTPP03181597	MDPTPP03181618	GCC-NYAG 0257612
3/7/2003	W/H TAX DIV BA	(1,199)	-	* [2]	-	-	92,106,797	MDPTPP03181597	MDPTPP03181618	GCC-NYAG 0257612
3/10/2003	W/H TAX DIV IBM	(2,149)	-	* [2]	-	-	92,106,797	MDPTPP03181597	MDPTPP03181618	GCC-NYAG 0257614
3/10/2003	W/H TAX DIV BUD	(1,376)	-	* [2]	-	-	92,106,797	MDPTPP03181597	MDPTPP03181618	GCC-NYAG 0257612
3/10/2003	W/H TAX DIV XOM	(13,200)	-	* [2]	-	-	92,106,797	MDPTPP03181597	MDPTPP03181618	GCC-NYAG 0257612
3/10/2003	W/H TAX DIV UTX	(960)	-	* [2]	-	-	92,106,797	MDPTPP03181597	MDPTPP03181618	GCC-NYAG 0257614
3/11/2003	W/H TAX DIV JNJ	(5,176)	-	* [2]	-	-	92,106,797	MDPTPP03181597	MDPTPP03181618	GCC-NYAG 0257614
3/12/2003	W/H TAX DIV MMM	(1,614)	-	* [2]	-	-	92,106,797	MDPTPP03181597	MDPTPP03181618	GCC-NYAG 0257614
3/14/2003	W/H TAX DIV DD	(3,018)	-	* [2]	-	-	92,106,797	MDPTPP03181597	MDPTPP03181618	GCC-NYAG 0257614
3/17/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(11)	-	* [2]	-	-	92,106,797	MDPTPP03181597	MDPTPP03181618	GCC-NYAG 0257618
4/7/2003	W/H TAX DIV WMT	(3,800)	-	* [2]	-	-	92,106,797	MDPTPP03181622	MDPTPP03181625	GCC-NYAG 0257536
4/9/2003	W/H TAX DIV HPQ	(2,396)	-	* [2]	-	-	92,106,797	MDPTPP03181622	MDPTPP03181625	GCC-NYAG 0257536
4/15/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(25)	-	* [2]	-	-	92,106,797	MDPTPP03181622	MDPTPP03181625	GCC-NYAG 0257536
4/15/2003	TRANS TO IA005830 (IA0058)	(11,300,000)	-	-	-	(11,300,000)	80,806,797	MDPTPP03181622	MDPTPP03181625	GCC-NYAG 0257536
5/9/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(72)	-	* [2]	-	-	80,806,797	MDPTPP03181627	MDPTPP03181643	GCC-NYAG 0257455
5/19/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	* [2]	-	-	80,806,797	MDPTPP03181627	MDPTPP03181643	GCC-NYAG 0257457
5/28/2003	W/H TAX DIV MER	(849)	-	* [2]	-	-	80,806,797	MDPTPP03181627	MDPTPP03181643	GCC-NYAG 0257457
5/30/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)	-	* [2]	-	-	80,806,797	MDPTPP03181627	MDPTPP03181643	GCC-NYAG 0257461
6/2/2003	W/H TAX DIV WFC	(3,185)	-	* [2]	-	-	80,806,797	MDPTPP03181647	MDPTPP03181660	GCC-NYAG 0257329
6/2/2003	W/H TAX DIV INTC	(460)	-	* [2]	-	-	80,806,797	MDPTPP03181647	MDPTPP03181660	GCC-NYAG 0257329
6/5/2003	W/H TAX DIV PFE	(7,626)	-	* [2]	-	-	80,806,797	MDPTPP03181647	MDPTPP03181660	GCC-NYAG 0257329
6/9/2003	W/H TAX DIV BUD	(1,035)	-	* [2]	-	-	80,806,797	MDPTPP03181647	MDPTPP03181660	GCC-NYAG 0257329
6/10/2003	W/H TAX DIV UTX	(796)	-	* [2]	-	-	80,806,797	MDPTPP03181647	MDPTPP03181660	GCC-NYAG 0257331
6/10/2003	W/H TAX DIV XOM	(10,684)	-	* [2]	-	-	80,806,797	MDPTPP03181647	MDPTPP03181660	GCC-NYAG 0257329
6/10/2003	W/H TAX DIV IBM	(1,699)	-	* [2]	-	-	80,806,797	MDPTPP03181647	MDPTPP03181660	GCC-NYAG 0257329
6/10/2003	W/H TAX DIV JNJ	(4,530)	-	* [2]	-	-	80,806,797	MDPTPP03181647	MDPTPP03181660	GCC-NYAG 0257329
6/12/2003	W/H TAX DIV DD	(2,271)	-	* [2]	-	-	80,806,797	MDPTPP03181647	MDPTPP03181660	GCC-NYAG 0257331
6/12/2003	W/H TAX DIV MMM	(1,557)	-	* [2]	-	-	80,806,797	MDPTPP03181647	MDPTPP03181660	GCC-NYAG 0257331
6/20/2003	W/H TAX DIV AIG	(957)	-	* [2]	-	-	80,806,797	MDPTPP03181647	MDPTPP03181660	GCC-NYAG 0257339
6/25/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(6)	-	* [2]	-	-	80,806,797	MDPTPP03181647	MDPTPP03181660	GCC-NYAG 0257349
6/26/2003	W/H TAX DIV HD	(1,093)	-	* [2]	-	-	80,806,797	MDPTPP03181647	MDPTPP03181660	GCC-NYAG 0257349
6/27/2003	W/H TAX DIV BAC	(7,467)	-	* [2]	-	-	80,806,797	MDPTPP03181647	MDPTPP03181660	GCC-NYAG 0257349
6/30/2003	W/H TAX DIV PEP	(2,159)	-	* [2]	-	-	80,806,797	MDPTPP03181647	MDPTPP03181660	GCC-NYAG 0257351
7/1/2003	W/H TAX DIV ONE	(1,935)	-	* [2]	-	-	80,806,797	MDPTPP03181663	MDPTPP03181678	GCC-NYAG 0257220
7/1/2003	W/H TAX DIV MRK	(6,256)	-	* [2]	-	-	80,806,797	MDPTPP03181663	MDPTPP03181678	GCC-NYAG 0257220
7/1/2003	W/H TAX DIV ALL	(1,085)	-	* [2]	-	-	80,806,797	MDPTPP03181663	MDPTPP03181678	GCC-NYAG 0257220
7/1/2003	W/H TAX DIV KO	(4,294)	-	* [2]	-	-	80,806,797	MDPTPP03181663	MDPTPP03181678	GCC-NYAG 0257220
7/3/2003	W/H TAX DIV SLB	(664)	-	* [2]	-	-	80,806,797	MDPTPP03181663	MDPTPP03181678	GCC-NYAG 0257220
7/3/2003	TRANS TO IA005830 (IA0058)	(8,000,000)	-	-	-	(8,000,000)	72,806,797	MDPTPP03181663	MDPTPP03181678	GCC-NYAG 0257220
7/7/2003	W/H TAX DIV WMT	(1,448)	-	* [2]	-	-	72,806,797	MDPTPP03181663	MDPTPP03181678	GCC-NYAG 0257224
7/8/2003	W/H TAX DIV MO	(10,360)	-	* [2]	-	-	72,806,797	MDPTPP03181663	MDPTPP03181678	GCC-NYAG 257230
7/9/2003	W/H TAX DIV HPQ	(1,914)	-	* [2]	-	-	72,806,797	MDPTPP03181663	MDPTPP03181678	GCC-NYAG 0257234
7/10/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	* [2]	-	-	72,806,797	MDPTPP03181663	MDPTPP03181678	GCC-NYAG 0257240
7/21/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	* [2]	-	-	72,806,797	MDPTPP03181663	MDPTPP03181678	GCC-NYAG 0257240
7/31/2003	W/H TAX DIV MWD	(1,841)	-	* [2]	-	-	72,806,797	MDPTPP03181663	MDPTPP03181678	GCC-NYAG 0257242
8/1/2003	W/H TAX DIV SBC	(9,187)	-	* [2]	-	-	72,806,797	MDPTPP03181681	MDPTPP03181691	GCC-NYAG 0257129
8/1/2003	W/H TAX DIV VZ	(7,706)	-	* [2]	-	-	72,806,797	MDPTPP03181681	MDPTPP03181691	GCC-NYAG 0257129
8/15/2003	W/H TAX DIV PG	(4,250)	-	* [2]	-	-	72,806,797	MDPTPP03181681	MDPTPP03181691	GCC-NYAG 0257133
8/15/2003	W/H TAX DIV CL	(961)	-	* [2]	-	-	72,806,797	MDPTPP03181681	MDPTPP03181691	GCC-NYAG 0257133
8/18/2003	W/H TAX DIV TXN	(265)	-	* [2]	-	-	72,806,797	MDPTPP03181681	MDPTPP03181691	GCC-NYAG 0257139
8/22/2003	W/H TAX DIV C	(13,154)	-	* [2]	-	-	72,806,797	MDPTPP03181681	MDPTPP03181691	GCC-NYAG 0257145
8/28/2003	W/H TAX DIV GS	(834)	-	* [2]	-	-	72,806,797	MDPTPP03181681	MDPTPP03181691	GCC-NYAG 0257145

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	End Dates	CUSTOMER STATEMENTS PRODUCED BY <u>THE DEFENDANTS/THIRD PARTIES:</u>
										Bates Ref
9/2/2003	W/H TAX DIV WFC	(5,404)	-	* [2]	-	-	72,806,797	MDPTPP03181693	MDPTPP03181712	GCC-NYAG 0257005
9/2/2003	W/H TAX DIV INTC	(951)	-	* [2]	-	-	72,806,797	MDPTPP03181693	MDPTPP03181712	GCC-NYAG 0257005
9/4/2003	W/H TAX DIV PFE	(5,303)	-	* [2]	-	-	72,806,797	MDPTPP03181693	MDPTPP03181712	GCC-NYAG 0257005
9/5/2003	W/H TAX DIV BA	(633)	-	* [2]	-	-	72,806,797	MDPTPP03181693	MDPTPP03181712	GCC-NYAG 0257009
9/5/2003	W/H TAX DIV G	(1,193)	-	* [2]	-	-	72,806,797	MDPTPP03181693	MDPTPP03181712	GCC-NYAG 0257009
9/5/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(21)	-	* [2]	-	-	72,806,797	MDPTPP03181693	MDPTPP03181712	GCC-NYAG 0257009
9/10/2003	W/H TAX DIV XOM	(12,136)	-	* [2]	-	-	72,806,797	MDPTPP03181693	MDPTPP03181712	GCC-NYAG 0257021
9/10/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	* [2]	-	-	72,806,797	MDPTPP03181693	MDPTPP03181712	GCC-NYAG 0257021
9/10/2003	W/H TAX DIV IBM	(2,028)	-	* [2]	-	-	72,806,797	MDPTPP03181693	MDPTPP03181712	GCC-NYAG 0257021
9/19/2003	W/H TAX DIV AIG	(387)	-	* [2]	-	-	72,806,797	MDPTPP03181693	MDPTPP03181712	GCC-NYAG 0257027
9/23/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(4)	-	* [2]	-	-	72,806,797	MDPTPP03181693	MDPTPP03181712	GCC-NYAG 0257035
9/26/2003	W/H TAX DIV BAC	(2,795)	-	* [2]	-	-	72,806,797	MDPTPP03181693	MDPTPP03181712	GCC-NYAG 0257037
9/30/2003	W/H TAX DIV PEP	(1,514)	-	* [2]	-	-	72,806,797	MDPTPP03181693	MDPTPP03181712	GCC-NYAG 0257037
10/1/2003	W/H TAX DIV ONE	(1,619)	-	* [2]	-	-	72,806,797	MDPTPP03181715	MDPTPP03181726	GCC-NYAG 0256886
10/1/2003	W/H TAX DIV KO	(3,003)	-	* [2]	-	-	72,806,797	MDPTPP03181715	MDPTPP03181726	GCC-NYAG 0256886
10/1/2003	W/H TAX DIV MRK	(1,901)	-	* [2]	-	-	72,806,797	MDPTPP03181715	MDPTPP03181726	GCC-NYAG 0256886
10/1/2003	W/H TAX DIV VIA,B	(472)	-	* [2]	-	-	72,806,797	MDPTPP03181715	MDPTPP03181726	GCC-NYAG 0256886
10/7/2003	TRANS TO IA005830 (IA0058)	(12,000,000)	-	-	-	(12,000,000)	60,806,797	MDPTPP03181715	MDPTPP03181726	GCC-NYAG 0256890
10/8/2003	W/H TAX DIV HPQ	(1,355)	-	* [2]	-	-	60,806,797	MDPTPP03181715	MDPTPP03181726	GCC-NYAG 0256890
10/9/2003	W/H TAX DIV MO	(7,789)	-	* [2]	-	-	60,806,797	MDPTPP03181715	MDPTPP03181726	GCC-NYAG 0256892
10/14/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(8)	-	* [2]	-	-	60,806,797	MDPTPP03181715	MDPTPP03181726	GCC-NYAG 0256900
10/17/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)	-	* [2]	-	-	60,806,797	MDPTPP03181715	MDPTPP03181726	GCC-NYAG 0256902
10/31/2003	W/H TAX DIV MWD	(1,198)	-	* [2]	-	-	60,806,797	MDPTPP03181715	MDPTPP03181726	GCC-NYAG 0256902
11/3/2003	W/H TAX DIV SBC	(1,606)	-	* [2]	-	-	60,806,797	MDPTPP03181729	MDPTPP03181742	GCC-NYAG 0256791
11/3/2003	W/H TAX DIV VZ	(5,181)	-	* [2]	-	-	60,806,797	MDPTPP03181729	MDPTPP03181742	GCC-NYAG 0256791
11/3/2003	W/H TAX DIV SBC	(4,537)	-	* [2]	-	-	60,806,797	MDPTPP03181729	MDPTPP03181742	GCC-NYAG 0256791
11/7/2003	W/H TAX DIV MSFT	(11,137)	-	* [2]	-	-	60,806,797	MDPTPP03181729	MDPTPP03181742	GCC-NYAG 0256791
11/14/2003	W/H TAX DIV PG	(3,758)	-	* [2]	-	-	60,806,797	MDPTPP03181729	MDPTPP03181742	GCC-NYAG 0256791
11/17/2003	W/H TAX DIV TXN	(241)	-	* [2]	-	-	60,806,797	MDPTPP03181729	MDPTPP03181742	GCC-NYAG 0256791
11/24/2003	W/H TAX DIV GS	(719)	-	* [2]	-	-	60,806,797	MDPTPP03181729	MDPTPP03181742	GCC-NYAG 0256805
11/25/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(8)	-	* [2]	-	-	60,806,797	MDPTPP03181729	MDPTPP03181742	GCC-NYAG 0256809
11/26/2003	W/H TAX DIV MER	(990)	-	* [2]	-	-	60,806,797	MDPTPP03181729	MDPTPP03181742	GCC-NYAG 0256811
11/26/2003	W/H TAX DIV C	(11,596)	-	* [2]	-	-	60,806,797	MDPTPP03181729	MDPTPP03181742	GCC-NYAG 0256811
12/1/2003	W/H TAX DIV WFC	(4,917)	-	* [2]	-	-	60,806,797	MDPTPP03181744	MDPTPP03181762	GCC-NYAG 0256668
12/1/2003	W/H TAX DIV INTC	(859)	-	* [2]	-	-	60,806,797	MDPTPP03181744	MDPTPP03181762	GCC-NYAG 0256668
12/1/2003	W/H TAX DIV MCD	(3,221)	-	* [2]	-	-	60,806,797	MDPTPP03181744	MDPTPP03181762	GCC-NYAG 0256668
12/4/2003	W/H TAX DIV PFE	(7,471)	-	* [2]	-	-	60,806,797	MDPTPP03181744	MDPTPP03181762	GCC-NYAG 0256668
12/5/2003	W/H TAX DIV G	(1,028)	-	* [2]	-	-	60,806,797	MDPTPP03181744	MDPTPP03181762	GCC-NYAG 0256668
12/9/2003	W/H TAX DIV JNJ	(4,555)	-	* [2]	-	-	60,806,797	MDPTPP03181744	MDPTPP03181762	GCC-NYAG 0256668
12/9/2003	W/H TAX DIV BUD	(1,139)	-	* [2]	-	-	60,806,797	MDPTPP03181744	MDPTPP03181762	GCC-NYAG 0256668
12/10/2003	W/H TAX DIV UTX	(1,006)	-	* [2]	-	-	60,806,797	MDPTPP03181744	MDPTPP03181762	GCC-NYAG 0256670
12/10/2003	W/H TAX DIV XOM	(10,691)	-	* [2]	-	-	60,806,797	MDPTPP03181744	MDPTPP03181762	GCC-NYAG 0256670
12/10/2003	W/H TAX DIV IBM	(1,748)	-	* [2]	-	-	60,806,797	MDPTPP03181744	MDPTPP03181762	GCC-NYAG 0256670
12/12/2003	W/H TAX DIV MMM	(908)	-	* [2]	-	-	60,806,797	MDPTPP03181744	MDPTPP03181762	GCC-NYAG 0256682
12/15/2003	W/H TAX DIV DD	(2,214)	-	* [2]	-	-	60,806,797	MDPTPP03181744	MDPTPP03181762	GCC-NYAG 0256682
12/31/2003	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(11)	-	* [2]	-	-	60,806,797	MDPTPP03181744	MDPTPP03181762	GCC-NYAG 0256694
1/2/2004	W/H TAX DIV ONE	(373)	-	* [2]	-	-	60,806,797	MDPTPP03181764	MDPTPP03181776	GCC-NYAG 0256595
1/2/2004	W/H TAX DIV PEP	(378)	-	* [2]	-	-	60,806,797	MDPTPP03181764	MDPTPP03181776	GCC-NYAG 0256595
1/5/2004	W/H TAX DIV WMT	(537)	-	* [2]	-	-	60,806,797	MDPTPP03181764	MDPTPP03181776	GCC-NYAG 0256595
1/5/2004	TRANS TO IA005830 (IA0058)	(14,200,000)	-	-	-	(14,200,000)	46,606,797	MDPTPP03181764	MDPTPP03181776	GCC-NYAG 0256595
1/6/2004	W/H TAX DIV DIS	(600)	-	* [2]	-	-	46,606,797	MDPTPP03181764	MDPTPP03181776	GCC-NYAG 0256595
1/7/2004	W/H TAX DIV HPQ	(338)	-	* [2]	-	-	46,606,797	MDPTPP03181764	MDPTPP03181776	GCC-NYAG 0256595
1/8/2004	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	* [2]	-	-	46,606,797	MDPTPP03181764	MDPTPP03181776	GCC-NYAG 0256598
1/9/2004	W/H TAX DIV MO	(1,942)	-	* [2]	-	-	46,606,797	MDPTPP03181764	MDPTPP03181776	GCC-NYAG 0256598
1/15/2004	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)	-	* [2]	-	-	46,606,797	MDPTPP03181764	MDPTPP03181776	GCC-NYAG 0256603
1/30/2004	W/H TAX DIV MWD	(617)	-	* [2]	-	-	46,606,797	MDPTPP03181764	MDPTPP03181776	GCC-NYAG 0256604
2/2/2004	W/H TAX DIV VZ	(2,453)	-	* [2]	-	-	46,606,797	MDPTPP03181774	MDPTPP03181791	GCC-NYAG 0256552
2/2/2004	W/H TAX DIV SBC	(2,376)	-	* [2]	-	-	46,606,797	MDPTPP03181774	MDPTPP03181791	GCC-NYAG 0256552

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2/17/2004	W/H TAX DIV PG	(3,198)	-	* [2]	-	-	46,606,797	MDPTPP03181779	MDPTPP03181791	GCC-NYAG 0256552
2/26/2004	W/H TAX DIV GS	(586)	-	* [2]	-	-	46,606,797	MDPTPP03181779	MDPTPP03181791	GCC-NYAG 0256561
2/27/2004	W/H TAX DIV MER	(825)	-	* [2]	-	-	46,606,797	MDPTPP03181779	MDPTPP03181791	GCC-NYAG 0256561
2/27/2004	W/H TAX DIV C	(10,872)	-	* [2]	-	-	46,606,797	MDPTPP03181779	MDPTPP03181791	GCC-NYAG 0256561
3/1/2004	W/H TAX DIV INTC	(1,365)	-	* [2]	-	-	46,606,797	MDPTPP03181791	MDPTPP03181797	Not Produced
3/1/2004	W/H TAX DIV WFC	(4,007)	-	* [2]	-	-	46,606,797	MDPTPP03181793	MDPTPP03181797	Not Produced
3/5/2004	W/H TAX DIV PFE	(6,787)	-	* [2]	-	-	46,606,797	MDPTPP03181793	MDPTPP03181797	Not Produced
3/5/2004	W/H TAX DIV BA	(717)	-	* [2]	-	-	46,606,797	MDPTPP03181793	MDPTPP03181797	Not Produced
3/5/2004	W/H TAX DIV G	(838)	-	* [2]	-	-	46,606,797	MDPTPP03181793	MDPTPP03181797	Not Produced
3/9/2004	W/H TAX DIV BUD	(928)	-	* [2]	-	-	46,606,797	MDPTPP03181793	MDPTPP03181797	Not Produced
3/9/2004	W/H TAX DIV JNJ	(3,752)	-	* [2]	-	-	46,606,797	MDPTPP03181793	MDPTPP03181797	Not Produced
3/10/2004	W/H TAX DIV XOM	(8,693)	-	* [2]	-	-	46,606,797	MDPTPP03181793	MDPTPP03181797	Not Produced
3/10/2004	W/H TAX DIV IBM	(1,425)	-	* [2]	-	-	46,606,797	MDPTPP03181793	MDPTPP03181797	Not Produced
3/10/2004	W/H TAX DIV UTX	(517)	-	* [2]	-	-	46,606,797	MDPTPP03181793	MDPTPP03181797	Not Produced
3/12/2004	W/H TAX DIV MMM	(957)	-	* [2]	-	-	46,606,797	MDPTPP03181793	MDPTPP03181797	Not Produced
3/15/2004	W/H TAX DIV DD	(1,804)	-	* [2]	-	-	46,606,797	MDPTPP03181793	MDPTPP03181797	Not Produced
4/6/2004	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(56)	-	* [2]	-	-	46,606,797	MDPTPP03181799	MDPTPP03181814	GCC-NYAG 0256485
4/8/2004	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)	-	* [2]	-	-	46,606,797	MDPTPP03181799	MDPTPP03181814	GCC-NYAG 0256492
4/30/2004	W/H TAX DIV MWD	(1,130)	-	* [2]	-	-	46,606,797	MDPTPP03181799	MDPTPP03181814	GCC-NYAG 0256495
4/30/2004	W/H TAX DIV JPM	(868)	-	* [2]	-	-	46,606,797	MDPTPP03181799	MDPTPP03181814	GCC-NYAG 0256495
5/3/2004	W/H TAX DIV VZ	(4,349)	-	* [2]	-	-	46,606,797	MDPTPP03181817	MDPTPP03181827	GCC-NYAG 0256438
5/3/2004	W/H TAX DIV SBC	(4,278)	-	* [2]	-	-	46,606,797	MDPTPP03181817	MDPTPP03181827	GCC-NYAG 0256438
5/14/2004	W/H TAX DIV PG	(3,326)	-	* [2]	-	-	46,606,797	MDPTPP03181817	MDPTPP03181827	GCC-NYAG 0256438
5/17/2004	W/H TAX DIV TXN	(194)	-	* [2]	-	-	46,606,797	MDPTPP03181817	MDPTPP03181827	GCC-NYAG 0256438
5/26/2004	W/H TAX DIV MER	(836)	-	* [2]	-	-	46,606,797	MDPTPP03181817	MDPTPP03181827	GCC-NYAG 0256445
5/27/2004	W/H TAX DIV GS	(594)	-	* [2]	-	-	46,606,797	MDPTPP03181817	MDPTPP03181827	GCC-NYAG 0256445
5/28/2004	W/H TAX DIV C	(10,835)	-	* [2]	-	-	46,606,797	MDPTPP03181817	MDPTPP03181827	GCC-NYAG 0256446
6/1/2004	W/H TAX DIV INTC	(1,354)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256374
6/1/2004	W/H TAX DIV WFC	(4,063)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256374
6/4/2004	W/H TAX DIV PFE	(6,767)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256374
6/4/2004	W/H TAX DIV G	(849)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256374
6/7/2004	W/H TAX DIV WMT	(1,995)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256376
6/7/2004	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(7)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256376
6/8/2004	W/H TAX DIV JNJ	(4,438)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256379
6/9/2004	W/H TAX DIV BUD	(941)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256382
6/10/2004	W/H TAX DIV IBM	(1,625)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256382
6/10/2004	W/H TAX DIV XOM	(9,238)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256382
6/10/2004	W/H TAX DIV UTX	(679)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256382
6/11/2004	W/H TAX DIV BA	(582)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256382
6/14/2004	W/H TAX DIV MMM	(1,047)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256382
6/14/2004	W/H TAX DIV DD	(1,830)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256382
6/18/2004	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256383
6/24/2004	W/H TAX DIV HD	(1,039)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256386
6/30/2004	W/H TAX DIV PEP	(2,137)	-	* [2]	-	-	46,606,797	MDPTPP03181829	MDPTPP03181851	GCC-NYAG 0256393
7/1/2004	W/H TAX DIV KO	(3,301)	-	* [2]	-	-	46,606,797	MDPTPP03181854	MDPTPP03181857	GCC-NYAG 0256334
7/7/2004	W/H TAX DIV HPQ	(1,330)	-	* [2]	-	-	46,606,797	MDPTPP03181854	MDPTPP03181857	GCC-NYAG 0256334
7/9/2004	W/H TAX DIV MO	(7,532)	-	* [2]	-	-	46,606,797	MDPTPP03181854	MDPTPP03181857	GCC-NYAG 0256334
7/14/2004	TRANS FROM 1A005830/(IA0058)	19,400,000	-	-	19,400,000	-	66,006,797	MDPTPP03181854	MDPTPP03181857	GCC-NYAG 0256334
7/26/2004	W/H TAX DIV GE	(1,221)	-	* [2]	-	-	66,006,797	MDPTPP03181854	MDPTPP03181857	GCC-NYAG 0256334
8/2/2004	TRANS TO 1A005830/(IA0058)	(6,000,000)	-	-	-	(6,000,000)	60,006,797	MDPTPP03181859	MDPTPP03181872	GCC-NYAG 0256284
8/11/2004	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(59)	-	* [2]	-	-	60,006,797	MDPTPP03181859	MDPTPP03181872	GCC-NYAG 0256284
8/18/2004	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	* [2]	-	-	60,006,797	MDPTPP03181859	MDPTPP03181872	GCC-NYAG 0256286
8/23/2004	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	* [2]	-	-	60,006,797	MDPTPP03181859	MDPTPP03181872	GCC-NYAG 0256294
9/7/2004	W/H TAX DIV WMT	(2,726)	-	* [2]	-	-	60,006,797	MDPTPP03181875	MDPTPP03181887	GCC-NYAG 0256230
9/10/2004	W/H TAX DIV UTX	(917)	-	* [2]	-	-	60,006,797	MDPTPP03181875	MDPTPP03181887	GCC-NYAG 0256230
9/13/2004	W/H TAX DIV MMM	(1,415)	-	* [2]	-	-	60,006,797	MDPTPP03181875	MDPTPP03181887	GCC-NYAG 0256230
9/14/2004	W/H TAX DIV MSFT	(5,722)	-	* [2]	-	-	60,006,797	MDPTPP03181875	MDPTPP03181887	GCC-NYAG 0256230
9/16/2004	W/H TAX DIV HD	(1,272)	-	* [2]	-	-	60,006,797	MDPTPP03181875	MDPTPP03181887	GCC-NYAG 0256230

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9/17/2004	W/H TAX DIV AIG	(1,302)	-	* [2]	-	-	60,006,797	MDPTPP03181875	MDPTPP03181887	GCC-NYAG 0256232
9/24/2004	W/H TAX DIV BAC	(12,395)	-	* [2]	-	-	60,006,797	MDPTPP03181875	MDPTPP03181887	GCC-NYAG 0256239
9/30/2004	W/H TAX DIV PEP	(2,617)	-	* [2]	-	-	60,006,797	MDPTPP03181875	MDPTPP03181887	GCC-NYAG 0256239
10/1/2004	W/H TAX DIV VIA.B	(702)	-	* [2]	-	-	60,006,797	MDPTPP03181889	MDPTPP03181892	GCC-NYAG 0256131
10/1/2004	W/H TAX DIV KO	(4,042)	-	* [2]	-	-	60,006,797	MDPTPP03181889	MDPTPP03181892	GCC-NYAG 0256131
10/1/2004	W/H TAX DIV MRK	(5,689)	-	* [2]	-	-	60,006,797	MDPTPP03181889	MDPTPP03181892	GCC-NYAG 0256131
10/6/2004	W/H TAX DIV HPQ	(1,629)	-	* [2]	-	-	60,006,797	MDPTPP03181889	MDPTPP03181892	GCC-NYAG 0256131
10/12/2004	W/H TAX DIV MO	(10,054)	-	* [2]	-	-	60,006,797	MDPTPP03181889	MDPTPP03181892	GCC-NYAG 0256131
11/3/2004	FIDELITY SPARTAN US TREASURY MONEY MARKET W/H TAX DIV FDLXX	(58)	-	* [2]	-	-	60,006,797	MDPTPP03181894	MDPTPP03181912	GCC-NYAG 0256190
11/4/2004	FIDELITY SPARTAN US TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)	-	* [2]	-	-	60,006,797	MDPTPP03181894	MDPTPP03181912	GCC-NYAG 0256192
11/9/2004	FIDELITY SPARTAN US TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)	-	* [2]	-	-	60,006,797	MDPTPP03181894	MDPTPP03181912	GCC-NYAG 0256198
11/24/2004	W/H TAX DIV MER	(512)	-	* [2]	-	-	60,006,797	MDPTPP03181894	MDPTPP03181912	GCC-NYAG 0256205
12/1/2004	W/H TAX DIV INTC	(842)	-	* [2]	-	-	60,006,797	MDPTPP03181915	MDPTPP03181928	GCC-NYAG 0256092
12/1/2004	W/H TAX DIV WFC	(2,651)	-	* [2]	-	-	60,006,797	MDPTPP03181915	MDPTPP03181928	GCC-NYAG 0256092
12/3/2004	W/H TAX DIV BA	(852)	-	* [2]	-	-	60,006,797	MDPTPP03181915	MDPTPP03181928	GCC-NYAG 0256092
12/3/2004	W/H TAX DIV PFE	(6,793)	-	* [2]	-	-	60,006,797	MDPTPP03181915	MDPTPP03181928	GCC-NYAG 0256092
12/7/2004	W/H TAX DIV JNJ	(1,713)	-	* [2]	-	-	60,006,797	MDPTPP03181915	MDPTPP03181928	GCC-NYAG 0256092
12/10/2004	W/H TAX DIV XOM	(9,325)	-	* [2]	-	-	60,006,797	MDPTPP03181915	MDPTPP03181928	GCC-NYAG 0256092
12/10/2004	W/H TAX DIV IBM	(1,618)	-	* [2]	-	-	60,006,797	MDPTPP03181915	MDPTPP03181928	GCC-NYAG 0256092
12/13/2004	FIDELITY SPARTAN US TREASURY MONEY MARKET W/H TAX DIV FDLXX	(94)	-	* [2]	-	-	60,006,797	MDPTPP03181915	MDPTPP03181928	GCC-NYAG 0256093
12/14/2004	W/H TAX DIV DD	(1,821)	-	* [2]	-	-	60,006,797	MDPTPP03181915	MDPTPP03181928	GCC-NYAG 0256093
12/16/2004	FIDELITY SPARTAN US TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	* [2]	-	-	60,006,797	MDPTPP03181915	MDPTPP03181928	GCC-NYAG 0256095
12/31/2004	FIDELITY SPARTAN US TREASURY MONEY MARKET W/H TAX DIV FDLXX	(7)	-	* [2]	-	-	60,006,797	MDPTPP03181915	MDPTPP03181928	GCC-NYAG 0256100
1/3/2005	W/H TAX DIV WMT	(915)	-	* [2]	-	-	60,006,797	MDPTPP03181930	MDPTPP03181942	GCC-NYAG 0256039
2/14/2005	W/H TAX DIV TXN	(279)	-	* [2]	-	-	60,006,797	MDPTPP03181945	MDPTPP03181949	GCC-NYAG 0256000
2/24/2005	W/H TAX DIV GS	(116)	-	* [2]	-	-	60,006,797	MDPTPP03181945	MDPTPP03181949	GCC-NYAG 0256000
2/25/2005	W/H TAX DIV C	(14,709)	-	* [2]	-	-	60,006,797	MDPTPP03181945	MDPTPP03181949	GCC-NYAG 0256000
2/28/2005	W/H TAX DIV MER	(938)	-	* [2]	-	-	60,006,797	MDPTPP03181945	MDPTPP03181949	GCC-NYAG 0256000
3/1/2005	W/H TAX DIV WFC	(5,349)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255957
3/1/2005	W/H TAX DIV INTC	(3,273)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255957
3/4/2005	W/H TAX DIV G	(1,048)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255957
3/4/2005	W/H TAX DIV BA	(1,320)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255957
3/7/2005	FIDELITY SPARTAN US TREASURY MONEY MARKET W/H TAX DIV FDLXX	(47)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255957
3/8/2005	W/H TAX DIV PFE	(9,249)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255958
3/8/2005	W/H TAX DIV JNJ	(5,474)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255958
3/9/2005	W/H TAX DIV BUD	(1,293)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255958
3/10/2005	W/H TAX DIV MSFT	(5,607)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255958
3/10/2005	W/H TAX DIV XOM	(11,243)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255958
3/10/2005	W/H TAX DIV IBM	(1,900)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255958
3/10/2005	W/H TAX DIV UTX	(1,548)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255959
3/14/2005	W/H TAX DIV MMM	(2,217)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255959
3/14/2005	W/H TAX DIV DD	(2,258)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255959
3/18/2005	W/H TAX DIV AIG	(2,126)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255968
3/24/2005	W/H TAX DIV HD	(1,408)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255968
3/28/2005	W/H TAX DIV BAC	(11,744)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255968
3/31/2005	W/H TAX DIV PEP	(2,563)	-	* [2]	-	-	60,006,797	MDPTPP03181951	MDPTPP03181965	GCC-NYAG 0255968
4/1/2005	W/H TAX DIV VIA.B	(780)	-	* [2]	-	-	60,006,797	MDPTPP03181967	MDPTPP03181971	GCC-NYAG 0255925
4/1/2005	W/H TAX DIV MRK	(5,349)	-	* [2]	-	-	60,006,797	MDPTPP03181967	MDPTPP03181971	GCC-NYAG 0255925
4/1/2005	W/H TAX DIV KO	(3,459)	-	* [2]	-	-	60,006,797	MDPTPP03181967	MDPTPP03181971	GCC-NYAG 0255925
4/7/2005	W/H TAX DIV HPQ	(773)	-	* [2]	-	-	60,006,797	MDPTPP03181967	MDPTPP03181971	GCC-NYAG 0255925
4/11/2005	W/H TAX DIV MO	(7,681)	-	* [2]	-	-	60,006,797	MDPTPP03181967	MDPTPP03181971	GCC-NYAG 0255925
4/13/2005	FIDELITY SPARTAN US TREASURY MONEY MARKET W/H TAX DIV FDLXX	(68)	-	* [2]	-	-	60,006,797	MDPTPP03181967	MDPTPP03181971	GCC-NYAG 0255925
4/25/2005	W/H TAX DIV GE	(14,999)	-	* [2]	-	-	60,006,797	MDPTPP03181967	MDPTPP03181971	GCC-NYAG 0255926
5/23/2005	FIDELITY SPARTAN US TREASURY MONEY MARKET W/H TAX DIV FDLXX	(43)	-	* [2]	-	-	60,006,797	MDPTPP03181967	MDPTPP03181985	GCC-NYAG 0255887
6/6/2005	W/H TAX DIV WMT	(969)	-	* [2]	-	-	60,006,797	MDPTPP03181988	MDPTPP03181999	GCC-NYAG 0255821
6/10/2005	W/H TAX DIV UTX	(461)	-	* [2]	-	-	60,006,797	MDPTPP03181988	MDPTPP03181999	GCC-NYAG 0255821
6/13/2005	W/H TAX DIV MMM	(660)	-	* [2]	-	-	60,006,797	MDPTPP03181988	MDPTPP03181999	GCC-NYAG 0255821
6/17/2005	W/H TAX DIV AIG	(1,608)	-	* [2]	-	-	60,006,797	MDPTPP03181988	MDPTPP03181999	GCC-NYAG 0255821

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6/20/2005	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(64)	-	* [2]	-	-	60,006,797	MDPTPP03181988	MDPTPP03181999	GCC-NYAG 0255821
6/23/2005	W/H TAX DIV HD	(1,077)	-	* [2]	-	-	60,006,797	MDPTPP03181988	MDPTPP03181999	GCC-NYAG 0255822
6/24/2005	W/H TAX DIV BAC	(8,985)	-	* [2]	-	-	60,006,797	MDPTPP03181988	MDPTPP03181999	GCC-NYAG 0255822
6/30/2005	W/H TAX DIV PEP	(2,192)	-	* [2]	-	-	60,006,797	MDPTPP03181988	MDPTPP03181999	GCC-NYAG 0255829
7/1/2005	W/H TAX DIV VIA.B	(590)	-	* [2]	-	-	60,006,797	MDPTPP03182001	MDPTPP03182005	GCC-NYAG 0255806
7/1/2005	W/H TAX DIV KO	(3,106)	-	* [2]	-	-	60,006,797	MDPTPP03182001	MDPTPP03182005	GCC-NYAG 0255806
7/1/2005	W/H TAX DIV MRK	(4,047)	-	* [2]	-	-	60,006,797	MDPTPP03182001	MDPTPP03182005	GCC-NYAG 0255806
7/1/2005	W/H TAX DIV ALL	(1,090)	-	* [2]	-	-	60,006,797	MDPTPP03182001	MDPTPP03182005	GCC-NYAG 0255806
7/6/2005	W/H TAX DIV HPQ	(1,160)	-	* [2]	-	-	60,006,797	MDPTPP03182001	MDPTPP03182005	GCC-NYAG 0255806
7/8/2005	W/H TAX DIV SLB	(652)	-	* [2]	-	-	60,006,797	MDPTPP03182001	MDPTPP03182005	GCC-NYAG 0255806
7/11/2005	W/H TAX DIV MO	(7,450)	-	* [2]	-	-	60,006,797	MDPTPP03182001	MDPTPP03182005	GCC-NYAG 0255806
7/14/2005	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(42)	-	* [2]	-	-	60,006,797	MDPTPP03182001	MDPTPP03182005	GCC-NYAG 0255807
7/14/2005	TRANS TO 1G032130 (1G0321)	(8,400,000)	-	-	-	(8,400,000)	51,606,797	MDPTPP03182001	MDPTPP03182005	GCC-NYAG 0255806
7/25/2005	W/H TAX DIV GE	(11,487)	-	* [2]	-	-	51,606,797	MDPTPP03182001	MDPTPP03182005	GCC-NYAG 0255807
9/8/2005	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(90)	-	* [2]	-	-	51,606,797	MDPTPP03182012	MDPTPP03182034	GCC-NYAG 0255703
9/12/2005	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(5)	-	* [2]	-	-	51,606,797	MDPTPP03182012	MDPTPP03182034	GCC-NYAG 0255709
9/30/2005	W/H TAX DIV S	(237)	-	* [2]	-	-	51,606,797	MDPTPP03182012	MDPTPP03182034	GCC-NYAG 0255721
9/30/2005	W/H TAX DIV PEP	(1,421)	-	* [2]	-	-	51,606,797	MDPTPP03182012	MDPTPP03182034	GCC-NYAG 0255720
10/3/2005	W/H TAX DIV KO	(3,997)	-	* [2]	-	-	51,606,797	MDPTPP03182037	MDPTPP03182053	GCC-NYAG 0255660
10/5/2005	W/H TAX DIV HPQ	(1,449)	-	* [2]	-	-	51,606,797	MDPTPP03182037	MDPTPP03182053	GCC-NYAG 0255660
10/11/2005	W/H TAX DIV MO	(10,306)	-	* [2]	-	-	51,606,797	MDPTPP03182037	MDPTPP03182053	GCC-NYAG 0255660
10/12/2005	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(106)	-	* [2]	-	-	51,606,797	MDPTPP03182037	MDPTPP03182053	GCC-NYAG 0255662
10/13/2005	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	* [2]	-	-	51,606,797	MDPTPP03182037	MDPTPP03182053	GCC-NYAG 0255665
10/14/2005	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)	-	* [2]	-	-	51,606,797	MDPTPP03182037	MDPTPP03182053	GCC-NYAG 0255668
10/19/2005	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)	-	* [2]	-	-	51,606,797	MDPTPP03182037	MDPTPP03182053	GCC-NYAG 0255671
10/25/2005	W/H TAX DIV GE	(10,718)	-	* [2]	-	-	51,606,797	MDPTPP03182037	MDPTPP03182053	GCC-NYAG 0255672
10/31/2005	W/H TAX DIV MWD	(1,266)	-	* [2]	-	-	51,606,797	MDPTPP03182037	MDPTPP03182053	GCC-NYAG 0255672
11/15/2005	W/H TAX DIV ABT	(1,933)	-	* [2]	-	-	51,606,797	MDPTPP03182056	MDPTPP03182061	GCC-NYAG 0255619
11/15/2005	W/H TAX DIV PG	(6,391)	-	* [2]	-	-	51,606,797	MDPTPP03182056	MDPTPP03182061	GCC-NYAG 0255619
11/17/2005	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(37)	-	* [2]	-	-	51,606,797	MDPTPP03182056	MDPTPP03182061	GCC-NYAG 0255619
11/21/2005	W/H TAX DIV TXN	(328)	-	* [2]	-	-	51,606,797	MDPTPP03182056	MDPTPP03182061	GCC-NYAG 0255620
11/21/2005	W/H TAX DIV GS	(732)	-	* [2]	-	-	51,606,797	MDPTPP03182056	MDPTPP03182061	GCC-NYAG 0255620
11/23/2005	W/H TAX DIV C	(14,937)	-	* [2]	-	-	51,606,797	MDPTPP03182056	MDPTPP03182061	GCC-NYAG 0255620
11/23/2005	W/H TAX DIV MER	(1,171)	-	* [2]	-	-	51,606,797	MDPTPP03182056	MDPTPP03182061	GCC-NYAG 0255620
11/30/2005	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(3)	-	* [2]	-	-	51,606,797	MDPTPP03182056	MDPTPP03182061	GCC-NYAG 0255620
12/1/2005	W/H TAX DIV INTC	(3,201)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255568
12/1/2005	W/H TAX DIV WFC	(5,783)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255568
12/2/2005	W/H TAX DIV BA	(1,317)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255568
12/6/2005	W/H TAX DIV PFE	(9,304)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255568
12/8/2005	W/H TAX DIV MSFT	(4,854)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255568
12/9/2005	W/H TAX DIV XOM	(12,094)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255568
12/12/2005	W/H TAX DIV IBM	(2,107)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255569
12/12/2005	W/H TAX DIV MMM	(2,212)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255569
12/12/2005	W/H TAX DIV UTX	(1,502)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255569
12/12/2005	W/H TAX DIV CVX	(6,767)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255568
12/13/2005	W/H TAX DIV JNJ	(6,546)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255569
12/15/2005	W/H TAX DIV HD	(1,405)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255569
12/15/2005	W/H TAX DIV TWX	(1,541)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255569
12/15/2005	W/H TAX DIV KO	(3,787)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255569
12/16/2005	W/H TAX DIV AIG	(2,546)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255571
12/16/2005	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(9)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255571
12/22/2005	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(20)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255579
12/23/2005	W/H TAX DIV BAC	(13,169)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255579
12/30/2005	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(3)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255579
12/30/2005	W/H TAX DIV S	(483)	-	* [2]	-	-	51,606,797	MDPTPP03182063	MDPTPP03182080	GCC-NYAG 0255579
1/3/2006	W/H TAX DIV MRK	(5,560)	-	* [2]	-	-	51,606,797	MDPTPP03182082	MDPTPP03182098	GCC-NYAG 0255509
1/3/2006	W/H TAX DIV VIA.B	(737)	-	* [2]	-	-	51,606,797	MDPTPP03182082	MDPTPP03182098	GCC-NYAG 0255509
1/3/2006	W/H TAX DIV PEP	(2,891)	-	* [2]	-	-	51,606,797	MDPTPP03182082	MDPTPP03182098	GCC-NYAG 0255509

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1/3/2006	W/H TAX DIV WMT	(1,622)	-	* [2]	-	-	51,606,797	MDPTPP03182082	MDPTPP03182098	GCC-NYAG 0255509
1/4/2006	W/H TAX DIV HPQ	(1,515)	-	* [2]	-	-	51,606,797	MDPTPP03182082	MDPTPP03182098	GCC-NYAG 0255509
1/5/2006	TRANS FROM 1A005830 A/O 1/3 (IA0058)	38,000,000	-	-	38,000,000	-	89,606,797	MDPTPP03182082	MDPTPP03182098	GCC-NYAG 0255509
1/6/2006	W/H TAX DIV DIS	(3,635)	-	* [2]	-	-	89,606,797	MDPTPP03182082	MDPTPP03182098	GCC-NYAG 0255510
1/13/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(18)	-	* [2]	-	-	89,606,797	MDPTPP03182082	MDPTPP03182098	GCC-NYAG 0255520
1/31/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(26)	-	* [2]	-	-	89,606,797	MDPTPP03182082	MDPTPP03182098	GCC-NYAG 0255521
1/31/2006	W/H TAX DIV MS	(2,499)	-	* [2]	-	-	89,606,797	MDPTPP03182082	MDPTPP03182098	GCC-NYAG 0255521
2/1/2006	W/H TAX DIV T	(1,936)	-	* [2]	-	-	89,606,797	MDPTPP03182101	MDPTPP03182106	GCC-NYAG 0255478
2/1/2006	W/H TAX DIV VZ	(1,684)	-	* [2]	-	-	89,606,797	MDPTPP03182101	MDPTPP03182106	GCC-NYAG 0255478
2/13/2006	W/H TAX DIV TXN	(410)	-	* [2]	-	-	89,606,797	MDPTPP03182101	MDPTPP03182106	GCC-NYAG 0255478
2/15/2006	W/H TAX DIV PG	(7,991)	-	* [2]	-	-	89,606,797	MDPTPP03182101	MDPTPP03182106	GCC-NYAG 0255478
2/15/2006	W/H TAX DIV ABT	(3,606)	-	* [2]	-	-	89,606,797	MDPTPP03182101	MDPTPP03182106	GCC-NYAG 0255478
2/23/2006	W/H TAX DIV GS	(964)	-	* [2]	-	-	89,606,797	MDPTPP03182101	MDPTPP03182106	GCC-NYAG 0255478
2/24/2006	W/H TAX DIV C	(20,994)	-	* [2]	-	-	89,606,797	MDPTPP03182101	MDPTPP03182106	GCC-NYAG 0255479
2/28/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(22)	-	* [2]	-	-	89,606,797	MDPTPP03182101	MDPTPP03182106	GCC-NYAG 0255479
2/28/2006	W/H TAX DIV MER	(1,928)	-	* [2]	-	-	89,606,797	MDPTPP03182101	MDPTPP03182106	GCC-NYAG 0255479
3/1/2006	W/H TAX DIV WFC	(7,219)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255416
3/1/2006	W/H TAX DIV INTC	(5,098)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255416
3/3/2006	W/H TAX DIV BA	(2,083)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255416
3/7/2006	W/H TAX DIV PFE	(15,012)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255416
3/7/2006	W/H TAX DIV UPS	(3,517)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255416
3/9/2006	W/H TAX DIV MSFT	(6,999)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255417
3/10/2006	W/H TAX DIV CVX	(8,583)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255419
3/10/2006	W/H TAX DIV IBM	(2,645)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255419
3/10/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(9)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255419
3/10/2006	W/H TAX DIV XOM	(16,753)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255419
3/10/2006	W/H TAX DIV UTX	(1,867)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255420
3/10/2006	W/H TAX DIV TGT	(771)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255419
3/13/2006	W/H TAX DIV MMM	(2,838)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255420
3/14/2006	W/H TAX DIV JNJ	(8,399)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255420
3/15/2006	W/H TAX DIV TWX	(1,972)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255420
3/16/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255420
3/17/2006	W/H TAX DIV AIG	(3,256)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255421
3/23/2006	W/H TAX DIV HD	(2,661)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255421
3/24/2006	W/H TAX DIV BAC	(19,668)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255421
3/30/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(4)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255431
3/31/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255431
3/31/2006	W/H TAX DIV S	(644)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255431
3/31/2006	W/H TAX DIV PEP	(3,683)	-	* [2]	-	-	89,606,797	MDPTPP03182108	MDPTPP03182126	GCC-NYAG 0255431
4/3/2006	W/H TAX DIV KO	(5,542)	-	* [2]	-	-	89,606,797	MDPTPP03182129	MDPTPP03182143	GCC-NYAG 0255339
4/3/2006	W/H TAX DIV MRK	(7,174)	-	* [2]	-	-	89,606,797	MDPTPP03182129	MDPTPP03182143	GCC-NYAG 0255339
4/3/2006	W/H TAX DIV WMT	(3,636)	-	* [2]	-	-	89,606,797	MDPTPP03182129	MDPTPP03182143	GCC-NYAG 0255339
4/5/2006	W/H TAX DIV HPQ	(1,986)	-	* [2]	-	-	89,606,797	MDPTPP03182129	MDPTPP03182143	GCC-NYAG 0255341
4/5/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	* [2]	-	-	89,606,797	MDPTPP03182129	MDPTPP03182143	GCC-NYAG 0255341
4/7/2006	W/H TAX DIV SLB	(1,185)	-	(1,185)	-	-	89,605,611	MDPTPP03182129	MDPTPP03182143	GCC-NYAG 0255348
4/7/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	* [2]	-	-	89,605,611	MDPTPP03182129	MDPTPP03182143	GCC-NYAG 0255348
4/10/2006	W/H TAX DIV MO	(14,474)	-	* [2]	-	-	89,605,611	MDPTPP03182129	MDPTPP03182143	GCC-NYAG 0255348
4/21/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(8)	-	* [2]	-	-	89,605,611	MDPTPP03182129	MDPTPP03182143	GCC-NYAG 0255349
4/25/2006	W/H TAX DIV GE	(22,344)	-	* [2]	-	-	89,605,611	MDPTPP03182129	MDPTPP03182143	GCC-NYAG 0255349
4/28/2006	CXL W/H TAX DIV SLB	1,185	-	1,185	-	-	89,606,797	MDPTPP03182129	MDPTPP03182143	GCC-NYAG 0255349
4/28/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(9)	-	* [2]	-	-	89,606,797	MDPTPP03182129	MDPTPP03182143	GCC-NYAG 0255350
4/28/2006	W/H TAX DIV MS	(2,516)	-	* [2]	-	-	89,606,797	MDPTPP03182129	MDPTPP03182143	GCC-NYAG 0255350
4/28/2006	W/H TAX DIV MDT	(992)	-	* [2]	-	-	89,606,797	MDPTPP03182129	MDPTPP03182143	GCC-NYAG 0255349
5/1/2006	W/H TAX DIV JPM	(7,522)	-	* [2]	-	-	89,606,797	MDPTPP03182129	MDPTPP03182155	GCC-NYAG 0255312
5/1/2006	W/H TAX DIV T	(11,101)	-	* [2]	-	-	89,606,797	MDPTPP03182129	MDPTPP03182155	GCC-NYAG 0255312
5/1/2006	W/H TAX DIV VZ	(10,270)	-	* [2]	-	-	89,606,797	MDPTPP03182129	MDPTPP03182155	GCC-NYAG 0255312
5/5/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(12)	-	* [2]	-	-	89,606,797	MDPTPP03182146	MDPTPP03182155	GCC-NYAG 0255314
5/10/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	* [2]	-	-	89,606,797	MDPTPP03182146	MDPTPP03182155	GCC-NYAG 0255315

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5/10/2006	W/H TAX DIV AXP	(1,304)	-	* [2]	-	-	89,606,797	MDPTPP03182146	MDPTPP03182155	GCC-NYAG 0255315
5/15/2006	W/H TAX DIV ABT	(3,894)	-	* [2]	-	-	89,606,797	MDPTPP03182146	MDPTPP03182155	GCC-NYAG 0255315
5/15/2006	W/H TAX DIV PG	(8,905)	-	* [2]	-	-	89,606,797	MDPTPP03182146	MDPTPP03182155	GCC-NYAG 0255316
5/22/2006	W/H TAX DIV CAT	(1,487)	-	* [2]	-	-	89,606,797	MDPTPP03182146	MDPTPP03182155	GCC-NYAG 0255316
5/22/2006	W/H TAX DIV TXN	(419)	-	* [2]	-	-	89,606,797	MDPTPP03182146	MDPTPP03182155	GCC-NYAG 0255316
5/24/2006	W/H TAX DIV MER	(1,967)	-	* [2]	-	-	89,606,797	MDPTPP03182146	MDPTPP03182155	GCC-NYAG 0255316
5/25/2006	W/H TAX DIV GS	(1,359)	-	* [2]	-	-	89,606,797	MDPTPP03182146	MDPTPP03182155	GCC-NYAG 0255316
5/26/2006	W/H TAX DIV C	(21,304)	-	* [2]	-	-	89,606,797	MDPTPP03182146	MDPTPP03182155	GCC-NYAG 0255317
5/31/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(38)	-	* [2]	-	-	89,606,797	MDPTPP03182146	MDPTPP03182155	GCC-NYAG 0255317
5/31/2006	W/H TAX DIV UPS	(3,588)	-	* [2]	-	-	89,606,797	MDPTPP03182146	MDPTPP03182155	GCC-NYAG 0255317
6/1/2006	W/H TAX DIV INTC	(5,115)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255253
6/1/2006	W/H TAX DIV WFC	(7,775)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255253
6/2/2006	W/H TAX DIV BA	(2,125)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255253
6/5/2006	W/H TAX DIV WMT	(3,691)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255253
6/6/2006	W/H TAX DIV BMY	(4,783)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255253
6/6/2006	W/H TAX DIV PFE	(15,486)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255253
6/8/2006	W/H TAX DIV MSFT	(7,011)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255253
6/9/2006	W/H TAX DIV XOM	(17,190)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255254
6/12/2006	W/H TAX DIV MMM	(2,896)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255254
6/12/2006	W/H TAX DIV IBM	(4,107)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255254
6/12/2006	W/H TAX DIV UTX	(1,147)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255254
6/13/2006	W/H TAX DIV JNJ	(9,738)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255254
6/15/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(28)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255256
6/15/2006	W/H TAX DIV TWX	(1,968)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255256
6/22/2006	W/H TAX DIV HD	(2,833)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255262
6/23/2006	W/H TAX DIV BAC	(20,459)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255262
6/30/2006	W/H TAX DIV S	(649)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255263
6/30/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(63)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255263
6/30/2006	W/H TAX DIV PEP	(4,252)	-	* [2]	-	-	89,606,797	MDPTPP03182158	MDPTPP03182171	GCC-NYAG 0255262
7/3/2006	W/H TAX DIV CVX	(10,230)	-	* [2]	-	-	89,606,797	MDPTPP03182173	MDPTPP03182185	GCC-NYAG 0255220
7/3/2006	W/H TAX DIV MRK	(7,177)	-	* [2]	-	-	89,606,797	MDPTPP03182173	MDPTPP03182185	GCC-NYAG 0255220
7/3/2006	W/H TAX DIV KO	(3,871)	-	* [2]	-	-	89,606,797	MDPTPP03182173	MDPTPP03182185	GCC-NYAG 0255220
7/3/2006	W/H TAX DIV AIG	(3,423)	-	* [2]	-	-	89,606,797	MDPTPP03182173	MDPTPP03182185	GCC-NYAG 0255220
7/5/2006	W/H TAX DIV HPQ	(1,998)	-	* [2]	-	-	89,606,797	MDPTPP03182173	MDPTPP03182185	GCC-NYAG 0255220
7/7/2006	W/H TAX DIV SLB	(1,376)	-	(1,376)	-	-	89,605,421	MDPTPP03182173	MDPTPP03182185	GCC-NYAG 0255220
7/10/2006	CHECK WIRE	46,000,000	46,000,000	-	-	-	135,605,421	MDPTPP03182173	MDPTPP03182185	GCC-NYAG 0255220
7/10/2006	W/H TAX DIV MO	(9,991)	-	* [2]	-	-	135,605,421	MDPTPP03182173	MDPTPP03182185	GCC-NYAG 0255221
7/14/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(27)	-	* [2]	-	-	135,605,421	MDPTPP03182173	MDPTPP03182185	GCC-NYAG 0255223
7/31/2006	W/H TAX DIV MS	(1,368)	-	* [2]	-	-	135,605,421	MDPTPP03182173	MDPTPP03182185	GCC-NYAG 0255227
7/31/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(14)	-	* [2]	-	-	135,605,421	MDPTPP03182173	MDPTPP03182185	GCC-NYAG 0255227
8/7/2006	CXL W/H TAX DIV SLB	1,376	-	1,376	-	-	135,606,797	MDPTPP03182179	MDPTPP03182192	Not Produced
8/15/2006	W/H TAX DIV ABT	(2,117)	-	* [2]	-	-	135,606,797	MDPTPP03182179	MDPTPP03182192	Not Produced
8/15/2006	W/H TAX DIV PG	(8,572)	-	* [2]	-	-	135,606,797	MDPTPP03182179	MDPTPP03182192	Not Produced
8/17/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(31)	-	* [2]	-	-	135,606,797	MDPTPP03182179	MDPTPP03182192	Not Produced
8/21/2006	W/H TAX DIV TXN	(391)	-	* [2]	-	-	135,606,797	MDPTPP03182179	MDPTPP03182192	GCC-NYAG 0255183
8/21/2006	W/H TAX DIV CAT	(886)	-	* [2]	-	-	135,606,797	MDPTPP03182179	MDPTPP03182192	GCC-NYAG 0255183
8/23/2006	W/H TAX DIV MER	(1,868)	-	* [2]	-	-	135,606,797	MDPTPP03182187	MDPTPP03182192	GCC-NYAG 0255183
8/24/2006	W/H TAX DIV GS	(1,308)	-	* [2]	-	-	135,606,797	MDPTPP03182187	MDPTPP03182192	GCC-NYAG 0255183
8/25/2006	W/H TAX DIV C	(20,347)	-	* [2]	-	-	135,606,797	MDPTPP03182187	MDPTPP03182192	GCC-NYAG 0255183
9/1/2006	W/H TAX DIV BA	(2,018)	-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206	GCC-NYAG 0255143
9/1/2006	W/H TAX DIV WFC	(7,951)	-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206	GCC-NYAG 0255143
9/1/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(22)	-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206	GCC-NYAG 0255143
9/1/2006	W/H TAX DIV INTC	(4,890)	-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206	GCC-NYAG 0255143
9/5/2006	W/H TAX DIV WMT	(3,505)	-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206	GCC-NYAG 0255144
9/5/2006	W/H TAX DIV PFE	(14,730)	-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206	GCC-NYAG 0255144
9/6/2006	W/H TAX DIV UPS	(3,408)	-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206	GCC-NYAG 0255145
9/11/2006	W/H TAX DIV XOM	(16,126)	-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206	GCC-NYAG 0255145
9/11/2006	W/H TAX DIV UTX	(2,178)	-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206	GCC-NYAG 0255145

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Customer Statement	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: Bates Ref
9/11/2006	W/H TAX DIV CVX	(9,715)		-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206
9/11/2006	W/H TAX DIV IBM	(3,811)		-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206
9/12/2006	W/H TAX DIV JNJ	(9,248)		-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206
9/12/2006	W/H TAX DIV MMM	(2,750)		-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206
9/14/2006	W/H TAX DIV MSFT	(6,629)		-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206
9/15/2006	W/H TAX DIV TWX	(1,996)		-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206
9/15/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(14)		-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206
9/15/2006	W/H TAX DIV AIG	(3,576)		-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206
9/21/2006	W/H TAX DIV HD	(2,578)		-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206
9/22/2006	W/H TAX DIV BAC	(21,343)		-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206
9/27/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(22)		-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206
9/29/2006	W/H TAX DIV PEP	(4,133)		-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206
9/29/2006	W/H TAX DIV S	(627)		-	* [2]	-	-	135,606,797	MDPTPP03182194	MDPTPP03182206
10/2/2006	W/H TAX DIV KO	(5,328)		-	* [2]	-	-	135,606,797	MDPTPP03182208	MDPTPP03182222
10/2/2006	W/H TAX DIV MRK	(6,815)		-	* [2]	-	-	135,606,797	MDPTPP03182208	MDPTPP03182222
10/4/2006	W/H TAX DIV HPQ	(1,853)		-	* [2]	-	-	135,606,797	MDPTPP03182208	MDPTPP03182222
10/10/2006	W/H TAX DIV MO	(15,061)		-	* [2]	-	-	135,606,797	MDPTPP03182208	MDPTPP03182222
10/17/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(10)		-	* [2]	-	-	135,606,797	MDPTPP03182208	MDPTPP03182222
10/25/2006	W/H TAX DIV GE	(21,777)		-	* [2]	-	-	135,606,797	MDPTPP03182208	MDPTPP03182222
10/26/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(6)		-	* [2]	-	-	135,606,797	MDPTPP03182208	MDPTPP03182222
10/27/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)		-	* [2]	-	-	135,606,797	MDPTPP03182208	MDPTPP03182222
10/30/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(3)		-	* [2]	-	-	135,606,797	MDPTPP03182208	MDPTPP03182222
10/31/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)		-	* [2]	-	-	135,606,797	MDPTPP03182208	MDPTPP03182222
11/20/2006	W/H TAX DIV TXN	(676)		-	* [2]	-	-	135,606,797	MDPTPP03182224	MDPTPP03182231
11/20/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(4)		-	* [2]	-	-	135,606,797	MDPTPP03182224	MDPTPP03182231
11/22/2006	W/H TAX DIV MER	(2,485)		-	* [2]	-	-	135,606,797	MDPTPP03182224	MDPTPP03182231
11/22/2006	W/H TAX DIV C	(25,812)		-	* [2]	-	-	135,606,797	MDPTPP03182224	MDPTPP03182231
11/27/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)		-	* [2]	-	-	135,606,797	MDPTPP03182224	MDPTPP03182231
11/30/2006	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)		-	* [2]	-	-	135,606,797	MDPTPP03182224	MDPTPP03182231
1/2/2007	W/H TAX DIV MRK	(8,913)		-	* [2]	-	-	135,606,797	MDPTPP03182252	MDPTPP03182258
1/2/2007	W/H TAX DIV WMT	(4,492)		-	* [2]	-	-	135,606,797	MDPTPP03182252	MDPTPP03182258
1/2/2007	W/H TAX DIV PEP	(5,435)		-	* [2]	-	-	135,606,797	MDPTPP03182252	MDPTPP03182258
1/3/2007	W/H TAX DIV PFE	(18,930) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	MDPTPP03182250
1/3/2007	W/H TAX DIV CVX	(12,404) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	MDPTPP03182250
1/3/2007	W/H TAX DIV HPQ	(2,388)		-	* [2]	-	-	135,606,797	MDPTPP03182252	MDPTPP03182258
1/3/2007	W/H TAX DIV WFC	(10,104) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182252	BDO_T_0021341
1/3/2007	W/H TAX DIV MCD	(12,921) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	MDPTPP03182250
1/3/2007	W/H TAX DIV XOM	(20,453) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	BDO_T_0021342
1/3/2007	W/H TAX DIV IBM	(4,863) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	MDPTPP03182250
1/3/2007	W/H TAX DIV TWX	(2,436) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	BDO_T_0021343
1/3/2007	W/H TAX DIV EXC	(2,783) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	MDPTPP03182250
1/3/2007	W/H TAX DIV UTX	(2,897) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	BDO_T_0021342
1/3/2007	W/H TAX DIV S	(805) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	MDPTPP03182250
1/3/2007	W/H TAX DIV INTC	(6,193) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	BDO_T_0021341
1/3/2007	W/H TAX DIV MMM	(3,658) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	MDPTPP03182250
1/3/2007	W/H TAX DIV WB	(11,829) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	BDO_T_0021343
1/3/2007	W/H TAX DIV AIG	(4,650) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	MDPTPP03182250
1/3/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(2) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	BDO_T_0021351
1/3/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(47) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	MDPTPP03182250
1/3/2007	W/H TAX DIV BAC	(27,611) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	BDO_T_0021343
1/3/2007	W/H TAX DIV MSFT	(9,274) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	MDPTPP03182250
1/3/2007	W/H TAX DIV HD	(4,982) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	BDO_T_0021342
1/3/2007	W/H TAX DIV JNJ	(11,927) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	BDO_T_0021342
1/3/2007	W/H TAX DIV BA	(2,684) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	BDO_T_0021341
1/3/2007	W/H TAX DIV TGT	(1,073) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	MDPTPP03182250
1/3/2007	W/H TAX DIV KO	(6,864) <sup>[1]</sup>		-	* [2]	-	-	135,606,797	MDPTPP03182233	BDO_T_0021343
1/4/2007	W/H TAX DIV UPS	(4,532)		-	* [2]	-	-	135,606,797	MDPTPP03182252	MDPTPP03182258
1/4/2007	TRANS FROM 1A005830 (IA0058)	18,500,000		-	-	18,500,000	-	154,106,797	MDPTPP03182252	GCC-NYAG 0255005

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1/10/2007	W/H TAX DIV MO	(5,352)		-	* [2]	-	-	154,106,797	MDPTPP03182252	GCC-NYAG 0255006
1/12/2007	W/H TAX DIV DIS	(7,071)		-	* [2]	-	-	154,106,797	MDPTPP03182252	GCC-NYAG 0255006
1/25/2007	W/H TAX DIV GE	(18,193)		-	* [2]	-	-	154,106,797	MDPTPP03182252	GCC-NYAG 0255006
1/29/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(21)		-	* [2]	-	-	154,106,797	MDPTPP03182252	GCC-NYAG 0255006
1/31/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)		-	* [2]	-	-	154,106,797	MDPTPP03182252	GCC-NYAG 0255007
2/6/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)		-	* [2]	-	-	154,106,797	MDPTPP03182259	GCC-NYAG 0254482
2/13/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(6)		-	* [2]	-	-	154,106,797	MDPTPP03182259	GCC-NYAG 0254483
2/16/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(3)		-	* [2]	-	-	154,106,797	MDPTPP03182259	GCC-NYAG 0254486
2/20/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)		-	* [2]	-	-	154,106,797	MDPTPP03182259	GCC-NYAG 0254489
2/22/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)		-	* [2]	-	-	154,106,797	MDPTPP03182275	GCC-NYAG 0254489
2/23/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)		-	* [2]	-	-	154,106,797	MDPTPP03182275	GCC-NYAG 0254493
2/27/2007	W/H TAX DIV CMCSA	(4)		-	* [2]	-	-	154,106,797	MDPTPP03182275	GCC-NYAG 0254494
2/28/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(4)		-	* [2]	-	-	154,106,797	MDPTPP03182275	GCC-NYAG 0254494
3/1/2007	W/H TAX DIV COP	(4,278)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254542
3/6/2007	W/H TAX DIV UPS	(2,836)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254542
3/9/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(7)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254544
3/12/2007	W/H TAX DIV UTX	(975)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254545
3/12/2007	W/H TAX DIV TGT	(663)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254545
3/12/2007	W/H TAX DIV CVX	(4,001)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254545
3/12/2007	W/H TAX DIV MMM	(3,510)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254545
3/13/2007	W/H TAX DIV JNJ	(10,626)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254545
3/15/2007	W/H TAX DIV WB	(10,238)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254546
3/15/2007	W/H TAX DIV TWX	(2,112)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254546
3/16/2007	W/H TAX DIV AIG	(4,072)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254546
3/20/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(18)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254546
3/22/2007	W/H TAX DIV HD	(4,525)		-	* [2]	-	-	154,106,797	MDPTPP03182295	GCC-NYAG 0254547
3/23/2007	W/H TAX DIV BAC	(24,059)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254547
3/28/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(23)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254555
3/30/2007	W/H TAX DIV S	(810)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254556
3/30/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(5)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254555
3/30/2007	W/H TAX DIV PEP	(5,529)		-	* [2]	-	-	154,106,797	MDPTPP03182278	GCC-NYAG 0254556
4/2/2007	W/H TAX DIV KO	(7,938)		-	* [2]	-	-	154,106,797	MDPTPP03182298	GCC-NYAG 0254605
4/2/2007	W/H TAX DIV WMT	(6,136)		-	* [2]	-	-	154,106,797	MDPTPP03182298	GCC-NYAG 0254605
4/2/2007	W/H TAX DIV MRK	(9,476)		-	* [2]	-	-	154,106,797	MDPTPP03182298	GCC-NYAG 0254605
4/4/2007	W/H TAX DIV HPQ	(2,515)		-	* [2]	-	-	154,106,797	MDPTPP03182298	GCC-NYAG 0254605
4/10/2007	W/H TAX DIV MO	(20,513)		-	* [2]	-	-	154,106,797	MDPTPP03182298	GCC-NYAG 0254605
4/19/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(39)		-	* [2]	-	-	154,106,797	MDPTPP03182298	GCC-NYAG 0254607
4/20/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)		-	* [2]	-	-	154,106,797	MDPTPP03182298	GCC-NYAG 0254611
4/25/2007	W/H TAX DIV GE	(27,898)		-	* [2]	-	-	154,106,797	MDPTPP03182298	GCC-NYAG 0254614
4/30/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(20)		-	* [2]	-	-	154,106,797	MDPTPP03182298	GCC-NYAG 0254615
5/4/2007	W/H TAX DIV CVS	(733)		-	* [2]	-	-	154,106,797	MDPTPP03182315	Not Produced
5/15/2007	W/H TAX DIV PG	(12,984)		-	* [2]	-	-	154,106,797	MDPTPP03182315	Not Produced
5/21/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(13)		-	* [2]	-	-	154,106,797	MDPTPP03182315	Not Produced
5/23/2007	W/H TAX DIV MER	(3,437)		-	* [2]	-	-	154,106,797	MDPTPP03182315	Not Produced
5/24/2007	W/H TAX DIV GS	(1,005)		-	* [2]	-	-	154,106,797	MDPTPP03182315	Not Produced
5/25/2007	W/H TAX DIV C	(30,638)		-	* [2]	-	-	154,106,797	MDPTPP03182315	Not Produced
5/31/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(5)		-	* [2]	-	-	154,106,797	MDPTPP03182320	Not Produced
6/1/2007	W/H TAX DIV BA	(3,173)		-	* [2]	-	-	154,106,797	MDPTPP03182322	GCC-NYAG 0254685
6/1/2007	W/H TAX DIV COP	(7,899)		-	* [2]	-	-	154,106,797	MDPTPP03182322	GCC-NYAG 0254685
6/1/2007	W/H TAX DIV INTC	(7,610)		-	* [2]	-	-	154,106,797	MDPTPP03182322	GCC-NYAG 0254685
6/1/2007	W/H TAX DIV WFC	(10,998)		-	* [2]	-	-	154,106,797	MDPTPP03182322	GCC-NYAG 0254685
6/4/2007	W/H TAX DIV WMT	(6,241)		-	* [2]	-	-	154,106,797	MDPTPP03182322	GCC-NYAG 0254685
6/5/2007	W/H TAX DIV UPS	(5,041)		-	* [2]	-	-	154,106,797	MDPTPP03182322	GCC-NYAG 0254685
6/5/2007	W/H TAX DIV PFE	(24,048)		-	* [2]	-	-	154,106,797	MDPTPP03182322	GCC-NYAG 0254685
6/6/2007	W/H TAX DIV TYC	(2,325)		-	(2,325)	-	-	154,104,472	MDPTPP03182322	GCC-NYAG 0254686
6/11/2007	W/H TAX DIV CVX	(14,555)		-	* [2]	-	-	154,104,472	MDPTPP03182322	GCC-NYAG 0254686
6/11/2007	W/H TAX DIV UTX	(3,181)		-	* [2]	-	-	154,104,472	MDPTPP03182322	GCC-NYAG 0254686
6/11/2007	W/H TAX DIV XOM	(23,164)		-	* [2]	-	-	154,104,472	MDPTPP03182322	GCC-NYAG 0254686

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	BLMIS SOURCE DOCUMENT: End Dates	CUSTOMER STATEMENTS PRODUCED BY <u>THE DEFENDANTS/THIRD PARTIES:</u> Bates Ref
6/11/2007	W/H TAX DIV IBM	(6,983)	-	* [2]	-	-	154,104,472	MDPTPP03182322	MDPTPP03182336	GCC-NYAG 0254686
6/12/2007	W/H TAX DIV JNJ	(13,898)	-	* [2]	-	-	154,104,472	MDPTPP03182322	MDPTPP03182336	GCC-NYAG 0254686
6/12/2007	W/H TAX DIV MMM	(4,190)	-	* [2]	-	-	154,104,472	MDPTPP03182322	MDPTPP03182336	GCC-NYAG 0254686
6/14/2007	W/H TAX DIV MSFT	(10,110)	-	* [2]	-	-	154,104,472	MDPTPP03182322	MDPTPP03182336	GCC-NYAG 0254686
6/15/2007	W/H TAX DIV AIG	(5,041)	-	* [2]	-	-	154,104,472	MDPTPP03182322	MDPTPP03182336	GCC-NYAG 0254687
6/15/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(4)	-	* [2]	-	-	154,104,472	MDPTPP03182322	MDPTPP03182336	GCC-NYAG 0254687
6/15/2007	W/H TAX DIV WB	(12,220)	-	* [2]	-	-	154,104,472	MDPTPP03182322	MDPTPP03182336	GCC-NYAG 0254687
6/15/2007	W/H TAX DIV TWX	(2,479)	-	* [2]	-	-	154,104,472	MDPTPP03182322	MDPTPP03182336	GCC-NYAG 0254687
6/21/2007	W/H TAX DIV HD	(5,401)	-	* [2]	-	-	154,104,472	MDPTPP03182322	MDPTPP03182336	GCC-NYAG 0254690
6/22/2007	W/H TAX DIV BAC	(29,329)	-	* [2]	-	-	154,104,472	MDPTPP03182322	MDPTPP03182336	GCC-NYAG 0254692
6/29/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(19)	-	* [2]	-	-	154,104,472	MDPTPP03182322	MDPTPP03182336	GCC-NYAG 0254696
6/29/2007	W/H TAX DIV PEP	(7,225)	-	* [2]	-	-	154,104,472	MDPTPP03182322	MDPTPP03182336	GCC-NYAG 0254696
6/29/2007	W/H TAX DIV S	(846)	-	* [2]	-	-	154,104,472	MDPTPP03182322	MDPTPP03182336	GCC-NYAG 0254696
7/2/2007	W/H TAX DIV MRK	(9,536)	-	* [2]	-	-	154,104,472	MDPTPP03182338	MDPTPP03182342	GCC-NYAG 0254747
7/2/2007	W/H TAX DIV KO	(7,921)	-	* [2]	-	-	154,104,472	MDPTPP03182338	MDPTPP03182342	GCC-NYAG 0254747
7/5/2007	W/H TAX DIV HPQ	(2,531)	-	* [2]	-	-	154,104,472	MDPTPP03182338	MDPTPP03182342	GCC-NYAG 0254747
7/10/2007	W/H TAX DIV MO	(16,795)	-	* [2]	-	-	154,104,472	MDPTPP03182338	MDPTPP03182342	GCC-NYAG 0254747
7/17/2007	CXL W/H TAX DIV TYC	2,325	-	2,325	-	-	154,106,797	MDPTPP03182338	MDPTPP03182342	GCC-NYAG 0254747
7/17/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(29)	-	* [2]	-	-	154,106,797	MDPTPP03182338	MDPTPP03182342	GCC-NYAG 0254747
8/6/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(10)	-	* [2]	-	-	154,106,797	MDPTPP03182344	MDPTPP03182352	GCC-NYAG 0254757
8/24/2007	W/H TAX DIV C	(12,673)	-	* [2]	-	-	154,106,797	MDPTPP03182344	MDPTPP03182352	GCC-NYAG 0254760
9/4/2007	W/H TAX DIV INTC	(3,138)	-	* [2]	-	-	154,106,797	MDPTPP03182354	MDPTPP03182374	GCC-NYAG 0254787
9/4/2007	W/H TAX DIV WMT	(2,533)	-	* [2]	-	-	154,106,797	MDPTPP03182354	MDPTPP03182374	GCC-NYAG 0254787
9/4/2007	W/H TAX DIV WFC	(4,942)	-	* [2]	-	-	154,106,797	MDPTPP03182354	MDPTPP03182374	GCC-NYAG 0254787
9/5/2007	W/H TAX DIV PFE	(9,759)	-	* [2]	-	-	154,106,797	MDPTPP03182354	MDPTPP03182374	GCC-NYAG 0254787
9/7/2007	W/H TAX DIV BA	(1,240)	-	* [2]	-	-	154,106,797	MDPTPP03182354	MDPTPP03182374	GCC-NYAG 0254787
9/10/2007	W/H TAX DIV CVX	(5,907)	-	* [2]	-	-	154,106,797	MDPTPP03182354	MDPTPP03182374	GCC-NYAG 0254787
9/10/2007	W/H TAX DIV IBM	(2,657)	-	* [2]	-	-	154,106,797	MDPTPP03182354	MDPTPP03182374	GCC-NYAG 0254788
9/10/2007	W/H TAX DIV UTX	(1,559)	-	* [2]	-	-	154,106,797	MDPTPP03182354	MDPTPP03182374	GCC-NYAG 0254788
9/10/2007	W/H TAX DIV XOM	(9,454)	-	* [2]	-	-	154,106,797	MDPTPP03182354	MDPTPP03182374	GCC-NYAG 0254787
9/13/2007	W/H TAX DIV MSFT	(4,029)	-	* [2]	-	-	154,106,797	MDPTPP03182354	MDPTPP03182374	GCC-NYAG 0254788
9/14/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(43)	-	* [2]	-	-	154,106,797	MDPTPP03182354	MDPTPP03182374	GCC-NYAG 0254790
9/18/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(2)	-	* [2]	-	-	154,106,797	MDPTPP03182354	MDPTPP03182374	GCC-NYAG 0254796
9/26/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(12)	-	* [2]	-	-	154,106,797	MDPTPP03182354	MDPTPP03182374	GCC-NYAG 0254804
10/1/2007	W/H TAX DIV KO	(3,010)	-	* [2]	-	-	154,106,797	MDPTPP03182377	MDPTPP03182384	GCC-NYAG 0254859
10/10/2007	W/H TAX DIV MO	(6,955)	-	* [2]	-	-	154,106,797	MDPTPP03182377	MDPTPP03182384	GCC-NYAG 0254859
10/25/2007	W/H TAX DIV GE	(18,366)	-	* [2]	-	-	154,106,797	MDPTPP03182377	MDPTPP03182384	GCC-NYAG 0254859
10/31/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(31)	-	* [2]	-	-	154,106,797	MDPTPP03182377	MDPTPP03182384	GCC-NYAG 0254861
11/7/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(11)	-	* [2]	-	-	154,106,797	MDPTPP03182386	MDPTPP03182403	GCC-NYAG 0254915
11/13/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(16)	-	* [2]	-	-	154,106,797	MDPTPP03182386	MDPTPP03182403	GCC-NYAG 0254916
11/15/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(5)	-	* [2]	-	-	154,106,797	MDPTPP03182386	MDPTPP03182403	GCC-NYAG 0254919
11/21/2007	W/H TAX DIV C	(8,340)	-	* [2]	-	-	154,106,797	MDPTPP03182386	MDPTPP03182403	GCC-NYAG 0254922
11/21/2007	W/H TAX DIV MER	(983)	-	* [2]	-	-	154,106,797	MDPTPP03182386	MDPTPP03182403	GCC-NYAG 0254922
11/21/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(2)	-	* [2]	-	-	154,106,797	MDPTPP03182386	MDPTPP03182403	GCC-NYAG 0254922
11/30/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(14)	-	* [2]	-	-	154,106,797	MDPTPP03182386	MDPTPP03182403	GCC-NYAG 0254928
12/3/2007	W/H TAX DIV COP	(2,072)	-	* [2]	-	-	154,106,797	MDPTPP03182406	MDPTPP03182418	GCC-NYAG 0254955
12/3/2007	W/H TAX DIV MCD	(8,132)	-	* [2]	-	-	154,106,797	MDPTPP03182406	MDPTPP03182418	GCC-NYAG 0254955
12/10/2007	W/H TAX DIV UTX	(1,468)	-	* [2]	-	-	154,106,797	MDPTPP03182406	MDPTPP03182418	GCC-NYAG 0254955
12/10/2007	W/H TAX DIV EXC	(1,284)	-	* [2]	-	-	154,106,797	MDPTPP03182406	MDPTPP03182418	GCC-NYAG 0254955
12/10/2007	W/H TAX DIV CVX	(5,563)	-	* [2]	-	-	154,106,797	MDPTPP03182406	MDPTPP03182418	GCC-NYAG 0254955
12/11/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(14)	-	* [2]	-	-	154,106,797	MDPTPP03182406	MDPTPP03182418	GCC-NYAG 0254958
12/11/2007	W/H TAX DIV JNJ	(10,521)	-	* [2]	-	-	154,106,797	MDPTPP03182406	MDPTPP03182418	GCC-NYAG 0254958
12/12/2007	W/H TAX DIV MMM	(3,140)	-	* [2]	-	-	154,106,797	MDPTPP03182406	MDPTPP03182418	GCC-NYAG 0254958
12/13/2007	W/H TAX DIV MSFT	(4,037)	-	* [2]	-	-	154,106,797	MDPTPP03182406	MDPTPP03182418	GCC-NYAG 0254958

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in Customer Statement	Amount as Reported in Customer Statement	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	Beg Dates	End Dates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: Bates Ref
12/20/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(9)	-	* [2]	-	-	154,106,797	MDPTPP03182406	MDPTPP03182418	GCC-NYAG 0254961
12/31/2007	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)	-	* [2]	-	-	154,106,797	MDPTPP03182406	MDPTPP03182418	GCC-NYAG 0254961
1/2/2008	W/H TAX DIV HPQ	(608)	-	* [2]	-	-	154,106,797	MDPTPP03182420	MDPTPP03182428	GCC-NYAG 0254470
1/2/2008	W/H TAX DIV WMT	(1,553)	-	* [2]	-	-	154,106,797	MDPTPP03182420	MDPTPP03182428	GCC-NYAG 0254470
1/3/2008	W/H TAX DIV UPS	(1,927)	-	* [2]	-	-	154,106,797	MDPTPP03182420	MDPTPP03182428	GCC-NYAG 0254470
1/3/2008	TRANS FROM 1G032130 (1G0321)	38,000,000	-	-	38,000,000	-	192,106,797	MDPTPP03182420	MDPTPP03182428	GCC-NYAG 0254470
1/28/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(31)	-	* [2]	-	-	192,106,797	MDPTPP03182420	MDPTPP03182428	GCC-NYAG 0254473
2/20/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(16)	-	* [2]	-	-	192,106,797	MDPTPP03182430	MDPTPP03182435	GCC-NYAG 0254427
2/22/2008	W/H TAX DIV C	(10,513)	-	* [2]	-	-	192,106,797	MDPTPP03182430	MDPTPP03182435	GCC-NYAG 0254428
2/28/2008	W/H TAX DIV GS	(852)	-	* [2]	-	-	192,106,797	MDPTPP03182430	MDPTPP03182435	GCC-NYAG 0254428
3/3/2008	W/H TAX DIV INTC	(4,887)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254404
3/3/2008	W/H TAX DIV COP	(4,861)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254404
3/3/2008	W/H TAX DIV WFC	(6,978)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254404
3/4/2008	W/H TAX DIV PFE	(14,018)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254404
3/4/2008	W/H TAX DIV UPS	(3,012)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254404
3/5/2008	W/H TAX DIV MER	(1,916)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254404
3/7/2008	W/H TAX DIV BA	(1,947)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254404
3/10/2008	W/H TAX DIV UTX	(2,142)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254405
3/10/2008	W/H TAX DIV XOM	(12,776)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254405
3/10/2008	W/H TAX DIV IBM	(3,650)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254405
3/10/2008	W/H TAX DIV EXC	(2,129)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254405
3/10/2008	W/H TAX DIV CVX	(8,116)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254405
3/11/2008	W/H TAX DIV JNJ	(7,827)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254405
3/12/2008	W/H TAX DIV MMM	(2,434)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254405
3/13/2008	W/H TAX DIV MSFT	(5,822)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254405
3/17/2008	W/H TAX DIV TWX	(1,483)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254408
3/17/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(18)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254408
3/17/2008	W/H TAX DIV MCD	(2,966)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254408
3/17/2008	W/H TAX DIV WB	(8,566)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254408
3/19/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254409
3/24/2008	W/H TAX DIV AIG	(3,407)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254410
3/27/2008	W/H TAX DIV HD	(2,464)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254410
3/28/2008	W/H TAX DIV BAC	(18,690)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254411
3/31/2008	W/H TAX DIV PEP	(3,879)	-	* [2]	-	-	192,106,797	MDPTPP03182437	MDPTPP03182446	GCC-NYAG 0254411
4/1/2008	W/H TAX DIV MRK	(5,549)	-	* [2]	-	-	192,106,797	MDPTPP03182448	MDPTPP03182457	GCC-NYAG 0254355
4/1/2008	W/H TAX DIV KO	(5,086)	-	* [2]	-	-	192,106,797	MDPTPP03182448	MDPTPP03182457	GCC-NYAG 0254355
4/2/2008	W/H TAX DIV HPQ	(1,363)	-	* [2]	-	-	192,106,797	MDPTPP03182448	MDPTPP03182457	GCC-NYAG 0254355
4/4/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(7)	-	* [2]	-	-	192,106,797	MDPTPP03182448	MDPTPP03182457	GCC-NYAG 0254357
4/4/2008	W/H TAX DIV KFT	(2,793)	-	* [2]	-	-	192,106,797	MDPTPP03182448	MDPTPP03182457	GCC-NYAG 0254357
4/7/2008	W/H TAX DIV WMT	(3,612)	-	* [2]	-	-	192,106,797	MDPTPP03182448	MDPTPP03182457	GCC-NYAG 0254359
4/23/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(8)	-	* [2]	-	-	192,106,797	MDPTPP03182448	MDPTPP03182457	GCC-NYAG 0254359
4/25/2008	W/H TAX DIV MDT	(807)	-	* [2]	-	-	192,106,797	MDPTPP03182448	MDPTPP03182457	GCC-NYAG 0254360
4/25/2008	W/H TAX DIV GE	(20,558)	-	* [2]	-	-	192,106,797	MDPTPP03182448	MDPTPP03182457	GCC-NYAG 0254360
4/30/2008	W/H TAX DIV MS	(1,598)	-	* [2]	-	-	192,106,797	MDPTPP03182448	MDPTPP03182457	GCC-NYAG 0254360
4/30/2008	W/H TAX DIV JPM	(7,358)	-	* [2]	-	-	192,106,797	MDPTPP03182448	MDPTPP03182457	GCC-NYAG 0254360
5/1/2008	W/H TAX DIV T	(13,985)	-	* [2]	-	-	192,106,797	MDPTPP03182459	MDPTPP03182471	GCC-NYAG 0254309
5/1/2008	W/H TAX DIV VZ	(7,170)	-	* [2]	-	-	192,106,797	MDPTPP03182459	MDPTPP03182471	GCC-NYAG 0254309
5/2/2008	W/H TAX DIV CVS	(516)	-	* [2]	-	-	192,106,797	MDPTPP03182459	MDPTPP03182471	GCC-NYAG 0254309
5/2/2008	W/H TAX DIV BK	(1,549)	-	* [2]	-	-	192,106,797	MDPTPP03182459	MDPTPP03182471	GCC-NYAG 0254309
5/9/2008	W/H TAX DIV AXP	(1,162)	-	* [2]	-	-	192,106,797	MDPTPP03182459	MDPTPP03182471	GCC-NYAG 0254311
5/15/2008	W/H TAX DIV ABT	(3,292)	-	* [2]	-	-	192,106,797	MDPTPP03182459	MDPTPP03182471	GCC-NYAG 0254312
5/15/2008	W/H TAX DIV PG	(7,315)	-	* [2]	-	-	192,106,797	MDPTPP03182459	MDPTPP03182471	GCC-NYAG 0254312
5/20/2008	W/H TAX DIV CAT	(1,356)	-	* [2]	-	-	192,106,797	MDPTPP03182459	MDPTPP03182471	GCC-NYAG 0254313
5/23/2008	W/H TAX DIV C	(9,295)	-	* [2]	-	-	192,106,797	MDPTPP03182459	MDPTPP03182471	GCC-NYAG 0254313
5/28/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(29)	-	* [2]	-	-	192,106,797	MDPTPP03182459	MDPTPP03182471	GCC-NYAG 0254318
5/29/2008	W/H TAX DIV GS	(753)	-	* [2]	-	-	192,106,797	MDPTPP03182459	MDPTPP03182471	GCC-NYAG 0254319
6/2/2008	W/H TAX DIV WFC	(10,838)	-	* [2]	-	-	192,106,797	MDPTPP03182474	MDPTPP03182478	Not Produced
6/2/2008	W/H TAX DIV INTC	(4,744)	-	* [2]	-	-	192,106,797	MDPTPP03182474	MDPTPP03182478	Not Produced

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	Beg Dates	End Dates	CUSTOMER STATEMENTS PRODUCED BY <u>THE DEFENDANTS/THIRD PARTIES:</u>
6/2/2008	W/H TAX DIV WMT	(6,089)	-	* [2]	-	-	192,106,797	MDPTPP03182474	MDPTPP03182478	Not Produced
6/2/2008	W/H TAX DIV COP	(2,679)	-	* [2]	-	-	192,106,797	MDPTPP03182474	MDPTPP03182478	Not Produced
6/3/2008	W/H TAX DIV PFE	(23,351)	-	* [2]	-	-	192,106,797	MDPTPP03182474	MDPTPP03182478	Not Produced
6/3/2008	W/H TAX DIV UPS	(4,881)	-	* [2]	-	-	192,106,797	MDPTPP03182474	MDPTPP03182478	Not Produced
6/6/2008	W/H TAX DIV BA	(3,156)	-	* [2]	-	-	192,106,797	MDPTPP03182474	MDPTPP03182478	Not Produced
6/10/2008	W/H TAX DIV IBM	(7,396)	-	* [2]	-	-	192,106,797	MDPTPP03182474	MDPTPP03182478	Not Produced
6/10/2008	W/H TAX DIV XOM	(23,093)	-	* [2]	-	-	192,106,797	MDPTPP03182474	MDPTPP03182478	Not Produced
6/10/2008	W/H TAX DIV EXC	(3,451)	-	* [2]	-	-	192,106,797	MDPTPP03182474	MDPTPP03182478	Not Produced
6/10/2008	W/H TAX DIV JNJ	(4,781)	-	* [2]	-	-	192,106,797	MDPTPP03182474	MDPTPP03182478	Not Produced
6/10/2008	W/H TAX DIV UTX	(3,471)	-	* [2]	-	-	192,106,797	MDPTPP03182474	MDPTPP03182478	Not Produced
6/10/2008	W/H TAX DIV CVX	(14,742)	-	* [2]	-	-	192,106,797	MDPTPP03182474	MDPTPP03182478	Not Produced
6/12/2008	W/H TAX DIV MSFT	(9,437)	-	* [2]	-	-	192,106,797	MDPTPP03182474	MDPTPP03182478	Not Produced
6/12/2008	W/H TAX DIV MMM	(3,944)	-	* [2]	-	-	192,106,797	MDPTPP03182474	MDPTPP03182478	Not Produced
7/7/2008	CHECK WIRE	(16,200,000)	-	(16,200,000)	-	-	175,906,797	MDPTPP03182480	MDPTPP03182488	GCC-NYAG 0254250
7/7/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(42)	-	* [2]	-	-	175,906,797	MDPTPP03182480	MDPTPP03182488	GCC-NYAG 0254250
7/21/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)	-	* [2]	-	-	175,906,797	MDPTPP03182480	MDPTPP03182488	GCC-NYAG 0254252
7/23/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)	-	* [2]	-	-	175,906,797	MDPTPP03182480	MDPTPP03182488	GCC-NYAG 0254254
8/1/2008	W/H TAX DIV CVS	(710)	-	* [2]	-	-	175,906,797	MDPTPP03182490	MDPTPP03182498	Not Produced
8/8/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(2)	-	* [2]	-	-	175,906,797	MDPTPP03182490	MDPTPP03182498	Not Produced
8/13/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0)	-	* [2]	-	-	175,906,797	MDPTPP03182490	MDPTPP03182498	Not Produced
8/20/2008	W/H TAX DIV CAT	(1,891)	-	* [2]	-	-	175,906,797	MDPTPP03182490	MDPTPP03182498	Not Produced
8/22/2008	W/H TAX DIV C	(12,144)	-	* [2]	-	-	175,906,797	MDPTPP03182490	MDPTPP03182498	Not Produced
8/28/2008	W/H TAX DIV GS	(900)	-	* [2]	-	-	175,906,797	MDPTPP03182490	MDPTPP03182498	Not Produced
10/2/2008	W/H TAX DIV EXC	(3,289) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254170
10/2/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(3) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254178
10/2/2008	W/H TAX DIV COP	(5,139) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254169
10/2/2008	W/H TAX DIV IBM	(4,824) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254170
10/2/2008	W/H TAX DIV BAC	(29,244) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254178
10/2/2008	W/H TAX DIV JNJ	(13,262) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254170
10/2/2008	W/H TAX DIV PFE	(15,231) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254169
10/2/2008	W/H TAX DIV WFC	(6,779) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254169
10/2/2008	W/H TAX DIV MCD	(4,339) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254175
10/2/2008	W/H TAX DIV HD	(1,267) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254175
10/2/2008	W/H TAX DIV WMT	(5,579) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254169
10/2/2008	W/H TAX DIV BUD	(1,904) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254169
10/2/2008	W/H TAX DIV TWX	(2,327) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254174
10/2/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254174
10/2/2008	W/H TAX DIV INTC	(5,583) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254169
10/2/2008	W/H TAX DIV PEP	(6,789) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254178
10/2/2008	W/H TAX DIV AIG	(6,060) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254177
10/2/2008	W/H TAX DIV UTX	(3,307) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254171
10/2/2008	W/H TAX DIV XOM	(21,680) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254170
10/2/2008	W/H TAX DIV CVX	(13,854) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254170
10/2/2008	W/H TAX DIV QC0M	(854) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254178
10/2/2008	W/H TAX DIV UPS	(4,651) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254170
10/2/2008	W/H TAX DIV BA	(2,058) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254169
10/2/2008	W/H TAX DIV MMM	(3,758) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254171
10/2/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(3) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254170
10/2/2008	W/H TAX DIV MSFT	(9,063) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182513	GCC-NYAG 0254171
11/4/2008	W/H TAX DIV HPQ	(2,006) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182520	GCC-NYAG 0254146
11/4/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(2) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182520	GCC-NYAG 0254146
11/4/2008	W/H TAX DIV MO	(1,515) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182520	GCC-NYAG 0254146
11/4/2008	W/H TAX DIV MRK	(8,212) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182520	GCC-NYAG 0254146
11/4/2008	W/H TAX DIV PM	(3,734) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182520	GCC-NYAG 0254147
11/4/2008	W/H TAX DIV KO	(2,514) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182520	GCC-NYAG 0254146
11/4/2008	W/H TAX DIV BAX	(1,431) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182501	MDPTPP03182520	GCC-NYAG 0254146
12/3/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182522	MDPTPP03182539	GCC-NYAG 0254115
12/3/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(2) [1]	-	* [2]	-	-	175,906,797	MDPTPP03182522	MDPTPP03182539	GCC-NYAG 0254112

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	
Date	Transaction Description as Reported in Customer Statement	Amount as Reported in Customer Statement	Customer Statement	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Dates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS/THIRD PARTIES: End Dates Bates Ref	
12/3/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(1) [1]		-	*	[2]	-	175,906,797	MDPTPP03182522	GCC-NYAG 0254123	
12/3/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0) [1]		-	*	[2]	-	175,906,797	MDPTPP03182522	MDPTPP03182539	GCC-NYAG 0254119
12/3/2008	FIDELITY SPARTAN U S TREASURY MONEY MARKET W/H TAX DIV FDLXX	(0) [1]		-	*	[2]	-	175,906,797	MDPTPP03182522	MDPTPP03182539	GCC-NYAG 0254120
	Total:			\$ 144,300,000	\$ (16,593,203)	\$ 122,600,000	\$ (74,400,000)	\$ 175,906,797			

<sup>[1]</sup> The information on Customer Statements and BLMIS records regarding payments to account holders provides the "issue date" of the check or wire; however, the funds change hands on the clearing date, which is subsequent to the date of issuance for check payments. At the direction of the Trustee's counsel, the Principal Balance Calculation uses the clearing date for checks which were issued before certain cut-off dates (90-day, one-year, two-year and six-year) before December 11, 2008, but cleared during those time periods.

<sup>[2]</sup> The Principal Balance Calculation was adjusted at the direction of the Trustee's Counsel and pursuant to a Settlement Agreement with the IRS approved by Judge Lifland on December 21, 2011. According to Section 2 of the Settlement Agreement, "[T]he Trustee shall adjust the Foreign Accountholders' BLMIS account balance by crediting all Payments out of the accounts for alleged withholding payments made to the IRS to the extent of those payments." Amounts withheld from account holders and paid by BLMIS to the IRS on behalf of the customer during the six-year period prior to the filing date have been excluded from the Principal Balance Calculation as those amounts have subsequently been refunded by the IRS. (See Trustee's Motion for Entry of an Order Pursuant to Section 105(a) of the Bankruptcy Code and Rules 2002 and 9019 of the Federal Rules of Bankruptcy Procedure Approving an Agreement By and Between the Trustee and United States of America on Behalf of the Internal Revenue Service at Exhibit B ¶I, No. 08-1789-smb (Bankr. S.D.N.Y. Nov. 22, 2011), ECF No. 4544.)

## **Exhibit 4R**

## Exhibit 4R - Detailed Schedule for the Principal Balance Calculation for Gabriel Capital Account 1G0321 Pg 167 of 169

## BLMIS ACCOUNT NO. 1G0321 - GABRIEL CAPITAL LP

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11
Date	Transaction Description as Reported in <u>Customer Statement</u>	Amount as Reported in <u>Customer Statement</u>	Deposits	Withdrawals	Transfers In	Transfers Out	Balance	BLMIS SOURCE DOCUMENT: Beg Bates	End Bates	CUSTOMER STATEMENTS PRODUCED BY THE DEFENDANTS: Bates Ref
8/2/2000	CHECK WIRE	39,000,000	39,000,000	-	-	-	39,000,000	MDPTPP03412998	MDPTPP03413001	GCC-NYAG 0259812
1/8/2001	CHECK WIRE	35,800,000	35,800,000	-	-	-	74,800,000	MDPTPP03413045	MDPTPP03413052	GCC-NYAG 0259526
4/12/2001	CHECK WIRE	11,900,000	11,900,000	-	-	-	86,700,000	MDPTPP03413076	MDPTPP03413084	GCC-NYAG 0259362
7/25/2001	TRANS FROM 1A005830 (IA0058)	1,400,000	-	-	1,400,000	-	88,100,000	MDPTPP03413101	MDPTPP03413112	GCC-NYAG 0259223
10/15/2001	TRANS FROM 1FN00530 (1FN005)	7,300,000	-	-	7,300,000	-	95,400,000	MDPTPP03413132	MDPTPP03413136	GCC-NYAG 0259057
10/15/2001	TRANS FROM 1A005830 (IA0058)	2,600,000	-	-	2,600,000	-	98,000,000	MDPTPP03413132	MDPTPP03413136	GCC-NYAG 0259057
10/22/2001	CHECK	39,000	39,000	-	-	-	98,039,000	MDPTPP03413132	MDPTPP03413136	GCC-NYAG 0259058
10/22/2001	CANCEL CHECK	(39,000)	(39,000)	-	-	-	98,000,000	MDPTPP03413132	MDPTPP03413136	GCC-NYAG 0259058
1/23/2002	TRANS TO 1A005830 (IA0058)	(3,700,000)	-	-	-	(3,700,000)	94,300,000	MDPTPP03413157	MDPTPP03413167	GCC-NYAG 0258968
7/15/2002	TRANS TO 1A005830 (IA0058)	(200,000)	-	-	-	(200,000)	94,100,000	MDPTPP03413244	MDPTPP03413258	GCC-NYAG 0258499
7/15/2002	TRANS TO 1FN00530 (1FN005)	(400,000)	-	-	-	(400,000)	93,700,000	MDPTPP03413244	MDPTPP03413258	GCC-NYAG 0258499
10/8/2002	CHECK WIRE	2,000,000	2,000,000	-	-	-	95,700,000	MDPTPP03413281	MDPTPP03413292	GCC-NYAG 0258191
10/8/2002	TRANS FROM 1FR07030 (1FR070)	500,000	-	-	500,000	-	96,200,000	MDPTPP03413281	MDPTPP03413292	GCC-NYAG 0258191
12/31/2002	TRANS FROM 1A005830 (IA0058)	4,400,000	-	-	4,400,000	-	100,600,000	MDPTPP03413309	MDPTPP03413315	GCC-NYAG 0257966
1/8/2003	TRANS TO 1A005830 A/O 1/2/03 (IA0058)	(19,500,000)	-	-	-	(19,500,000)	81,100,000	MDPTPP03413317	MDPTPP03413330	GCC-NYAG 0257887
4/15/2003	TRANS TO 1A005830 (IA0058)	(10,700,000)	-	-	-	(10,700,000)	70,400,000	MDPTPP03413372	MDPTPP03413375	GCC-NYAG 0257547
7/3/2003	TRANS TO 1A005830 (IA0058)	(13,000,000)	-	-	-	(13,000,000)	57,400,000	MDPTPP03413411	MDPTPP03413427	GCC-NYAG 0257256
10/7/2003	TRANS TO 1A005830 (IA0058)	(6,000,000)	-	-	-	(6,000,000)	51,400,000	MDPTPP03413464	MDPTPP03413474	GCC-NYAG 0256922
1/5/2004	TRANS TO 1A005830 (IA0058)	(5,800,000)	-	-	-	(5,800,000)	45,600,000	MDPTPP03413510	MDPTPP03413521	GCC-NYAG 0256610
7/14/2004	TRANS FROM 1A005830 (IA0058)	20,600,000	-	-	20,600,000	-	66,200,000	MDPTPP03413596	MDPTPP03413599	GCC-NYAG 0256342
8/2/2004	TRANS TO 1A005830 (IA0058)	(5,000,000)	-	-	-	(5,000,000)	61,200,000	MDPTPP03413601	MDPTPP03413614	GCC-NYAG 0256302
7/14/2005	TRANS FROM 1FR07030 (1FR070)	8,400,000	-	-	8,400,000	-	69,600,000	MDPTPP03413737	MDPTPP03413740	GCC-NYAG 0255800
1/5/2006	TRANS FROM 1A005830 A/O 1/3 (IA0058)	59,000,000	-	-	59,000,000	-	128,600,000	MDPTPP03413815	MDPTPP03413830	GCC-NYAG 0255530
7/7/2006	TRANS FROM 1A005830 (IA0058)	26,000,000	-	-	26,000,000	-	154,600,000	MDPTPP03413899	MDPTPP03413910	GCC-NYAG 0255205
7/10/2006	CHECK WIRE	38,000,000	38,000,000	-	-	-	192,600,000	MDPTPP03413899	MDPTPP03413910	GCC-NYAG 0255206
1/4/2007	TRANS FROM 1A005830 (IA0058)	26,500,000	-	-	26,500,000	-	219,100,000	MDPTPP03413975	MDPTPP03413980	GCC-NYAG 0255013
1/3/2008	TRANS TO 1FR07030 (1FR070)	(38,000,000)	-	-	-	(38,000,000)	181,100,000	MDPTPP03414134	MDPTPP03414142	GCC-NYAG 0254458
7/7/2008	CHECK WIRE	(17,400,000)	-	(17,400,000)	-	-	163,700,000	MDPTPP03414192	MDPTPP03414200	GCC-NYAG 0254262
<b>Total:</b>		<b>\$ 126,700,000</b>	<b>\$ (17,400,000)</b>	<b>\$ 156,700,000</b>	<b>\$ (102,300,000)</b>	<b>\$ 163,700,000</b>				

## **Exhibit 5**

## Exhibit 5 - Analysis of Activity in Former Ascot Fund Account 1FN005 and the Inter-Account Transfer to Ascot Partners Account 1A0058 on January 8, 2003

### INFLOWS OF PRINCIPAL

Cash Deposits	\$242,764,111
Transfers of Principal (In)	76,408,398
<b>Total Inflows of Principal</b>	<b>\$319,172,509</b>

### OUTFLOWS OF CASH AND PRINCIPAL

*Less: Withdrawals and Payments*

Cash Withdrawals:	
December 30, 1996	(\$1,200,000)
January 7, 1997	(7,240,000)
April 13, 1998	(26,000,000)
Aggregate Amount Withheld and Paid to IRS	(\$5,048,580)
<b>Total Outflows of Cash and Principal</b>	<b>(\$39,488,580)</b>

**Net Amount Available "To Be Transferred"**

**\$279,683,929**

### INTER-ACCOUNT TRANSFERS OUT

Date	Transferee Account	Reported ("Attempted") Transfer Amount	Principal in 1FN005 at the Time of Transfer	Amount Transferred	Amount NOT Transferred
7/29/93	1A0058	(\$1,695,510)	\$54,633,564	(\$1,695,510)	\$0
1/11/94	1A0058	(14,053,625)	52,607,216	(14,053,625)	0
4/25/94	1A0058	(11,052,172)	38,442,149	(11,052,172)	0
10/13/94	1A0058	(5,961,000)	33,132,340	(5,961,000)	0
7/24/95	1A0058	(1,780,000)	26,715,492	(1,780,000)	0
8/3/95	1A0058	(4,000,000)	24,887,463	(4,000,000)	0
1/26/96	1A0058	(304,000)	31,136,409	(304,000)	0
4/16/96	1A0058	(500,000)	30,740,042	(500,000)	0
4/14/99	1A0058	(1,950,000)	100,144,325	(1,950,000)	0
10/15/01	1G0321 (\$7.3m) and 1FR070 (\$7.1m)	(14,400,000)	199,677,875	(14,400,000)	0
1/23/02	1A0058	(2,500,000)	185,006,667	(2,500,000)	0
1/8/03	1A0058	(\$551,296,800)	\$221,487,622	(\$221,487,622)	(\$329,809,178)
<b>Total Outflows of Principal</b>		<b>(\$609,493,107)</b>		<b>(\$279,683,929)</b>	<b>(\$329,809,178)</b>

**Ending Principal Balance of 1FN005**

**\$0**